



Republic of Kenya

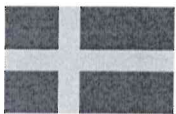


United Nations
KENYA
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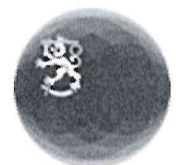


CONSOLIDATING GAINS AND DEEPENING DEVOLUTION IN KENYA (GOK-UN JOINT DEVOLUTION) PROGRAMME

2021 ANNUAL WORKPLAN



Sweden
Sverige





Brief Description

Consolidating Gains and Deepening Devolution in Kenya (GoK-Un Joint Devolution) Project Number: 00117973 (2019-2022) project is aligned to national and county governments development priorities as stipulated in MTP III. It seeks to contribute to accelerated realization of the dividends of devolution in at least 14 counties, though targeting contributions to improvement of county performance and service delivery. The project seeks to do so through contribution to the following outcome of the United Nations Development Assistance Framework (UNDAF) *"By 2022 people in Kenya access high quality services at devolved level that are well coordinated, integrated, transparent, equitably resourced and accountable"*. Close consultation with Kenyan partners in the development of the Kenya UNDAF 2018 - 2022 identified that both devolution and marginalization issues are best addressed through a joint programme combining the strengths of multiple UN Agencies with expertise in key sectors and lead roles in the achievement of SDG goals. The Joint approach was also echoed by the external evaluators of the previous devolution programme. As a result, UNDP, UNICEF, and UN Women have developed a joint devolution project that will address outcome 1.2. under the political pillar of the UNDAF.

The Project will utilize a strong field presence and the UN Agencies' close relationships with county and national governments to focus on improving county governance capacity in areas around the four UNDAF Outputs as follows: i) Governments have strengthened policy, legal and Institutional Mechanisms for coordinated, inclusive and effective service delivery ii) Public finance management institutions have strengthened processes and systems for equitable effective and accountable service delivery, iii) County-level institutions have strengthened capacity for evidence based planning, budgeting, implementation, monitoring and evaluation for accountable service delivery iv) People in Kenya have capacity to engage, deepen accountability and transparency in devolution, especially women, youth and persons with disabilities. This joint project will be implemented by national partners including Ministry of Devolution and Arid and Semi-Arid Lands, National Treasury, Monitoring and Evaluation Department, Ministry of Environment, Council of Governors, Commission on Revenue Allocation, Kenya School of Government, County Assemblies Forum, Office of the Auditor General and Kenya National Bureau of Statistics, Office of the Controller of Budget, Kenya Network of Women Governors, State Department of Gender Affairs, Kenya Law Reform Commission amongst other national institutions, Frontier Counties Development Council UNWOMEN, UNICEF and several Civil Society Organizations

UNDP as the management agent will provide oversight in programme implementation as well as implement, while other UN Agencies, National and County Governments will implement the activities under national implementation modality (NIM). There is a dedicated project implementation team of staff for the project for day to day operations, fiduciary management and monitoring and evaluation and quality assurance. In accordance with UNDP procedures, annual audits for the programme are conducted, while an independent mid-term review and final evaluation have also been undertaken

Programme Period:	2019-2022
Project ID:	000117973
Atlas Award ID:	00012236
Start Date:	October 1, 2019
End Date:	September 3, 2022
LPAC Meeting Date:	29 March 2019
Management Arrangements:	NIM

Signed by: *James M. Mwanza* The Principal Secretary
Ministry Of Devolution & Local Government, Policy
State Department Of Devolution & Local Government
P.O. Box 30004 - 00100, NAIROBI
Ministry of Devolution and Arid and Semi-arid Lands on Behalf of all Responsible Parties:

Signed by: Mandisa

Mandisa



24-Jan-2021

United Nations Development Programme:

ANNUAL WORK PLAN 2021

DURATION: JANUARY 2021 – DECEMBER 2021

Award ID: 000122363

Project Title: CONSOLIDATING GAINS AND DEEPENING DEVOLUTION IN KENYA (JOINT UNDP-UNW-UNICEF DEVOLUTION PROGRAMME)

