# Social and Environmental Screening Template (2021 SESP Template, Version 1)

*The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.*

**Project Information**

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| ***Project Information*** |  |
| 1. Project Title | Fortalecimiento Institucional a la Jurisdicción Especial para la Paz para implementar la estrategia de prevención y Protección de Víctimas, Testigos y demás Intervinientes en la participación de sus Procesos Judiciales- Fase II”. |
| 1. Project Number (i.e. Atlas project ID, PIMS+) | 135669 |
| 1. Location (Global/Region/Country) | Colombia |
| 1. Project stage (Design or Implementation) | Design |
| 1. Date | 30-4-2021 |

**Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability**

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| **QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?** |
| ***Briefly describe in the space below how the project mainstreams the human rights-based approach*** |
| El eje central de la JEP lo constituye el derecho de las víctimas y su principio orientador esta dado hacia la Paz. Con relación a su eje central, la JEP reconoce a las víctimas como centro de su actuar en tanto sufrieron graves infracciones al Derecho Internacional Humanitario y violaciones a los derechos humanos cometidas durante el conflicto armado. Las principales derechos a las víctimas reconocidos por esta jurisdicción especial contemplan: el reconocimiento dentro de los procesos judiciales, la posibilidad de interponer recursos contra sentencias que se profieran, a recibir asesorías, orientaciones y representaciones judiciales, acompañamiento psicológico y jurídico, a ser informadas sobre el avance de su investigaciones y procesos y, finalmente, a la protección de sus derechos conexos así mismo como a los representantes y organizaciones.  De otra parte, con respecto a la paz como principio orientador, la JEP contempló en su ley 1957 de 2019 estatutaria, la justicia restaurativa, modelo que busca la armonía y el restablecimiento de las relaciones de la sociedad, la restauración del daño causado y la garantía de derechos a futuras generaciones. Dicho modelo pone de presente la Paz como derecho y condición necesaria para el ejercicio y disfrute de los demás derechos de las víctimas.  Con lo anterior, tanto el eje como el principio orientador han sido incluidos y apropiados en la plataforma estratégica institucional de la JEP mediante la definición de su misión, visión y objetivos. Es así como la misión de la JEP reza “nuestra misión es administrar justicia para consolidar la transición hacia la paz y restaurar el tejido social, garantizando los derechos de las víctimas y la seguridad jurídica de los comparecientes, con enfoque territorial, diferencial y de género.” Asimismo, sus objetivos centrados en impartir justicia mediante el esclarecimiento y establecimiento de responsabilidad al igual que la resolución de la situación jurídica de los comparecientes fueron determinados como horizonte temporal para el 2023. |
| ***Briefly describe in the space below how the project is likely to improve gender equality and women’s empowerment*** |
| El diseño del proyecto ha tenido en cuenta acciones de discriminación positiva teniendo como objetivo la promoción de la igualdad y equidad de género. Por ejemplo, el desarrollo de jornadas específicas de acompañamiento a mujeres y población LGBTI a cargo de los analistas y asesores de la UIA en las sedes territoriales. En igual sentido la promoción de agendas de dialogo con organizaciones de mujeres para el fortalecimiento de los mecanismos de comunicación con la UIA en el nivel central y territorial.  Se destacan como resultados específicos los siguientes:   * Integración transversal de un enfoque de género en todas las actividades del proyecto. * Capacidades institucionales y sociales fortalecidas para la prevención y atención de las violencias basadas en género. * Jornadas específicas de acompañamiento a mujeres y población LGBTI a cargo de los analistas y asesores de la UIA en las sedes territoriales. |
| ***Briefly describe in the space below how the project mainstreams sustainability and resilience*** |
| La aplicación del enfoque de sostenibilidad ambiental en este proyecto estará muy relacionada con la gestión documental que se desarrollará con archivos digitales de tal manera que se reduzca el consumo masivo de papel de impresión.  En este sentido se tendrán en cuenta aspectos como:  Que las actividades que se realicen no promuevan la emisión de gases de efecto invernadero. Esto aplica especialmente a la programación acciones en territorio las cuales se harán de manera virtual en la medida de lo posible por lo menos durante la emergencia relacionada con el Covid-19.  Implementar un plan de manejo de residuos y de buenas prácticas medio ambientales en las oficinas territoriales de la UIA que serán fortalecidas. Esto incluye campañas para promover el teletrabajo, plan de selección de residuos para contribuir con el reciclaje y campañas para el manejo de archivos digitales evitando el uso de papel para impresión. |
| ***Briefly describe in the space below how the project strengthens accountability to stakeholders*** |
| La rendición de cuentas a los distintos socios se realizará a partir de las acciones incluidas en el plan de monitoreo del proyecto, entre las cuales se destacan:   * Reunir y analizar datos sobre el progreso realizado en comparación con los indicadores de resultados que aparecen en el Marco de Resultados y Recursos (RRF por sus siglas en inglés) a fin de valorar el avance del proyecto en relación con el logro de los Actividades acordados * Identificar riesgos específicos que pueden comprometer el logro de los resultados previstos. Identificar y monitorear medidas de gestión del riesgo mediante un registro de riesgos. Ello incluye medidas de monitoreo y planes que se pueden haber exigido según los Estándares Sociales y Ambientales del PNUD. Las auditorías se realizarán conforme a la política de auditoría del PNUD para gestionar el riesgo financiero. * Se presentará un Informe del Proyecto al Comité Directivo y a los actores clave, incluyendo datos sobre el progreso realizado que reflejen los resultados logrados de conformidad con las metas definidas de antemano en cuanto a Actividades. |

