



**BIODIVERSITY FINANCE INITIATIVE
2017-2018 Multi-Year Work Plan**

Implementing Partner:

UNITED NATIONS DEVELOPMENT PROGRAMME

Responsible Parties:

UNITED NATIONS DEVELOPMENT PROGRAMME

Project Description

The Biodiversity Finance Initiative (BIOFIN) is launched as a new global partnership seeking to address the global biodiversity finance challenge in a comprehensive and systematic manner (see www.biodiversityfinance.net). The aim of this partnership is to enable governments to construct a sound business case for increased investment in the sustainable and equitable management, protection and restoration of biodiversity and ecosystems. The BIOFIN Workbook provides guidance to countries on how to assess financial needs and how to mobilize the financial resources required to fully implement their revised NBSAPs, and thereby achieve the Aichi Biodiversity Targets at a national level.

Programme Period: 2014-2018

Atlas Award/Project ID: 00047594/00093061

Start Date: DEC 2014

End Date: DEC 2018

PAC Meeting Date _____

2017 AWP budget: 164,633.33

2018 AWP budget: 150,111.59

Total resources required 314,744.93

Total allocated resources: _____

• Regular _____

• Other: _____

◦ Donor _____

◦ Government _____

314,744.93

Unfunded budget: _____

In-kind Contributions: _____

Submitted by:

ANABELLE E. PLANTILLA, Project Manager

Agreed by UNDP:

TITON MITRA, Country Director

Date:

JAN 30 2017

PROGRAMME ALIGNMENT

a.1 Strategic Plan Outcome and Output

Code	Indicator Description	Year	Quantity/ Points	Strategic Plan 2014-2017 Outcome and Output Statements & Indicators			Actual
				2014	2015	2016	
2018	Outcome Indicator Citizens expectations for voice, development, the rule of law and accountability are met by stronger systems of democratic governance	Baseline		Milestone			
		Target					

SP Outcome {2}: Citizen expectations for voice, development, the rule of law and accountability are met by stronger systems of democratic governance	Indicator Description	Year	Quantity/ Points	2014	2015	2016	2017	Target	Actual
2.1	Number of countries with open access to data on government budgets, expenditures and public procurement								
2.3	Percentage of women in national parliaments								
SP Output 2.5: Legal and regulatory frameworks, policies and institutions enabled to ensure the conservation, sustainable use, and access and benefit sharing of natural resources, biodiversity and ecosystems, in line with international conventions and national legislation									
2.5.1	Extent to which legal, policy and institutional frameworks are in place for conservation, sustainable use, and access and benefit sharing of natural resources, biodiversity and ecosystems			None	BIOFIN Methodology implemented	BIOFIN Methodology implemented	Implementation of The BIODIVERSITY FINANCE Plan	Implementation of The BIODIVERSITY FINANCE Plan	Policies on Biodiversity Financing (ie, BD Tagging, PPP and earmarked funds) in place

a.2 UNDAF/CPD Outcome

UNDAF/CPD Outcome Statements & Indicators									
UNDAF Outcome 4: Adaptive capacities of vulnerable communities and ecosystems are strengthened to be resilient to threats, shocks, disasters, and climate change.									
Sub-Outcome Statements/									
Sub-outcome area 4.3 Capacities of key duty bearers at national and local levels, to conserve and sustainably manage the country's environment and natural resources, including sustainable energy development and utilization, enhanced by 2016									
Outcome Indicator Description		Year	Quantity/Points/Rating	Y1	Y2	Y3	Y4	Year	Qty/Points/Rating
Baseline		Milestone						Target	
% degradation rates of critical environment and natural resources parameters									
% productivity of ENR sub-sectors over baselines									
% of rates of renewal energy development/use and energy efficiency									

1. 2017-2018 MULTI-YEAR WORK PLAN

Project Title: BIODIVERSITY FINANCE INITIATIVE

Award ID: 00047594

Project ID: 00093061

Implementing Partner: UNITED NATIONS DEVELOPMENT PROGRAMME

Project Output Indicator/s of Output	Year	Baseline	End of Project Target
		Quantity/ Quality	

Output 1. By 2018, capacity to implement resource mobilization mechanisms is improved

No. of signed letters of commitment from LGUs/NGOs/POs	2015	No letter of commitments	At least 3 signed letters of commitments
Number of viable proposals submitted by LGUs and CSOs totalling to 1B (PSF)	2015	No proposals submitted	At least 5 viable proposal submitted
Number of viable proposals submitted by LGUs to totalling 200M (ER 1-94)	2015	No proposals submitted	At least 5 viable proposal submitted
BIOFIN Methodology implemented locally	2015	LGUs do not have biodiversity action plans and finance solutions	BIOFIN Workbooks implemented in at least 1 LGUs
Private sector participation in marketplace ensured	2016	Limited private sector investment for biodiversity conservation	At least 1 marketplace/matching activity conducted
			At least 1 marketplace/matching activity conducted