Project ID: 1

OUTCOME 1: By 2022 people in Kenya access high quality services at devolved level that are well coordinated, integrated, transparent, equitably resourced and accountable.								
Project Output	Participating UN Agency	Lead GoK Institution	Indicative Activity for each Output	AWP				
				Budget	UNDP	UN Women		
UNDAF Output 1.1: National and county governments have strengthened capacities for formulation and implementation of policy, legal, and institutional frameworks and mechanisms for coordinated, inclusive and effective service delivery at devolved level.								
Output 1.1.1. National and county governments have strengthened inter and intra-governmental structures. Indicator: Number of counties with collaborative mechanisms in policy and legislation Baseline: 3 (2018) Target: 7	UNDP, UNICEF, Un Women	CoG, IGRTC CAF MED Ministry of Youth/national youth council 14 focus counties	Activity 1.1.1.1: Establish mechanisms for inter- and intra-county partnership and collaboration between county governments, county assemblies and county executives	116,000	Action 1.1.1.1.1: Work with other IPs to support the passing of bills at the county assemblies e.g (M&E, youth, public participation bills, DRM etc among others) including tracking tools	Action 1.1.1.1.2: UNICEF National and County government policy adviser-center	Action 1.1.1.1.3: Technical support to SDGA, COG and IGTRC in operationalizing the gender inter-governmental framework to facilitate effective monitoring, coordination and reporting on gender issues.	40,000
	Indicator: Number of national and county government coordination/dialogue forum operational Baseline: 3 (2018) Target: 5	UNDP, UNICEF	IGRTC CAF, CoG 14 focus counties			Action 1.1.1.1.4: Provide technical support for strengthening the governance of devolved transboundary resources – support to IGRTC on frameworks and policies		Action 1.1.1.1.5: Technical support to CAF and COG to domesticate model county gender policy in select counties
Gender marker 2: GEWE is a significant objective	UNDP	County Assemblies Forum 14 focus counties			Action 1.1.1.1.6: Support to County Assemblies Forum to: Sustain a TA to coordinate the work of CAF on JDP – Public participation bills to			

Output 1.1.2. Governments have harmonized governance policy, legal and institutional frameworks to support devolution. Indicator: Number of counties with policies, bills, and regulations in line with devolved functions Baseline: 4 (2018) Target: Indicator: Number of counties with a) established; and b) operational performance management systems Baseline: a = 4 (2018); b = 4 (2018) Target: a = 8; b = 8 Gender marker 2:	UNICEF	14 focus counties	Activity 1.1.1.2. Provide technical and county assemblies and the Senate for effective oversight and accountability	30,000	integrate gender, youth, PWDs etc	30,000	Action 1.1.1.2.1: Technical assistance for development of county level Legal and Policy Framework for Child Protection. Communication and advocacy for children at national and County level	35,856	80,000
				35,856				91,856	
				256,856	80,000				
	UNDP	CoG 14 focus counties	Activity 1.1.2.1: Provide technical and financial support to develop, review and harmonize devolved governance's policy and legal frameworks including Regional Economic Blocks			Action 1.1.2.1.1: Support strengthening of Regional Economic Blocs: FCDC – Establishment of secretariat, development of strategic frameworks, identify issues/ services/ resources e.g. water to give immediate attention; Muungano wa Pwani & Lake Region Economic Block – establish the current status and identify how to support to accelerate integration			
				40,000					
	UNDP	MODA 14 focus counties					Action 1.3.4.1.2: Technical support for: Review of the devolution policy and cascade to the county level, including development of a framework for the ASAL policies		
					40,000	40,000			