**Part B. Identifying and Managing Social and Environmental Risks**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **QUESTION 2: What are the Potential Social and Environmental Risks?**  *Note: Complete SESP Attachment 1 before responding to Question 2.* | **QUESTION 3: What is the level of significance of the potential social and environmental risks?**  *Note: Respond to Questions 4 and 5below before proceeding to Question 5* | | | | **QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High** | | | |
| ***Risk Description***  ***(broken down by event, cause, impact)*** | ***Impact and Likelihood (1-5)*** | ***Significance***  ***(Low, Moderate Substantial, High)*** | ***Comments (optional)*** | | ***Description of assessment and management measures for risks rated as Moderate, Substantial or High*** | | | |
| Riesgo 1: Las oficinas territoriales de la UIA no implementan planes de manejo de residuos como parte de su estrategia de gestión ambiental. | I = 2  L = 2 | Low | La implementación de las medidas de gestión del riesgo estará a cargo del coordinador del proyecto y el equipo técnico. | | Para dar una adecuada gestión a este riesgo identificado se realizarán jornadas de trabajo para brindar herramientas de tal forma que las sedes de la UIA implementen estas acciones de sostenibilidad ambiental. | | | |
| Riesgo 2: Las actividades del proyecto y el trabajo con unos grupos seleccionados crea tensiones entre diferentes grupos del territorio. | I = 3  L = 2 | Low | La implementación de las medidas de gestión del riesgo estará a cargo del coordinador del proyecto y el equipo técnico. | | El proyecto hace en su fase inicial un mapeo de actores, una evaluación de conflictividades y un diagnóstico enmarcado en los ODS. | | | |
| Riesgo 3: Las actividades del proyecto pueden reproducir involuntariamente a las desigualdades de género. | I = 3  L =1 | Low | La implementación de las medidas de gestión del riesgo estará a cargo del coordinador del proyecto y el equipo técnico. | | El proyecto tiene un enfoque diferencial en todas sus actividades. En su fase inicial, se realizará un mapeo de actores, una evaluación de conflictividades, en el cual se evaluará la situación en términos de equidad de género, y se definirá medidas correspondientes. | | | |
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|  | **QUESTION 4: What is the overall project risk categorization?** | | | | | | | |
|  | | | | | | | |
| ***Low Risk*** | | | **X** | |  | | |
| ***Moderate Risk*** | | | **☐** | |  | | |
| ***Substantial Risk*** | | | **☐** | |  | | |
| ***High Risk*** | | | **☐** | |  | | |
|  | **QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)** | | | | | | | |
| Question only required for Moderate, Substantial and High Risk projects | | | | | | | |
| ***Is assessment required? (check if “yes”)*** | | | **☐** | |  |  | ***Status? (completed, planned)*** |
| *if yes, indicate overall type and status* | | |  | | **☐** | Targeted assessment(s) |  |
|  | | **☐** | ESIA (Environmental and Social Impact Assessment) |  |
|  | | **☐** | SESA (Strategic Environmental and Social Assessment) |  |
| ***Are management plans required? (check if “yes)*** | | | **☐** | |  |  | |
| *If yes, indicate overall type* | | |  | | **☐** | Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others) |  |
|  | | **☐** | ESMP (Environmental and Social Management Plan which may include range of targeted plans) |  |
|  | | **☐** | ESMF (Environmental and Social Management Framework) |  |
| ***Based on identified risks, which Principles/Project-level Standards triggered?*** | | |  | | **Comments (not required)** | | |
| ***Overarching Principle: Leave No One Behind*** | | |  | |  | | |
| ***Human Rights*** | | | **☐** | |  | | |
| ***Gender Equality and Women’s Empowerment*** | | | **☐** | |  | | |
| ***Accountability*** | | | **☐** | |  | | |
| ***1. Biodiversity Conservation and Sustainable Natural Resource Management*** | | | **☐** | | El PNUD se compromete a integrar la ordenación de la biodiversidad y los ecosistemas en las actividades de planificación del desarrollo. El PNUD busca fortalecer los procedimientos eficaces de buena gobernanza y toma de decisiones que afectan a la biodiversidad y los ecosistemas, fortaleciendo incluso los derechos de las poblaciones afectadas, incluidas las mujeres, pueblos indígenas y comunidades locales, a usar de manera sostenible los recursos. | | |
| ***2. Climate Change and Disaster Risks*** | | | **☐** | |  | | |
| ***3. Community Health, Safety and Security*** | | | **☐** | |  | | |
| ***4. Cultural Heritage*** | | | **☐** | |  | | |
| ***5. Displacement and Resettlement*** | | | **☐** | |  | | |
| ***6. Indigenous Peoples*** | | | **x** | | La incorporación del enfoque étnico en el proceso de alistamiento para el desarrollo de las funciones de la UIA resulta muy relevante; razón por la cual se incorporan recursos para lograr la recopilación de buenas prácticas en materia de justicia propia al interior de algunos pueblos étnicos y por esta vía mejorar los mecanismos de comunicación asertiva con estas comunidades. | | |
| ***7. Labour and Working Conditions*** | | | **☐** | |  | | |
| ***8. Pollution Prevention and Resource Efficiency*** | | | **☐** | |  | | |