Activities	Sub-activities		Responsible Party	Atlas Code	Budget Description	Planned Budget			
	2017	2018				Donor Code (USD)	2018 (USD)		
1.1. Number of viable proposals submitted by LGUs and CSOs totalling to PHP100M (PSF)	Proposals submitted to at least 100M amounting to at least 5 viable proposals submitted	At least 5 viable proposals submitted	UNDP	71300	Local Consultants	23400	2,639,75		
						71400	Service Contracts	23400	4,408.89
						71600	Travel	23400	3,000.00
						1			
						2			
						3			
						4			
						4			
						1			
						2017	2018		

Activities	Sub-activities		2017				2018				Responsible Party	Atlas Code	Budget Description	Donor Code	Planned Budget	
	2017	2018	1	2	3	4	1	2	3	4					2017 (USD)	2018 (USD)
1.2. Number of viable proposals submitted by LGUs amounting to at least 200M (ER 1-94) totaling to Php200M submitted to at least 200M	Proposals submitted to at least 5 viable	At least 5 viable proposals submitted	*	*	*	*	*	*	*	*	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75
			71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89				
			71600	Travel	23400	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00	3,000.00				
			75705	Learning Costs	23400	5,000.00	5,000.00	10,000.00	10,000.00	10,000.00	10,000.00	10,000.00				
	1 marketplace/ matching activity for 4 proponents and private sector participation	1 marketplace/ matching activity for 4 proponents conducted	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75				
				71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89				
				71600	Travel	23400	3,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00				
				75705	Learning Costs	23400	5,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00				
	1.3. Programs developed for donor and private sector participation	1 marketplace/ matching activity for 4 proponents conducted	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75				
				71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89				
				71600	Travel	23400	3,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00				
				75705	Learning Costs	23400	5,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00				
	1.4. Private sector participation in marketplace as investors ensured	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75				
			71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89				
			71600	Travel	23400	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00				
			75705	Learning Costs	23400	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00				
1.5. Viability of other financial mechanisms (debt swaps, green bonds, social impact investment, ecological fiscal transfers) determined	Carbon Tax TA PSF IRR in coordination with Cong. Sato's office (public consultation activity) Max as resource person for EFT	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75					
			71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89					
			71600	Travel	23400	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00					
			75705	Learning Costs	23400	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00					
1.6. LGUs with localized biodiversity action plans and implementing finance solutions	At least 1 finance solution implemented in at least 2 LGUs	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75					
			71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89					
			71600	Travel	23400	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00					
			75705	Learning Costs	23400	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00					
1.6. LGUs with localized biodiversity action plans and implementing finance solutions	At least 1 finance solution implemented in at least 2 LGUs	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75					
			71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89					
			71600	Travel	23400	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00					
			75705	Learning Costs	23400	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00					
Costing of 4 LGUs (Mindoro Occ. Or, Palawan, Cebu)	UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75	2,639.75					
		71400	Service Contracts	23400	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89	4,408.89					
		71600	Travel	23400	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00	1,000.00					
		75705	Learning Costs	23400	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00	2,000.00					

Project Output Indicator/s of Output	Year	Quantity/ Quality	Target this Year (2016)	End of Project Target
				Baseline
OUTPUT 2. By 2018, environment policies are conducive for biodiversity investments				
Draft JAO/DAO on biodiversity tagging formulated	2015	No policy	1 policy paper developed	
Draft DAO on recommended modes of PPP formulated	2015	No policy	1 policy paper developed	
House bill on Malampaya Fund signed and filed for 17th congress	2015	No policy	Draft bill signed	

Activities	Sub-activities		2017				2018				Responsible Party	Atlas Code	Budget Description	Donor Code	2017 (USD)	2018 (USD)	Planned Budget		
	2017	2018	1	2	3	4	1	2	3	4									
1.7. PBSAP Secretariat strengthened	Support to Cascading activities for other DENR bureaus, agencies and regional offices	Finalize abridged and unabridged PBSAP	*	*	*	*	*	*	*	*	*	UNDP	71400	Service Contracts	23400	4,408.89			
													71600	Travel	23400	1,000.00	1,000.00		
														75705	Learning Costs	23400	1,000.00	1,000.00	
At least 1 finance solution implemented in at least 2 LGUs	MOUs with LGUs		*	*	*	*	*	*	*	*	*		71600	Travel	23400	2,000.00	3,000.00		
													75705	Learning Costs	23400	2,000.00	2,000.00		
Sub-total																		87,862.2	77,700.7

Activities	Sub-activities		2017				2018				Responsible Party	Atlas Code	Budget Description	Donor Code	2017 (USD)	2018 (USD)	
	2017	2018	1	2	3	4	1	2	3	4							
Number of policy papers and policies developed and implemented	Draft JAO/DAO on biodiversity tagging	*									UNDP	71300	Local Consultants	23400	5,000.00	2,639.75	
	biodiversity tagging formulated																
	DAO/JAO on biodiversity tagging signed and implemented			*	*	*	*	*	*	*	UNDP	71400	Service Contracts	23400	4,408.89	4,408.89	
	Draft DAO on recommended modes of PPP			*													
	DAO on establishment of PPP unit in DENR signed and implemented			*	*	*	*	*	*	*	UNDP	71600	Travel	23400	1,000.00	1,000.00	
	Research on PPP modalities conducted (if Ricky says yes)			*	*	*	*	*	*	*	UNDP						
	House bill on Malampaya Fund signed and filed for 17th congress			*	*	*	*	*	*	*	UNDP	75705	Learning Cost	23400	2,000.00	2,000.00	
	Support to house hearings and other prep activities																
Sub-total															12,408.8	10,048.6	

