# Annex [2]. Social and Environmental Screening Template

*The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document. Please refer to the* [*Social and Environmental Screening Procedure*](http://www.undp.org/content/undp/en/home/librarypage/operations1/undp-social-and-environmental-screening-procedure.html) *and* [*Toolkit*](https://intranet.undp.org/unit/bpps/DI/SES_Toolkit) *for guidance on how to answer the 6 questions.*

**Project Information**

|  |  |
| --- | --- |
| ***Project Information*** |  |
| 1. Project Title | **Support to the Technical Committee on Cultural Heritage** |
| 1. Project Number |  |
| 1. Location (Global/Region/Country) | Cyprus |

**Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability**

|  |
| --- |
| **QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?** |
| ***Briefly describe in the space below how the Project mainstreams the human-rights based approach*** |
| As highlighted by the Special Rapporteur in the field of cultural rights at the end of her visit to Cyprus, on 24 May – 2 June 2016, “the current political situation in Cyprus creates many obstacles to the enjoyment of cultural rights, and in particular to their enjoyment without discrimination […] The division leads to mistrust, a mistrust which is magnified by the resultant logistical obstacles”. “The incredible cultural heritage of the island must be protected for all the people of Cyprus to be able to enjoy their human rights, from the right to access cultural heritage, to the right to education, including to learn their histories, and indeed for future generations. The historical destruction of cultural heritage that have happened in Cyprus over the last decades have created huge impediments to the exercise of the right to access and enjoy cultural heritage. Some of the heritage is not there to be accessed anymore. In Cyprus, some have embraced strictly Hellenic or “European” heritage, others have emphasized “Ottoman” heritage. While I understand that particular aspects of heritage have special resonance for and connections to specific groups within Cypriot society, it is critical to enhance the notion of the heritage of Cyprus as a whole, or cultural heritage as a shared common good important for all. It is also a key part of the cultural heritage of all humankind. The challenge before Cypriots is to acknowledge the universality of heritage, and to equally embrace the heritage of “the other”. Cultural rights are about recognizing each other’s values, identities, and relationship to cultural heritage, including through narratives, uses and social practices. So the work undertaken by UNDP in Cyprus, in support of the bi-communal Technical Committee on Cultural Heritage, is not only about restoring buildings, it is about allowing social interaction, sharing and acknowledging different histories and memories, caring for each other through cherishing each other’s cultural heritage, as part of the common cultural heritage. As stressed many times by the former Special Rapporteur, the mandate on cultural rights has been established to protect not culture and cultural heritage per se, but rather the conditions allowing all people, without discrimination, to access, participate in and contribute to cultural life through a process of continuous development. Adopting a human rights approach entails consulting the people who have particular connections with heritage, including for the purpose of understanding and incorporating the multiplicity of interpretations of that heritage, and determining whether (or not) they wish to rebuild, reconstruct and re-establish such a heritage and if so, how. Cultural heritage can be a means of bringing people together. |
| ***Briefly describe in the space below how the Project is likely to improve gender equality and women’s empowerment*** |
| UNDP works to promote the inclusion of women cultural heritage experts and professionals in all its heritage conservation projects. UNDP PMO in Cyprus has achieved gender parity among its local staff. The office continues work to increase the proportion of women working in its project sites (construction sites).  The Special Rapporteur in the field of cultural rights in her report on her mission to Cyprus recommended that "all Technical Committees enhance consultation and joint projects with other Technical Committees, in particular that on gender, which is a cross-cutting issue". UNDP will continue to work to follow the recommendation of the Special Rapporteur and ensure that gender is mainstreamed in the work of the Technical Committee on Cultural Heritage.  UNDP has already integrated gender indicators in its perception and public opinion surveys on the work of the Technical Committee on Cultural Heritage (TCCH) and gender dis-aggregated data on women's participation to TCCH activities are analyzed. Attendance to UNDP's events in the field of cultural heritage is equal within both communities. However, attention will be paid to measure if increased bi-communal interaction around cultural heritage projects affects women's perceptions of the other, as local research revealed that women are less likely to vote yes in a referendum and have bigger fears of meeting the "other" community. |
| ***Briefly describe in the space below how the Project mainstreams environmental sustainability*** |
| UNDP uses and promotes a precautionary approach to natural resource conservation and reviews its activities to ensure they do not cause negative environmental effects. UNDP requires the application of relevant social and environmental standards to avoid adverse environmental impacts, or where avoidance is not possible, to minimize, mitigate, and as a last resort, offset and compensate for potential residual adverse impacts. |