**Final Sign Off**

*Final Screening at the design-stage is not complete until the following signatures are included*

|  |  |  |
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| ***Signature*** | ***Date*** | ***Description*** |
| QA Assessor |  | Jairo Matallana  Asesor de certificación de calidad (QA) |
| QA Approver |  | Alejandro Pacheco, Representante Residente Adjunto  Aprobador de la garantía de calidad (QA) |
| PAC Chair |  | Alejandro Pacheco, Representante Residente Adjunto  Presidente del PAC |

### SESP Attachment 1. Social and Environmental Risk Screening Checklist

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| **Checklist Potential Social and Environmental Risks** |  |
| INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the [SES toolkit](https://info.undp.org/sites/bpps/SES_Toolkit/Pages/Homepage.aspx) for further guidance on addressing screening questions. |  |
| **Overarching Principle: Leave No One Behind**  **Human Rights** | **Answer  (Yes/No)** |
| P.1 Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)? | No |
| P.2 Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project? | No |
| P.3 Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights? | No |
| *Would the project potentially involve or lead to:* | No |
| P.4 adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups? | No |
| P.5 inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? [[1]](#footnote-1) | No |
| P.6 restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities? | No |
| P.7 exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals? | No |
| **Gender Equality and Women’s Empowerment** |  |
| P.8 Have women’s groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)? | No |
| *Would the project potentially involve or lead to:* | No |
| P.9 adverse impacts on gender equality and/or the situation of women and girls? | No |
| P.10 reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? | No |
| P.11 limitations on women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?  *For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being* | No |
| P.12 exacerbation of risks of gender-based violence?  *For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc*. | No |
| **Sustainability and Resilience:** Screeningquestions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below |  |
| **Accountability** |  |
| *Would the project potentially involve or lead to:* |  |
| P.13 exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them? | No |
| P.14 grievances or objections from potentially affected stakeholders? | No |
| P.15 risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project? | No |
| **Project-Level Standards** |  |
| **Standard 1: Biodiversity Conservation and Sustainable** [**Natural**](#SustNatResManGlossary) **Resource Management** |  |
| *Would the project potentially involve or lead to:* |  |
| 1.1 adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?  *For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes* | No |
| 1.2 activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities? | No |
| 1.3 changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5) | No |
| 1.4 risks to endangered species (e.g. reduction, encroachment on habitat)? | No |
| 1.5 exacerbation of illegal wildlife trade? | No |
| 1.6 introduction of invasive alien species? | No |
| 1.7 adverse impacts on soils? | No |
| 1.8 harvesting of natural forests, plantation development, or reforestation? | No |
| 1.9 significant agricultural production? | No |
| 1.10 animal husbandry or harvesting of fish populations or other aquatic species? | No |
| 1.11 significant extraction, diversion or containment of surface or ground water?  *For example, construction of dams, reservoirs, river basin developments, groundwater extraction* | No |
| 1.12 handling or utilization of genetically modified organisms/living modified organisms?[[2]](#footnote-2) | No |
| 1.13 utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)[[3]](#footnote-3) | No |
| 1.14 adverse transboundary or global environmental concerns? | No |
| **Standard 2: Climate Change and Disaster Risks** |  |
| *Would the project potentially involve or lead to:* | No |
| 2.1 areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions? | No |
| 2.2 outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters?  *For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes* | No |
| 2.3 increases in [vulnerability to climate change](#CCVulnerabilityGlossary) impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)?  *For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population’s vulnerability to climate change, specifically flooding* | No |
| 2.4 increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change? | No |
| **Standard 3: Community Health, Safety and Security** |  |
| *Would the project potentially involve or lead to:* |  |
| 3.1 construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams) | No |