Planned Budget

9

4

Project Output Indicator/s of Output		Year	Quantity/ Quality	Target this Year (2016)	End of Project Target
OUTPUT 3. By 20184, greater awareness on biodiversity and its importance to the economy is achieved					
Number of partnership activities conducted	2015	1	partnership activity	Atleast 1 partnership activity	
Number of organizations/agencies that forged partnership and commitment to implement strategies and actions in the IEC Plan	2015	N/A		Atleast 2 organizations/agencies	
Number of IEC materials produced and disseminated	2015	No IEC materials produced		Atleast 2 IEC materials produced and disseminated	
Number of radio and other media sources highlighting biodiversity financing	2015	1	media coverage	Atleast 2 radio and other media coverage	
Number of press releases	2015	3	press releases	Atleast 2 press releases	

Activities	2017	2018	Sub-activities												
			1	2	3	4	1	2	3	4	1	2	3	4	
Communication plan formulated and implemented	IEC plan implemented	IEC plan implemented	UNDP	7140	7570	0	5	7140	7570	0	5	7140	7570	0	5
				Service Contracts	Learning Costs	Service Contracts	Learning Costs	Service Contracts	Learning Costs	Service Contracts	Learning Costs	Service Contracts	Learning Costs	Service Contracts	Learning Costs
Number of partnership activities conducted	At least 1 partnership activity conducted	UNDP	*	*	*	*	*	*	*	*	*	*	*	*	*
				4,408.89	1,000.00	4,408.89	1,000.00	4,408.89	1,000.00	4,408.89	1,000.00	4,408.89	1,000.00	4,408.89	1,000.00
Donor Code 2017 (USD)	Code 2018 (USD)	Planned Budget													

Activities	2017		2018		Responsible Party	Atlas Code	Budget Description	Donor Code	2017 (USD)	2018 (USD)
	Sub-activities		2018							
	1	2	3	4						
Efficient and effective use of project funds	At least 90% of funds spent		*	*	UNDP	72400	Communications	23400	2,000.00	2,000.00
	At least 80% of funds spent		*	*	UNDP	75705	Learning Costs	23400	5,000.00	5,000.00
Monitoring and Evaluation										

Activities	2017		2018		Responsible Party	Atlas Code	Budget Description	Donor Code	2017 (USD)	2018 (USD)
	Sub-activities		2018							
	1	2	3	4						
Number of organizations/agencies that forged partnership and implement actions in the IEC plan	At least 2 organizations/agencies committing to implement actions in the IEC plan		*	*	UNDP	7140	Service Contracts	23400	4,408.89	4,408.89
	Number of organizations/agencies implementing strategies and actions in the IEC plan									
Number of IEC materials produced & disseminated	At least 2 IEC materials produced & disseminated		*	*	UNDP	7140	Service Contracts	23400	4,408.89	4,408.89
	Number of radio and other media sources and other media coverage done									
Number of radio and other media sources highlighting biodiversity financing	At least 2 radio and other media coverage done		*	*	UNDP	7140	Service Contracts	23400	4,408.89	4,408.89
	Number of press releases published									
Documentation of PPS/solutions	Case studies		*	*	UNDP	7140	Service Contracts	23400	4,408.89	4,408.89
	Case studies									
Sub-total									38,362.2	36,362.2

Planned Budget

Monitoring and Evaluation Plan

MONITORING AND EVALUATION FRAMEWORK

Output	Baseline (2015)	Target (2017)	Data Collection Methods	Frequency	Means of Verification	Responsibilities	Resources	Risks and assumptions
Output 1. By 2018, capacity to implement resource mobilization mechanisms is improved								
No. of signed letters of commitment from LGUs/NGOs/POs	No letter of commitments	At least 3 signed letters	Reports from Consultants	Quarterly	Primary Data from LGUs	Project Manager	Learning – USD 300,00	
Number of viable proposals submitted by LGUs and CSOs totalling to 18 (PSF)	No proposals submitted	At least 5 viable proposal submitted	Reports from Consultants	Quarterly	Primary Data from LGUs	Project Manager	Learning – USD 300,00	
Number of viable proposals submitted by LGUs to totalling 200M (ER 1-94)	No proposals submitted	At least 5 viable proposal submitted	Reports from Consultants	Quarterly	Primary Data from LGUs	Project Manager	Learning – USD 300,00	
BIOFIN Methodology implemented locally	LGUs do not have biodiversity action plans and finance solutions	BIOFIN Workbooks implemented in at least 2 LGUs		Annual		Project Manager	Learning – USD 300,00	
Private sector participation in marketplace ensured	Limited private sector investment for biodiversity conservation	At least 1 marketplace/matching activity conducted		Annual	Primary Data from LGUs	Project Manager	Learning – USD 300,00	
OUTPUT 2. By 2018, environment policies are conducive for biodiversity investments								
Draft JAO/DAO on biodiversity tagging formulated	No policy	1 policy paper developed		Quarterly	Primary Data	Project Manager	Learning – USD 300,00	