GEWE is a significant objective	UNDP, UN Women	CoG MODA NDMA 14 focus counties	Activity 1.1.2.2. Capacity building at county level for implementation of DRM policies (County Steering Group (CSG) and County Disaster Committees (CDC), and for preparedness and response to disasters		Action 1.1.2.2.1: TA to undertake a review the status of women, youth and children participation and inclusion in devolution processes		Action 1.1.2.2.2: Technical support to select counties to integrate Gender Equality and Social Inclusion (GESI) in the development and implementation of county policies, legislation, and planning frameworks on resilience and DRM.
	UNDP – ERU	CoG MODA NDMA 14 focus counties		50,140	10,140		40,000
					Action 1.1.2.2.3: Support to county governments to develop and implement DRM policies and legislations		
	UNDP-ERU	CoG MODA NDMA 14 focus counties	Activity 1.1.2.3: Undertake a National State of County Capacities for Delivering on Devolved Environmental Survey	176,897	176,897		
				120,611	120,611		
Sub-Total Output 1.1.2				427,648	387,648		40,000
Output 1.1.3. Improved county capacities for effective implementation of child protection services and GBV. Indicator: Number of counties that have developed capacity to assess, plan and budget for GBV Baseline: 0 (2018) Target: 2	UNICEF, UN Women	Ministry of Public Service, Youth and Gender Affairs/Ministry of Labor and Social Protection, 14 focus counties	Activity 1.1.3.1. Enhance county capacities for effective implementation of GBV and child protection frameworks		Action 1.1.3.1.1: Technical assistance to select counties to develop child protection alternative care plans, and programme.		Action 1.1.3.1.2: Technical Assistance in select counties to develop and implement GBV model policies and laws including child protection framework in collaboration with UNICEF
				65,000		45,000	20,000
	UNICEF, UN Women	Ministry of Public Service, Youth and Gender Affairs/				Action 1.1.3.1.3: Capacity of county level TWG on CP supported to enhance effective planning, &	Action 1.1.3.1.4: Support capacity of select universities to address Sexual harassment

Gender marker 3: GEWE is the principal objective	UNICEF	Ministry of Labor and Social Protection, 14 focus counties		92,898			implementation of CP services.	including tech-based violence and influence safe spaces in counties and universities
		Ministry of Public Service, Youth and Gender Affairs/Ministry of Labor and Social Protection, 14 focus counties					Action 1.1.3.1.5: Costing analysis and advocacy for the costings to be included in county budgets.	
	Sub-Total Output 1.1.3		39,103				39,103	
				197,001				134,103
	1.2.1. County capacities strengthened for equitable and inclusive budgeting. Indicator: Number of counties whose ADPs and Budgets are aligned to CIDPs Baseline: 3 (2018) Target: 5 Indicator: Number of counties with active CBEFs in the budgeting process Baseline: 6 (2018) Target: 14 Gender marker 3: GEWE is the principal objective	UNDP, UNICEF, UN Women	Office of the Auditor General KIPPRA, CoG, CAF The National Treasury 14 focus counties	Activity 1.2.1.1. Strengthen capacity of counties to align budgets with CIDP priorities		Action 1.2.1.1.1: Support the Office of the Auditor General to: Develop a tracking tool on how counties are improving on their audit performance and PFM systems (audit committee, documentation, to include the parameters for audit e.g. Accounts, HR, Procurement, internal audit, etc.); Undertake in-county support for PFM systems strengthening (internal audit, HR, Internal Audit, Finance, Accounts and Budget, Procurement and Administration, Human Resources, Pending Bills, Debt Management and key audit matters in the audit report); Undertake in-county Trainings for	Action 1.2.1.1.2: Technical assistance through UNICEF Zonal offices to KIPPRA, CoG and CAF to strengthen county capacity on: (a) improving link between plans and budget including CIDP and ADPs reviews. (b) Implementing the recommendations from budget briefs.	Action 1.2.1.1.3: Capacity building of counties on gender dimensions in the updated SCOA in counties. Roll out of SCOA and technical assistance to key PFM national and county actors -Lead UNICEF

	UNDP, UNICEF, UN Women	KSG CAF 14 focus counties		PFM systems strengthening; Carry out workshops for the counties' staff at different levels (County Secretary and Deputies, CECMs, Chief officers, Directors and HODs, Other Staff; Training of county oversight committees on how to interrogate and discuss Tabled Audit Reports	157,396	60,000	57,396	40,000	
					143,838	40,000	Action 1.2.1.1.4: Support to Kenya School of Government to: Develop a curriculum for county secretaries and CECs to respond to the diagnostic (M&E), PMS, service delivery, CBEFs etc (discuss with IPs to identify their priorities then firm up with KSG)	Action 1.2.1.1.5: Engaging County Assemblies on policy dialogue on the findings from the budget briefs and enhance county assembly committee's engagement with executives – both through UNICEF Zonal offices	Action 1.2.1.1.6: Support to counties to utilize county budget briefs to deepen gender mainstreaming in county budgeting cycle and support CIDPs and ADP reviews from a gender perspective
UNICEF	KIPPRA 14 focus counties				50,000	50,000	53,838		
					50,000	50,000	Action 1.2.1.2.7: Support to Kenya Institute of Policy Analysis and Research to work with counties on aligning ADPs to CIDPs and ensuring county budgets include priorities for youth (implementing priorities of budget briefs)		
UNICEF	The National Treasury							Action 1.2.1.2.1: Technical assistance to	