| 3.2 air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation? | No |
| 3.3 harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)? | No |
| 3.4 risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health? | No |
| 3.5 transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)? | No |
| 3.6 adverse impacts on ecosystems and ecosystem services relevant to communities’ health (e.g. food, surface water purification, natural buffers from flooding)? | No |
| 3.7 influx of project workers to project areas? | No |
| 3.8 engagement of security personnel to protect facilities and property or to support project activities? | No |
| **Standard 4: Cultural Heritage** |  |
| *Would the project potentially involve or lead to:* | No |
| 4.1 activities adjacent to or within a Cultural Heritage site? | No |
| 4.2 significant excavations, demolitions, movement of earth, flooding or other environmental changes? | No |
| 4.3 adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) | No |
| 4.4 alterations to landscapes and natural features with cultural significance? | No |
| 4.5 utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes? | No |
| **Standard 5: Displacement and Resettlement** |  |
| *Would the project potentially involve or lead to:* |  |
| 5.1 temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)? | No |
| 5.2 economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)? | No |
| 5.3 risk of forced evictions?[[4]](#footnote-4) | No |
| 5.4 impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources? | No |
| **Standard 6: Indigenous Peoples** |  |
| *Would the project potentially involve or lead to:* |  |
| 6.1 areas where indigenous peoples are present (including project area of influence)? | No |
| 6.2 activities located on lands and territories claimed by indigenous peoples? | No |
| 6.3 impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?  *If the answer to screening question 6.3 is “yes”, then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk* | No |
| 6.4 the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned? | No |
| 6.5 the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples? | No |
| 6.6 forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?  *Consider, and where appropriate ensure, consistency with the answers under Standard 5 above* | No |
| 6.7 adverse impacts on the development priorities of indigenous peoples as defined by them? | No |
| 6.8 risks to the physical and cultural survival of indigenous peoples? | No |
| 6.9 impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?  *Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.* | No |
| **Standard 7: Labour and Working Conditions** |  |
| *Would the project potentially involve or lead to: (note: applies to project and contractor workers)* | No |
| 7.1 working conditions that do not meet national labour laws and international commitments? | No |
| 7.2 working conditions that may deny freedom of association and collective bargaining? | No |
| 7.3 use of child labour? | No |
| 7.4 use of forced labour? | No |
| 7.5 discriminatory working conditions and/or lack of equal opportunity? | No |
| 7.6 occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle? | No |
| **Standard 8: Pollution Prevention and Resource Efficiency** |  |
| *Would the project potentially involve or lead to:* |  |
| 8.1 the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or [transboundary impacts](#TransboundaryImpactsGlossary)? | No |
| 8.2 the generation of waste (both hazardous and non-hazardous)? | Yes |
| 8.3 the manufacture, trade, release, and/or use of hazardous materials and/or chemicals? | No |
| 8.4 the use of chemicals or materials subject to international bans or phase-outs?  *For example, DDT, PCBs and other chemicals listed in international conventions such as the* [*Montreal Protocol*](http://ozone.unep.org/montreal-protocol-substances-deplete-ozone-layer/32506)*,* [*Minamata Convention*](http://www.mercuryconvention.org/)*,* [*Basel Convention*](http://www.basel.int/)*,* [*Rotterdam Convention*](http://www.pic.int/)*,* [*Stockholm Convention*](http://chm.pops.int/) | No |
| 8.5 the application of pesticides that may have a negative effect on the environment or human health? | No |
| 8.6 significant consumption of raw materials, energy, and/or water? | No |

1. Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to “women and men” or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people. [↑](#footnote-ref-1)
2. See the [Convention on Biological Diversity](https://www.cbd.int/) and its [Cartagena Protocol on Biosafety](https://bch.cbd.int/protocol). [↑](#footnote-ref-2)
3. See the [Convention on Biological Diversity](https://www.cbd.int/) and its [Nagoya Protocol](https://www.cbd.int/abs/) on access and benefit sharing from use of genetic resources. [↑](#footnote-ref-3)
4. Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights. [↑](#footnote-ref-4)