Output	Baseline (2015)	Target (2017)	Data Collection Methods	Frequency	Means of Verification	Responsibilities	Resources	Risks and assumptions
Draft DAO on recommended modes of PPP formulated	No policy	1 policy paper developed		Quarterly	Primary Data	Project Manager	Learning – USD 300,00	
House bill on Matampaya Fund signed and filed for 17th congress	No policy	Draft bill signed		Quarterly	Primary Data	Project Manager	Learning – USD 300,00	
OUTPUT 3. By 20184, greater awareness on biodiversity and its importance to the economy is achieved								
Number of partnership activities conducted	1 partnership activity	At least 1 partnership activity		Quarterly	Primary Data	Information and Communications Assistant	Learning – USD 300,00	
Number of organizations/agencies that forged partnership and commitment to implement strategies and actions in the IEC Plan	N/A	At least 2 organizations/agencies		Quarterly	Primary Data	Information and Communications Assistant	Learning – USD 300,00	
Number of IEC materials produced and disseminated	No IEC materials produced	At least 2 IEC materials produced and disseminated		Quarterly	Primary Data	Information and Communications Assistant	Learning – USD 300,00	
Number of radio and other media sources highlighting biodiversity financing	1 media coverage	At least 2 radio and other media coverage		Quarterly	Primary Data	Information and Communications Assistant	Learning – USD 300,00	
Number of press releases	3 press releases	At least 2 press releases		Quarterly	Primary Data	Information and Communications Assistant	Learning – USD 300,00	

Risk Log

No	Description	Date Identified	Type	Status	Countermeasures / Management response (What actions have been taken/will be taken to counter this risk)
1	The availability of target participants to the project's activities like the BD expenditure tagging workshop, PBSAP cascading activities, and other related meetings can cause a delay in project implementation and may affect deliverables.	7/1/2016	Political (Government commitment)	Materialized, medium-level risk. This has caused a delay in the submission of the report on BD expenditure tagging. Although a PBSAP cascading activity has been conducted, only 1 out of the 4 target BMB division chiefs was present in spite of a Special Order and a prior notice of the activity. It is imperative that the senior management staff of BMB are familiar with the PBSAP and their roles in its implementation.	Constant follow-ups with the concerned DENR bureaus that have not yet undergone the BD expenditure tagging workshop is being done. A one-on-one cascading activity can be done for the senior staff.
2.	The new administration is still in transition.	July 2016	Political	Materialized, medium-level risk. There is already a draft DAO on the establishment of a PPP unit in the DENR which was left unsigned by the last administration. There is a possibility that the new administration may not approve the policy.	The Project team will meet with the PPP point person (if already designated) as the previous one has retired. A project briefing will be provided to inform the focal person about the project and its objectives.

Procurement Plan - 2017

Project Title:	BIODIVERSITY FINANCE INITIATIVE
Project Type:	DIM
Date Prepared:	December 19, 2016

Instructions:
 Please include ONLY the procurement items that will be done by UNDP (i.e., DIM project needs, Management project needs, CO support-to-NIM projects and UN Agency service requirements)

Atlas Project ID No.	Category (Identify if Goods, IC, Civil Works, Recurring Costs, Consulting Services)	Brief Description of goods, services or works required	Unit of Measure	Quantity	Estimated Unit Price in USD	Estimated Total Price in USD	Requested date (for goods and services) or start of services (if services)	Target Date for the Submission of TOR/Specs/SOW or Procurement Team	Delivery Location (for goods)	Home-based (Yes or No, if consulting services)	Duty Station (if consulting services)
93061	IC	Lead Consultant	person	1	\$ 18,000.00	\$ 18,000.00	15-Mar	15-Feb		Yes	DENR BMB
93061	IC	Policy Expert	person	1	\$ 10,000.00	\$ 10,000.00	15-Feb	15-Jan		Yes	DENR BMB
93061	IC	LGU Specialist	person	1	\$ 5,000.00	\$ 5,000.00	15-Sep	15-Aug		Yes	DENR BMB
93061	IC	Workshop Documenter	person	1	\$ 2,000.00	\$ 2,000.00	*	*			DENR BMB
93061	IC	Workshop Facilitator	person	1	\$ 2,000.00	\$ 2,000.00	*	*			DENR BMB
93061	IC	Local BIOFIN Focal Person	person	3	\$ 2,000.00	\$ 6,000.00	15-Jul	15-Jun		Yes	
93061	Goods	IT Supplies (Laptop and software)	set	1	\$ 1,000.00	\$ 1,000.00	15-Feb	15-Jan	DENR BMB		
93061	Services	Workshop Venue and Food	number	5	\$ 3,000.00	\$ 15,000.00	*	*			
93061	Services	Travel (Tickets and DSA)			\$ 18,500.00	\$ 18,500.00	*	*			

* Note: Schedule is still indicative

Travel Plan - 2017

Project Title:	BIODIVERSITY FINANCE INITIATIVE
Project Type :	DIM
Date Prepared:	December 19, 2016