**Part B. Identifying and Managing Social and Environmental Risks**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **QUESTION 2: What are the Potential Social and Environmental Risks?**  *Note: Describe briefly potential social and environmental risks identified in Attachment 1 – Risk Screening Checklist (based on any “Yes” responses). If no risks have been identified in Attachment 1 then note “No Risks Identified” and skip to Question 4 and Select “Low Risk”. Questions 5 and 6 not required for Low Risk Projects.* | **QUESTION 3: What is the level of significance of the potential social and environmental risks?**  *Note: Respond to Questions 4 and 5 below before proceeding to Question 6* | | | | **QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)?** | |
| ***Risk Description*** | ***Impact and Probability (1-5)*** | ***Significance***  ***(Low, Moderate, High)*** | ***Comments*** | | ***Description of assessment and management measures as reflected in the Project design. If ESIA or SESA is required note that the assessment should consider all potential impacts and risks.*** | |
| Risk 1: There is a risk that duty-bearers (local authorities, monuments administrators) do not have the capacity to guarantee access to heritage sites of rights-holders due to the Cypriot political context or lack of human resources. | I = 4  P = 3 | **Moderate** |  | | UNDP will work closely with its local partners and authorities to ensure that the probability of the risk remains low, and engage in high level advocacy with the relevant authorities if/when necessary. Ultimately, this will depend on the political context. | |
| Risk 2 Failure of structural elements of the Project could pose risks to communities (e.g. collapse of buildings or infrastructure) in particular in urban areas. | I = 3  P = 1 | **Low** |  | | Structural elements will be designed and constructed by competent professionals and certified or approved by competent authorities or professionals. UNDP will ensure that: (i) plans for Project supervision, operation, and maintenance are developed and monitored; (ii) independent expertise on the verification of design, construction, and operational procedures is used; and (iii) periodic safety inspections are carried out. | |
| Risk 3: The Project poses potential risks and vulnerabilities related to occupational health and safety due to physical hazards during Project construction. | I = 4  P = 2 | **Low** |  | | UNDP will ensure that workers are provided with a safe and healthy working environment, taking into account risks inherent to the particular sector (including gender bias) and specific classes of hazards in the work areas. Where relevant, UNDP will ensure steps are taken to prevent accidents, injury, and disease arising from, associated with, or occurring during the course of work and will ensure the application of preventive and protective measures consistent with international good practice, as reflected in internationally-recognized standards such as the World Bank Group’s Environmental, Health, and Safety Guidelines. | |
| Risk 4: Although the project focus on protecting and conserving cultural heritage sites, a possibility exists that this may have inadvertent adverse impacts. | I = 4  P = 1 | **Low** |  | | Qualified and experienced independent experts will assess the Project’s potential impacts on Cultural Heritage site and all protective measures shall be taken to avoid inadvertent adverse impacts. | |
| Risk 5: The proposed Project may potentially result in the generation of waste | I = 3  P = 2 | **Low** |  | | UNDP will ensure that Projects avoid the generation of hazardous and non-hazardous waste materials. Where waste generation cannot be avoided, Projects will reduce the generation of waste, and recover and reuse waste in a manner that is safe for human health and the environment. Where waste cannot be recovered or reused, it will be treated, destroyed, or disposed of in an environmentally sound manner that includes the appropriate control of emissions and residues resulting from the handling and processing of the waste material. | |
|  | **QUESTION 4: What is the overall Project risk categorization?** | | | | | |
| **Select one (see** [**SESP**](http://www.undp.org/content/undp/en/home/librarypage/operations1/undp-social-and-environmental-screening-procedure.html) **for guidance)** | | | | | **Comments** |
| ***Low Risk*** | | | **☐** | |  |
| ***Moderate Risk*** | | | **X** | | Projects includes activities with potential adverse social and environmental risks and impacts, that are limited in scale, can be identified with a reasonable degree of certainty, and can be addressed through application of standard best practice, mitigation measures and stakeholder engagement during Project implementation |
| ***High Risk*** | | | **☐** | |  |
|  | **QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are relevant?** | | | | |  |
| Check all that apply | | | | | **Comments** |
| ***Principle 1: Human Rights*** | | | **X** | |  |
| ***Principle 2: Gender Equality and Women’s Empowerment*** | | | **☐** | |  |
| ***1. Biodiversity Conservation and Natural Resource Management*** | | | **☐** | |  |
| ***2. Climate Change Mitigation and Adaptation*** | | | **☐** | |  |
| ***3. Community Health, Safety and Working Conditions*** | | | **X** | |  |
| ***4. Cultural Heritage*** | | | **X** | |  |
| ***5. Displacement and Resettlement*** | | | **☐** | |  |
| ***6. Indigenous Peoples*** | | | **☐** | |  |
| ***7. Pollution Prevention and Resource Efficiency*** | | | **X** | |  |