Output 1.2.2. County capacities improved for revenue projection, generation, collection and reporting. Indicator: Number of counties with automated revenue generation system in place for adaptation Baseline: 0 (2018) Target: 2 Gender marker 2: GEWE is a significant objective	UNDP, UNICEF	Sub-Total Output 1.2.1	Activity 1.2.2.1. Support mapping of existing revenue streams and collection mechanism	UNCDF CRA 14 focus counties	80,000 40,000 20,000 511,224	policies on CBEFs (CAF to support in the dialogue) – start with a model 40,000	40,000	133,838
						Action 1.2.1.4.3: Work with county executives to strengthen youth engagement, as a collaboration mechanism (Work with CBEF) 20,000		
						20,000		
						160,000	217,386	
						Action 1.2.2.1.1: Support to Commission on Revenue Allocation for: OSR strengthening – tracking tool for OSR: assessment (5 more counties), action planning, activities implementation, increase in OSR	Action 1.2.2.1.2: Own Source Revenue support with UNCDF: Through partnership with IMF – analysis of revenue potential in COVID-19 context; Capacity to counties to protect the existing revenue potential sources; Policy discussions with national government on policy/fiscal measures due to losses; Assisting counties for alternative fiscal raising (e.g. through PPPs, market bonds, private sector driven models). 66,000	
					106,000	40,000		
						Action 1.2.2.1.3: Tracking the implementation of the marginalization policy (e.g. TA, tracking tool, M&E and reporting) etc 20,000	20,000	

UNDAF Output 1.2: Public finance management institutions have strengthened processes and systems for equitable, efficient and accountable service delivery.	UN Women	UNCDF	Activity 1.2.2.2: Support counties to identify, automate and generate revenue from new revenue streams				Action 1.2.2.2.1: In partnership UNCDF and UNICEF, map key initiatives that can enhance OSR with gender dimensions; set reform agenda for counties OSR generation towards inclusive service delivery
				40,000			40,000
		Sub-Total Output 1.2.2		166,000	60,000	66,000	40,000
	UNDP, UNICEF	Parliamentary Budget Office CoG NEPAD/APRM Secretariat 14 focus counties	Activity 1.3.1.1: Support to counties for PMS/PCs and automated reporting dashboards for CIDPs		Action 1.3.1.1.1: Support to Council of Governors: TA support to CoG – PMS, REBs, SDGs; Support CoG to develop an Index on Implementation of PCs (PMS based; automation will be the ultimate); Automation of PMS in 1 county aligned to the national PMS ICT platform (Ministry of Public Service and MED to support); Peer to peer learning and county peer review mechanism	Action 1.3.1.1.2: TA to Parliamentary Budget Office on fiscal Issues and bills processing	
Output 1.3.1. Counties have strengthened M&E, planning and service delivery mechanisms. Indicator: Number of counties with a) established; and b) operational performance management systems Baseline: a = 6 (2018); b = 6 (2018) Target: a = 8; b = 8 Gender marker 2: GEWE is a significant objective	Sub-Total Output 1.3.1	14 focus counties		120,000	70,000	50,000	
	UN Women		Activity 1.3.2.1: Support inclusive and responsive planning at county level (ADP, CIDP, Sector Plans)		120,000	70,000	50,000
	Output 1.3.2. Counties have strengthened planning and service delivery mechanisms. Indicator: No. of county planning				60,000		
							60,000