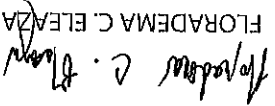
Instructions:
Please include ONLY the procurement items that will be done by UNDP (i.e., DIM project needs, Management project needs, CO support-to-NIM projects and UN Agency service requirements

Date of Planned Travel	Activity	Site/Venue	Name of Project Staff Involved	Objective/s of Travel (state how will this contribute to Project)	Total Budget
4th Week of April	BIOFIN Regional Workshop	Kazakhstan	Anabelle Plantilla, Grace Tena, Loreno Cordova	<ul style="list-style-type: none"> Facilitate experience sharing and technical discussions among countries Discuss the results from BIOFIN implementation to inform global fora and future programming Explore opportunities for biodiversity finance solutions/investments in BIOFIN countries 	\$ 2,500.00
January 30-February 2	CCC - PSF Training / Writeshop for Busuanga	Palawan	Anabelle Plantilla, Angelique Ogena, Kamille Trillanes, Mirafior Sanchez, Armida Andres, CCC		\$ 2,500.00
2nd week of February	Courtesy calls and presentation of PBSAP-BIOFIN	Mindoro Occidental	Anabelle Plantilla, Grace Tena, Loreno Cordova, Annabelle Trinidad, Angelique Ogena, Kamille Trillanes, Mirafior Sanchez, Armida Andres, Angelita Meniado		
2nd week of February		Cavite	Anabelle Plantilla, Angelique Ogena, Kamille Trillanes, Mirafior Sanchez, Armida Andres, CCC		
2nd week of march	Presentation of PSF and ER 1-94 Proposals	Davao	Anabelle Plantilla, Grace Tena, Loreno Cordova, Annabelle Trinidad, Angelique Ogena, Kamille Trillanes, Mirafior Sanchez, Armida Andres, Angelita Meniado		
Annual Travel Budget					\$ 20,000.00

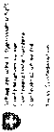
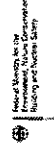
CLEARLED BY: 

GRACE A. TENA

Programme Analyst, ISD


FLORADEMA C. ELEAZAR

Programme Manager, ISD



1
2
3
4
5
6

Minutes of the 7th Project Board Meeting
 December 2, 2015, 10:00 AM – 2:00 PM
 Tribeca Room, Astoria Plaza Hotel
 15 Escriva Drive, Ortigas Business District, Pasig

Present:

Jonas Leones	Undersecretary for International Affairs and Foreign Assisted Programs Department of Environment and Natural Resources (DENR) Chair, BIOFIN Project Board
Mercedita Sombilla	Assistant Secretary, National Economic and Development Authority (NEDA) Co-Chair, PBSAP-BIOFIN Project Board
Vivian Illarina	Assistant National Statistician, Philippine Statistics Authority (PSA)
Floradema Eleazar	Team Leader, Inclusive and Sustainable Development United Nations Development Programme (UNDP)
Edwin Domingo	Director, Foreign Assisted and Special Projects Services (FASPS), DENR
Jeanette Florita	Director, Legal Affairs Office, National Commission on Indigenous Peoples (NCIP)
Belinda de la Paz	Executive Director, Haribon Foundation, Inc.
Antonio Manila	Assistant Director, Biodiversity Management Bureau (BMB), DENR
Thelma Alcaraz	Planning and Policy Studies Office (PPSO), DENR
Rolando Fernandez	Mines and Geosciences Bureau (MGB), DENR
Felixberto Lansigan	Forest Management Bureau (FMB), DENR
Sandra Victoria Arcamo	Bureau of Fisheries and Research (BFAR), DA
Amelita Micu	Department of Social Welfare and Development (DSWD)
Cari Espenesin	Climate Change Commission (CCC)
John Adrian Narag	Department of Finance (DOF)

7

Absent were representatives from the Departments of Energy (DOE), Budget & Management (DBM), Public Works and Highways (DPWH), and the Systems Wide Climate Change Office (SWCCO) of the Department of Agriculture (DA).

8
9
10
11
12

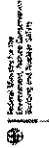
Also present:

Mary Jane Dela Rosa	NEDA
Imee Hacla	PPSO DENR
Grace Tena	UNDP
Angelita Meniado	BMB DENR
Anabelle Plantilla	BIOFIN
Angelique Ogena	BIOFIN
Annabelle Trinidad	BIOFIN
Alton Durban	BIOFIN

13
14
15
16
17
18

I. Call to Order and Adoption of Agenda

The meeting started at 10:25 AM with Usec. Leones of DENR as Chair and Asec. Sombilla as Co-Chair. A round of introductions was made.



19 Usec. Leones asked the body if they have any comments and/or proposed addition to the agenda. Hearing no
20 comment from the Board members, the Chair moved for the adoption of the agenda and was seconded by
21 _____.