**Final Sign Off**

|  |  |  |
| --- | --- | --- |
| ***Signature*** | ***Date*** | ***Description*** |
| QA Assessor | Nilgun Arif  Programme & Communications Analyst | UNDP staff member responsible for the Project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted. |
| QA Approver | Tiziana Zennaro – Senior Programme Manager | UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD)**,** Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC. |
| PAC Chair |  | UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC. |

### SESP Attachment 1. Social and Environmental Risk Screening Checklist

|  |  |
| --- | --- |
| **Checklist Potential Social and Environmental Risks** |  |
| **Principles 1: Human Rights** | **Answer  (Yes/No)** |
| 1. Could the Project lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups? | no |
| 2. Is there a likelihood that the Project would have inequitable or discriminatory adverse impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups? [[1]](#footnote-1) | no |
| 3. Could the Project potentially restrict availability, quality of and access to resources or basic services, in particular to marginalized individuals or groups? | no |
| 4. Is there a likelihood that the Project would exclude any potentially affected stakeholders, in particular marginalized groups, from fully participating in decisions that may affect them? | no |
| 5. Is there a risk that duty-bearers do not have the capacity to meet their obligations in the Project? | yes |
| 6. Is there a risk that rights-holders do not have the capacity to claim their rights? | yes |
| 7. Have local communities or individuals, given the opportunity, raised human rights concerns regarding the Project during the stakeholder engagement process? | no |
| 8. Is there a risk that the Project would exacerbate conflicts among and/or the risk of violence to project-affected communities and individuals? | no |
| **Principle 2: Gender Equality and Women’s Empowerment** |  |
| 1. Is there a likelihood that the proposed Project would have adverse impacts on gender equality and/or the situation of women and girls? | no |
| 2. Would the Project potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? | no |
| 3. Have women’s groups/leaders raised gender equality concerns regarding the Project during the stakeholder engagement process and has this been included in the overall Project proposal and in the risk assessment? | no |
| 4. Would the Project potentially limit women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?  *For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being* | no |
| **Principle 3: Environmental Sustainability:** Screeningquestions regarding environmental risks are encompassed by the specific Standard-related questions below |  |
|  |  |
| **Standard 1: Biodiversity Conservation and Sustainable** [**Natural**](#SustNatResManGlossary) **Resource Management** |  |
| 1.1 Would the Project potentially cause adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?  *For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes* | no |
| 1.2 Are any Project activities proposed within or adjacent to critical habitats and/or environmentally sensitive areas, including legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities? | no |
| 1.3 Does the Project involve changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5) | no |
| 1.4 Would Project activities pose risks to endangered species? | no |
| 1.5 Would the Project pose a risk of introducing invasive alien species? | no |
| 1.6 Does the Project involve harvesting of natural forests, plantation development, or reforestation? | no |
| 1.7 Does the Project involve the production and/or harvesting of fish populations or other aquatic species? | no |
| 1.8 Does the Project involve significant extraction, diversion or containment of surface or ground water?  *For example, construction of dams, reservoirs, river basin developments, groundwater extraction* | no |
| 1.9 Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) | no |
| 1.10 Would the Project generate potential adverse transboundary or global environmental concerns? | no |
| 1.11 Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area?  *For example, a new road through forested lands will generate direct environmental and social impacts (e.g. felling of trees, earthworks, potential relocation of inhabitants). The new road may also facilitate encroachment on lands by illegal settlers or generate unplanned commercial development along the route, potentially in sensitive areas. These are indirect, secondary, or induced impacts that need to be considered. Also, if similar developments in the same forested area are planned, then cumulative impacts of multiple activities (even if not part of the same Project) need to be considered.* | no |
| **Standard 2: Climate Change Mitigation and Adaptation** |  |
| 2.1 Will the proposed Project result in significant[[2]](#footnote-2) greenhouse gas emissions or may exacerbate climate change? | no |
| 2.2 Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change? | no |
| 2.3 Is the proposed Project likely to directly or indirectly increase social and environmental [vulnerability to climate change](#CCVulnerabilityGlossary) now or in the future (also known as maladaptive practices)?  *For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population’s vulnerability to climate change, specifically flooding* | no |