12

monitoring systems, CIMES, CPMIS) of tracking and reporting on CIDP targets and SDGs Baseline: 2 (2018) Target: 11 Gender marker 2: GEWE is a significant objective	UNICEF	The National Treasury OCOB				Action 1.3.2.1.3: Technical assistance for effective budget reporting: Technical assistance to OCoB and National Treasury to enhance programme-based reporting; implement electronic data portal, enhance electronic data capture template (UN Women joint work).	63,000	63,000		
	Sub-Total Output 1.3.2			40,980	123,000	Action 1.3.3.1.1: Technical assistance to KNBS to: Undertake inequality analysis applying census data (UN Women joint work).	60,000	40,000		
	UNICEF, UN Women	KNBS 14 focus counties	Activity 1.3.3.1: Support capacity for critical evidence, data and statistics for GBV, FGM, VAC, child marriages and marginalized populations including PWDs at county level, including a joint baseline study				100,000			
	UNICEF	KNBS 14 focus counties				Action 1.3.3.1.3: Technical assistance to KNBS to: Prepare population Monographs				
1.3.3. National and County systems improved for the generation of disaggregated data (GBV, FGM, VAC, child marriages and marginalized populations including PWDs at county level, including a joint baseline study) for evidence-based decision making at both levels of government. Indicator: Number of counties with systems, tools and guidelines to collect disaggregated data for policy formulation, planning and	UNDP, UN Women, UNICEF	MED 14 focus counties	Action 1.3.3.1.4: Undertake a joint baseline study to generate disaggregated data for JDP indicators, GBV, FGM, VAC, child marriages, marginalized			Action 1.3.3.1.4: Undertake a joint baseline study to generate disaggregated data for JDP indicators, GBV, FGM, VAC, child marriages, marginalized	50,000			
						Action 1.3.3.1.4: Undertake a joint baseline study to generate disaggregated data for JDP indicators, GBV, FGM, VAC, child marriages, marginalized				

budgeting Baseline: 0 (2018) Target: 2 Gender marker 3: GEWE is the principal objective					populations and PWDs	and PWDs
				114,346	30,000	30,346
				264,346	30,000	70,346
	Sub-Total Output 1.3.3					
Output 1.3.4. National and County governments have strengthened capacity for risk-informed development planning and budgeting.	UNICEF, UW Women	NDMA, 14 focus counties	Activity 1.3.4.1: Strengthen county capacity for risk assessment, hazard mapping		Action 1.3.4.1.1: Technical assistance to counties, jointly with UNDP and UN Women, on risk assessment and hazard mapping that is sensitive to children and women.	Action 1.3.4.1.2: Kenya Gender Sensitive Conflict and Disaster Analysis to inform policies and programmes and advocate for the full implementation KNAP II
				92,898	55,000	37,898
	UNICEF, UW Women		Activity 1.3.4.2: Strengthen county capacity for contingency planning and risk informed ADPs aligned to national emergency and resilience policies and strategic plans		Action 1.3.4.2.1: Technical assistance to counties for contingency planning and risk mapping that is sensitive to children safety.	Action 1.3.4.2.2: Strengthen County Disaster and Emergency Coordination Mechanisms and advocate for inclusion of grass-root women, youth and PWDs to influence county disaster risk governance
				90,000	60,000	30,000
Gender marker 3: GEWE is the principal objective	UNICEF, UW Women		Activity 1.3.4.3: Build capacity in county governments, national government and key stakeholders in Disaster Management to prevent, prepare and respond to disasters and emergencies		Action 1.3.4.3.1: Technical assistance to national (NDMA) and counties to build capacities on disaster preparedness and response that is sensitive to children safety.	Action 1.3.4.3.2: Develop capacity of county technical staff, County Disaster Committees, and Key Stakeholders to integrated Gender Equality, Women Empowerment, and Social Inclusion in Disaster prevention, preparedness, mitigation, response and recovery to increase community disaster and emergency resilience.
				93,536	53,546	40,000