22
23 **II. Review and approval of Minutes of Last Meeting**
24

25 The Chair requested everyone to go over the minutes of the previous meeting held last 18 December 2016
26 and asked if there are any comments on the said document. Asec. Sombilla requested the Secretariat, in this
27 case the BIOFIN PMO, that the word “existing” in line 68 of the minutes be deleted as it is a duplicate from
28 line 67.
29

30 Hearing no other comments from the body, the minutes of the previous meeting was approved.
31

32
33 **III. Matters Arising from Minutes of the Last Project Board Meeting**
34

35 Assistant Director Manila (BMB) requested Ms. Plantilla (BIOFIN) to present the matters arising from the
36 minutes of the last Project Board Meeting.
37

38 Before presenting the matters arising, Ms. Plantilla presented a brief background and refresher of what the
39 BIOFIN project is since the last project board meeting was a year ago there are also new representatives in the
40 body. She explained that the Philippine Biodiversity Strategy and Action Plan (PBSAP) 2015-2028, which is the
41 base document of the BIOFIN contributes to the achievement of the 20 Aichi Targets, the 17 Sustainable
42 Development Goals and to the President’s 10-point agenda. To be able to implement the PBSAP, financial
43 resources are needed and this where BIOFIN comes in as it seeks identify potential sources of funds and
44 narrow the financing gap. Based on the study conducted by BIOFIN, the required funding to implement PBSAP
45 is Php 24B/yr from 2015-2028 but the current spending is only at Php 5B/yr. Ms. Plantilla presented the
46 BIOFIN’s theory of change (TOC) where the ultimate goal is to increase biodiversity investments through
47 improving awareness on biodiversity, establishing a conducive policy environment for biodiversity financing
48 and improving the capacity for resource mobilization and implementation of finance mechanisms.
49

50 Usec. Leones asked how BIOFIN came with the financing gap (i.e., Php 19B/yr). Ms. Plantilla explained that
51 BIOFIN conducted an expenditure review of about 60 national agencies covering the period of 2008-2013. She
52 added that the bulk of the Php 5B biodiversity expenditure, which is around Php 4B, is attributed to DENR
53 while the remaining is from other agencies and LGUs. Ms. Plantilla said that the BIOFIN studies are available
54 and can be readily shared with the office of Usec. Leones for his reference.
55

56 Ms. Plantilla proceeded with the matters arising from the previous meeting.
57

58 On the presentation of the PBSAP to the Cabinet Cluster on Climate Change Adaptation and Mitigation
59 (CCAM) or to the Cabinet Cluster on Human Security and Poverty Reduction, Ms. Plantilla reported that no
60 presentation was done yet given the last election and the transition phase of the current administration. The
61 BIOFIN team will work on scheduling a presentation next year.
62

63 Another matter was the clearance from the Board to publish online the BIOFIN reports (i.e., Policy and
64 Institutional Review, Public & Private Biodiversity Expenditure Review, PBSAP Costing & Financing Gap). Ms.
65 Plantilla shared that the Team met with Usec. Gerochi last year and he advised that the reports can be
66 submitted to the Global BIOFIN team but these cannot be uploaded to the website. However, if there are
67 request for copies of the reports, then the Global team can provide copies of the reports. Ms. Tena (UNDP)
68 asked why this was the advice and Ms. Plantilla responded that probably Usec. Gerochi wanted that time was
69 to seek endorsement from the DENR. Asec. Mercy emphasized that these reports are only studies and Usec.



70 Leones added that if there are no issues with the reports then the online publication should be fine, especially
71 that the website where these will be published is owned and managed by BIOFIN. Ms. Plantilla suggested that
72 perhaps the Board can give clearance for the online publication of these reports. Asec. Sombilla asked
73 whether the BIOFIN website is managed by the Global team to which Ms. Plantilla responded positively. Ms.
74 Plantilla added that resources and news from the Philippine team and other countries are uploaded to that
75 common website. Usec. Leones inquired as to who uploads content to the website and Ms. Plantilla said that
76 the Global team does all the uploading, managing and maintenance of the website. The Chair furthered that
77 since the reports were already submitted to the Global team and if in any case, they deem it proper to upload
78 them in the website, is there any chance for it to be prevented. Ms. Plantilla clarified that the Global team
79 ensures that it obtains permission from the countries before uploading these countries' content to the
80 website. The Board went on discussing who owns the reports because if it is the DENR then clearance should
81 come from the DENR. Usec. Leones added that the BIOFIN reports were PBSAP dependent. Ms. Plantilla
82 opined that the advice from Usec. Gerochi could be because of DENR's pending approval of the PBSAP DAO at
83 that time. Given the discussion on the online publication, the Chair asked the Secretariat to present the
84 BIOFIN reports to the Board to check if there are any issues surrounding it and assess whether clearance
85 should be sought from the DENR.
86

87 **IV. BIOFIN updates**

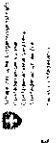
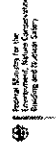
88 **a. Project updates**

89 The 2016 Project updates were presented by Ms. Plantilla to the Board.
90
91

92 On the tapping earmarked funds for biodiversity financing, Asec. Sombilla said that the People's Survival Fund
93 is still open but proposals should be submitted before the end of this year as it will be closing by January or
94 February of next year. Related to this, AD Manila asked whether the two (2) LGUs who were among those who
95 submitted proposals have already been approved. Asec. Sombilla said that one is already approved while the
96 other is under conditional approval status. Usec. Leones suggested that the team also explore the National
97 Greening Program and BMB's Coastal and Marine funds (i.e., Php 500M). Ms. Plantilla then said that the Team
98 will coordinate with BMB, FMB and the LGUs on this matter.
99