| **Standard 3: Community Health, Safety and Working Conditions** |  |
| 3.1 Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities? | no |
| 3.2 Would the Project pose potential risks to community health and safety due to the transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)? | no |
| 3.3 Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)? | yes |
| 3.4 Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure) | yes |
| 3.5 Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions? | no |
| 3.6 Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)? | no |
| 3.7 Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning? | yes |
| 3.8 Does the Project involve support for employment or livelihoods that may fail to comply with national and international labor standards (i.e. principles and standards of ILO fundamental conventions)? | no |
| 3.9 Does the Project engage security personnel that may pose a potential risk to health and safety of communities and/or individuals (e.g. due to a lack of adequate training or accountability)? | no |
| **Standard 4: Cultural Heritage** |  |
| 4.1 Will the proposed Project result in interventions that would potentially adversely impact sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: Projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) | yes |
| 4.2 Does the Project propose utilizing tangible and/or intangible forms of cultural heritage for commercial or other purposes? | no |
| **Standard 5: Displacement and Resettlement** |  |
| 5.1 Would the Project potentially involve temporary or permanent and full or partial physical displacement? | no |
| 5.2 Would the Project possibly result in economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)? | no |
| 5.3 Is there a risk that the Project would lead to forced evictions?[[3]](#footnote-3) | no |
| 5.4 Would the proposed Project possibly affect land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources? | no |
| **Standard 6: Indigenous Peoples** |  |
| 6.1 Are indigenous peoples present in the Project area (including Project area of influence)? | n/a |
| 6.2 Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples? | n/a |
| 6.3 Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the Project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?  *If the answer to the screening question 6.3 is “yes” the potential risk impacts are considered potentially severe and/or critical and the Project would be categorized as either Moderate or High Risk.* | n/a |
| 6.4 Has there been an absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned? | n/a |
| 6.5 Does the proposed Project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples? | n/a |
| 6.6 Is there a potential for forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? | n/a |
| 6.7 Would the Project adversely affect the development priorities of indigenous peoples as defined by them? | n/a |
| 6.8 Would the Project potentially affect the physical and cultural survival of indigenous peoples? | n/a |
| 6.9 Would the Project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? | n/a |
| **Standard 7: Pollution Prevention and Resource Efficiency** |  |
| 7.1 Would the Project potentially result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or [transboundary impacts](#TransboundaryImpactsGlossary)? | no |
| 7.2 Would the proposed Project potentially result in the generation of waste (both hazardous and non-hazardous)? | yes |
| 7.3 Will the proposed Project potentially involve the manufacture, trade, release, and/or use of hazardous chemicals and/or materials? Does the Project propose use of chemicals or materials subject to international bans or phase-outs?  *For example, DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Conventions on Persistent Organic Pollutants or the Montreal Protocol* | no |
| 7.4 Will the proposed Project involve the application of pesticides that may have a negative effect on the environment or human health? | no |
| 7.5 Does the Project include activities that require significant consumption of raw materials, energy, and/or water? | no |

1. Prohibited grounds of discrimination include race, ethnicity, gender, age, language, disability, sexual orientation, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to “women and men” or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender people and transsexuals. [↑](#footnote-ref-1)
2. In regards to CO2, ‘significant emissions’ corresponds generally to more than 25,000 tons per year (from both direct and indirect sources). [The Guidance Note on Climate Change Mitigation and Adaptation provides additional information on GHG emissions.] [↑](#footnote-ref-2)
3. Forced evictions include acts and/or omissions involving the coerced or involuntary displacement of individuals, groups, or communities from homes and/or lands and common property resources that were occupied or depended upon, thus eliminating the ability of an individual, group, or community to reside or work in a particular dwelling, residence, or location without the provision of, and access to, appropriate forms of legal or other protections. [↑](#footnote-ref-3)