Sub-Total Output 1.3.4				277,444	168,546	107,898	
Output 1.4.1. County governments have established public participation policy, legislation and frameworks. Indicator: Number of counties with public participation frameworks Baseline: 7 (2018) Target: 13 Gender marker 3: GEWE is the principal objective	UNDP, UNICEF	Activity 1.4.1.1: Support county level modelling of alternative care system through enhanced community participation and engagement				Action 1.4.1.1.1: Support institutionalization of alternative dispute resolution mechanisms (ADR)	
				90,000	30,000		
						Action 1.4.1.1.3: Support to County Assemblies Forum to: Develop a framework on Women Caucus Framework; Work with county assemblies to enhance gender participation in CAs' business; Work on how to enhance gender participation in the activities supported under the programme	
				30,000			
Output 1.4.2: Counties have improved institutional capacities for participation and inclusion of women, youth & PWDs in planning and decision-making processes Indicator: Percentage of counties with		Activity 1.4.2.1: Strengthen capacity of citizens to track project implementation and reporting	OCO MED The National Treasury CRA State Department of Youth Affairs/ National Youth Council 14 focus counties		Action 1.4.2.1.1: Support to Commission on Revenue Allocation to: Improve and automate the CBEF tracking tool for assessing the effectiveness of CBEFs; Revitalize the mapping tool for CBEFs; In county capacity building of CBEFs from the sub-county and ward levels – peer to peer learning based; TA guide	Action 1.4.2.1.2: Technical assistance to MED: implement dashboards for MTP III indicators tracking in social sectors and county tracking concept received	Action 1.4.2.1.3: Technical assistance to the OCOB to strengthen Programme Management Committees and develop appropriate guidelines on inclusion.

mechanisms for inclusive public participation of women, youth and PWDs Baseline: 0 (2018) Target: 100% Indicator: Number of supported CSOs tracking project implementation and demanding accountability at county level Baseline: 0 (2018) Target: 2 Gender marker 3: GEWE is the principal objective				(operations manual) on strengthening CBEFs based on the experience of Makeni County; Development of county policies on CBEFs (CAF to support in the dialogue) – start with a model	60,000 Action 1.4.2.1.5: National Treasury Social Intelligence Reporting (SIR)	50,000
Output 1.4.3. Increased capacity of CSOs to engage county governments on planning, budgeting and service delivery. Indicator: Number of supported CSOs contributing to the county planning and budgeting processes Baseline: 0 (2018) Target: 6 Gender marker 3: GEWE is the principal objective	Sub-Total Output 1.4.2	UNDP UNICEF UN Women	CAF COG 14 focus counties 14 focus counties COG 14 focus counties State Department of Youth Affairs/ National Youth Council 14 focus counties KSG KSG IBP	Activity 1.4.3.1: Strengthen citizen participation in county planning and budgeting through engagement between CSOs and County governments	Action 1.4.3.1.1: Support to Youth Micro enterprises strengthening and access/ uptake of AGPO Action 1.4.3.1.2: County Budget Transparency Surveys-International Budget Partnership Action 1.4.3.1.3: Support CAF, CoG and Private sector to upscale women SME to access gender-responsive financing and markets, CSA technologies and partnerships; Capacity building for women agri-entrepreneurs to export produce-information, certification, technologies	110,000
Indicator: Number of supported CSOs contributing to the county planning and budgeting processes Baseline: 0 (2018) Target: 6 Gender marker 3: GEWE is the principal objective			120,623	Action 1.4.3.1.4: Support to KSG to develop and implement a tracking tool to Monitor progress of the Isiolo Learning Centre and trace the youth graduates from the centre to find out how	60,000	50,623

14 focus countries			they have benefited from the centre – UNV to support; and Establish another Youth Innovation and Learning Centre in a FCDC County	50,000	10,000		30,000
			Activity 1.4.3.1.6: Support Youth digital platforms to enhance communication and business alerts				Action 1.4.3.1.7: Support CoG to develop women Innovation/ Intervention that better link women to both public and private procurement opportunities; build the capacity of women SMEs to increase access to AGPO uptake using the simplified bidder's handbook.
	Sub-Total Output 1.4.3			53,000	10,000		43,000
TOTAL PROGRAMME COST – ALL OUTPUTS				213,623	30,000	60,000	123,623
PIU RUNNING AND OVERHEADS COST				3,148,890			
GENERAL MANAGEMENT SERVICES (7%)				223,446			
MANAGING AGENT COST (1%)				271,736			
				37,768			
GRAND TOTAL				3,681,300			

Resources for 2020 that will be spent in 2021 for 2020 incomplete activities as per the 2020 AWP:

UNICEF: USD 586,404

UN Women: USD 470,684

UNDP: USD 325,250