100 Ms. Eleazar (UNDP) asked the DENR about its livelihood project, which can make use of the proposals. Usec.
101 Leones suggested that the BIOFIN explore how the proposals can be jived with the DENR's livelihood project.
102 He said that DENR has identified 32 priority sites and perhaps BIOFIN can check if the proposals fall into these
103 sites. He emphasized that there should be convergence among these projects. He added that they have
104 undertaken workplanning with the communities and stakeholders ensuring that the concerns of the sites are
105 incorporated in the project development. Ms. Arcaño (BFAR) shared BFAR's experience on partnering with
106 DENR specifically for the Integrated Coastal Resource Management Program (ICRMP). BFAR focused on
107 pushing for the enterprise component but later on learned that the focus should be on capacitating the
108 communities such that they will become self-sustaining in a manner that they will not be dependent on
109 external support and programs will continue to be sustainable even after the projects have ended. She added
110 that the convergence between DA, DAR, DENR, and DILG have been existing for more than 20 years but the
111 convergence mindset has not been instilled yet thus there is a need to retool the convergence.
112

113 Asec. Sombilla shared that NEDA is currently undertaking research (i.e., NEDA-RARE Coastal and Marine
114 Project) that will sustain communities and bring about behavioral change to come up with appropriate
115 financing schemes for coastal and marine protection and conservation. At the moment, the research project is
116 conducting surveys and will hopefully come up with suitable financing schemes for coastal barangays. Usec.
117 Leones furthered that there are a lot of existing financing schemes that we can follow but the most critical
118 component is the need for us to help the communities identify appropriate livelihood activities that are
119 resource-based (e.g., ecotourism). Adding on to the sustainability of projects, he opined that DENR should
120 continue monitoring and assisting the communities even after the project life, which is lesson learned from



121 implementing previous projects and thus, the proposals for the Marketplace should have sustainability
122 attributes. Ms. Plantilla ensured the body that the business models of the proposals considered sustainability
123 as one of the components. Usec. Leones added that the communities should be able to earn income
124 sustainably from the projects.

125
126 Ms. Arcamo said that whether the source of funding for projects are grants or loans, the timing of
127 downloading the funds is important as it affects the project cycle implementation. She added that this should
128 be partnered with policy reform through the local chief executives to increase access to available funds. She
129 felt that there is a need to revisit existing policies especially that sources of funds for local executives have
130 already been identified. Ms. Plantilla responded by clarifying that BIOFIN does not release funds to
131 proponents but rather it matches the proponents with potential investors.

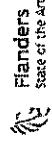
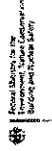
132
133 Usec. Leones asked whether the BIOFIN team explored the possibility of tapping the corporate social
134 responsibility (CSR) of mining and said that the development of Sustainable Development Management Plan
135 (SDMP) is currently undergoing revisions. Ms. Plantilla said that the team will coordinate with the Chamber of
136 Mines regarding this matter and will explore matching the proponents with mining companies' SDMPs.
137

138 Ms. Tena (UNDP) shared to the body that UNDP is assisting the Department of Interior and Local Government
139 through the Poverty and Environment Initiative (PEI) with the objective of making SDMP a relevant plan for the
140 whole community. Once PEI is completed, UNDP will provide the board with a copy of the report. Usec.
141 Leones said that the SDMP depends on the needs of the community and he shared that DILG and MGB-DENR
142 is currently reviewing the SDMP guidelines. Further to this, Ms. Eleazar mentioned that UNDP is doing a study
143 about the SDMP which is about to be completed.
144

145 On localizing the PBSAP and BIOFIN, Asec. Sombilla proposed that the team consider integrating this into the
146 comprehensive land use plans of CLUPs rather than formulating a new and separate plan. Ms. Plantilla
147 rejoined that BIOFIN does not intend to create another plan for the localization and intends to enhance the
148 provincial level by integrating PBSAP and BIOFIN into the said plan.
149

150 On the biodiversity tagging, Asec. Sombilla inquired on what typologies were matched (i.e., climate change
151 expenditure tagging or CCET vs. PBSAP typologies). Ms. Plantilla explained that the 113 PBSAP actions were
152 matched with the CCET typologies and unique typologies were created for PBSAP actions that were climate-
153 related but do not have a corresponding typology from the CCET. She reported that the team have been
154 consulting with the Department of Budget and Management (DBM). The most recent advice from DBM
155 regarding the tagging exercise was for BIOFIN to consult the Climate Change Commission as to the feasibility
156 of adding new typologies to the existing ones.
157

158 On the due diligence performed for TeaM Energy Foundation, Usec. Leones queried on the ownership of the
159 TeaM Energy Corporation (TEC) to which Ms. Plantilla responded that it is owned by Tokyo Electric Power
160 Company and Marubeni Corporation. Ms. De la Paz of Haribon Foundation pointed out the issue on violations
161 of UN sanctions to which she opined that TEC could have violated the Paris Climate Agreement but Usec.
162 Leones responded that DOE has yet to sign the ratification of the said agreement. Ms. Plantilla said that the
163 due diligence is for approval of Ola Almgren, the UN Resident Coordinator. Ms. Tena furthered that the Board
164 needs to provide their endorsement of the due diligence for the UN Resident Coordinator's approval. With
165 this, the Chair requested BIOFIN to provide the Board copies of the due diligence for review so that they will
166 be ready to provide their endorsement on the next Project Board Meeting. Ms. Eleazar suggested that a
167 deadline be set, which was seconded by Ms. Plantilla. The latter proposed that the BIOFIN team will send out
168 a copy of the due diligence to each member and will be requesting for comments and/or endorsement within
169 a month after receiving the document. The body then approved of the proposal. The Co-Chair asked the team
170 to consolidate all comments and/or endorsements upon receiving them and the Chair added that if there will
171 be any issues then the Board will have to convene.



172 On the Malampaya Fund, Usec. Leones asked what policy instrument is targeted to unlock this earmarked
 173 funds. Atty. Durban, BIOFIN Policy Specialist, explained that amending the Presidential Decree no. 910 would
 174 require an administrative process of amendment. As this process would eventually take up a considerable
 175 amount of time and by the time that the amendment is approved, the funds would have been used up
 176 already, Usec. Leones suggested that the team explore other special funds such as the Motor Vehicle User's
 177 Charge (MVUC). However, Atty. Durban said that accessing the MVUC would be much more difficult than
 178 accessing the Malampaya Funds. Ms. De la Paz of Haribon Foundation asked if it is possible to come up with a
 179 Presidential Decree allowing the tapping of earmarked funds for biodiversity conservation including the
 180 Malampaya Funds and MVUC. Usec. Leones responded that the President cannot release any order that
 181 would contradict any existing laws. With this, Asec. Sombilla advised the team to explore carbon tax for
 182 biodiversity financing and work this out closely with the Department of Finance.
 183

184 On the Project Quality Assurance, Ms. Tena (UNDP) reiterated that the Board should confirm the scores given
 185 in the quality assurance tool. Since going through each item of the quality assurance tool would take a lot of
 186 time, Ms. Plantilla suggested that the team just send a copy of the tool to the board via email and the Board
 187 members would send back any comments/inputs to the team, if any, or their approval of the scores by
 188 responding to the email. Usec. Leones agreed and asked the team to make sure that there is a deadline set for
 189 the members to provide comments and/or approval of the scores.
 190

b. 2017 work plan and budget

191 Ms. Plantilla went on to present BIOFIN's 2017 work plan and budget. On the PPP, she asked Usec. Leones if
 192 there is already a PPP person or unit within DENR. Usec. Leones responded that DENR is yet to assign one.
 193 Atty. Florita of NCIP asked the team if they considered tapping the Universal Charge Environmental Charge
 194 (UCEC) with the National Power Corporation for watershed conservation-related activities since there is not
 195 much implementation of this. At the moment, Atty. Florita shared that NCIP is proposing a policy amendment
 196 for the UCEC such that IPs will have direct access before the Energy Regulation Commission (ERC). She added
 197 that NCIP is doing advocacy work with the DOE for this and she asked the Board to join NCIP in pushing
 198 forward this advocacy. Usec. Leones inquired as to what kind of support NCIP would need. Atty. Florita said
 199 that they would welcome support as convener or co-petitioner. The Chair therefore instructed BMB to look at
 200 this matter first through its Legal Team and see what support can be given to NCIP.
 201
 202

203 On the 2017 budget, Ms. Eleazar of UNDP inquired on the general direction of the project. She asked if the
 204 team can identify the level of success of the financing mechanisms by 2018. Ms. Plantilla said that what the
 205 team can do is to document the requirements for all financing mechanisms as well as the capacity of agencies
 206 to implement these mechanisms. Asec. Sombilla opined that the ultimate goal is to mainstream the PBSAP
 207 into national and local plans. Once this is done, the next critical step is to capacitate the LGUs to access
 208 existing funds. She added that it would be a big help if BIOFIN will be able to assist the LGUs on this. She then
 209 asked if the team will be able to move the budget that they are proposing for 2017 to which Ms. Plantilla
 210 responded positively to. Hearing no further comments, Usec. Leones moved to approve the 2017 BIOFIN
 211 budget which was seconded by Asec. Sombilla.
 212

V. Financing Plan

213
 214
 215 Ms. Trinidad, Senior Technical Adviser from the BIOFIN global team, presented the Financing Plan. Asec.
 216 Sombilla sought clarification as to whether the country will be biodiversity-compliant if the finance
 217 mechanisms are able to gather the financing requirement for PBSAP implementation which is PhP 154 B. Ms.
 218 Trinidad responded positively and said that the figures are linked to certain targets. Asec. Sombilla further
 219 inquired if attaining the PhP 154 B target will qualify the Philippines as a country with sustained or maintained
 220 ecological integrity. Ms. Trinidad explained that the PBSAP have activities for restoration, sustainability, and
 221 protection/conservation. She emphasized that if the PBSAP will be fully implemented, targets will be fully
 222 achieved. Ms. Eleazar rejoined that the PhP 154 B will result to the level that the PBSAP aims for which