

# Closure Stage Quality Assurance Report

**Form Status: Approved**

<b>Overall Rating:</b>	Highly Satisfactory
<b>Decision:</b>	
<b>Portfolio/Project Number:</b>	00086486
<b>Portfolio/Project Title:</b>	Crossborder Drin Basin management
<b>Portfolio/Project Date:</b>	2015-01-19 / 2021-12-31

**Strategic**

**Quality Rating: Exemplary**

1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?

- 3: *The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)*
- 2: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)
- 1: The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

**Evidence:**

The project implementing partner, the project team and the programme unit has regularly identified the changes in the external environment, new opportunities, threats and also noted the progress achieved. This project was a regional one and the body that served as steering committee as guided by GEF was the Drin Core Group that met regularly to analyze the progress, risks, issues and the future plans. All the changes for interventions and also those from the initial plans were agreed by the board and the partner countries.

For more see the uploaded board minutes report.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	04-ReportonDrinCoreGroupmtg2020_9783_301 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/04-ReportonDrinCoreGroupmtg2020_9783_301.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/04-ReportonDrinCoreGroupmtg2020_9783_301.docx</a> )	anton.selitaj@undp.org	11/29/2021 4:23:00 PM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- 3: *The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution .The project’s RRF included all the relevant SP output indicators. (all must be true)*
- 2: The project responded to at least one of the developments settings1 as specified in the Strategic Plan. The project’s RRF included at least one SP output indicator, if relevant. (both must be true)
- 1: While the project may have responded to a partner’s identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

**Evidence:**

The project contributed to sustainable management of the water basins and resources, The project responded to Strategic Plan (SP) goal which is related to setting of building resilience to shocks and crises. It also contributed to signature solutions; 3. Enhance national prevention and recovery capacities for resilient societies; and SS 4. Promote nature based solutions for a sustainable planet; The project contributed to achievement of SDGs 6, 13 and 14

### List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

### Relevant

Quality Rating: **Highly Satisfactory**

3. Were the project's targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- 3: Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups were active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- 2: *Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)*
- 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- Not Applicable

### Evidence:

All the local and regional stakeholders were all the time engaged in the achievement of the project goals and the main ones could be noted th finalization of t he Trans-boundary Diagnostic Analyses, and the S trategic Action Plan. In Kosovo component the pilot demonstration project for wastewater treatment finali zed, tested and network in the piloted area was exp anded.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: *Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)*
- 2: Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)
- 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

**Evidence:**

The lessons learned constantly were used to inform the refining or re-designing of project activities. They were usually noted in the progress reports prepared by the implementing partner and they were approved by the board meetings. During the 5 years of implementation the project has organized at least annual Drin Core Group meetings. All changes were in line with beneficiary needs and approved by the board.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: *There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.*
- 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- 1: The project was not at scale, and there are no plans to scale up the project in the future.

**Evidence:**

There is a significant evidence that the project has even exceeded the initial targets despite the risks faced during the implementation especially during the COVID-19 outbreak period. A number of catalytic results do provide additional and clear evidence for the success of the project.

The project has a great potential for scale up and the second phase implementation. The donor (GEF) has already accepted the concept note for the second phase and the process is on negotiation for fully fledged project document preparation.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

**Principled**

**Quality Rating: Exemplary**

6. Were the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.

- 3: *The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)*
- 2: The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)
- 1: The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

**Evidence:**

The project has gathered systematically the data as per Gender mainstreaming Strategy through the monitoring actions and tools to address the gender inequality and empowering women. The project in atlas was rated as GEN2: gender equality as significant objective.

In order to provide inputs on how the project can integrate gender considerations in a practical and strategic manner the project on the regional level even developed the Gender Mainstreaming Strategy for this project.

See the uploaded on document on the strategy.

<b>List of Uploaded Documents</b>			
#	File Name	Modified By	Modified On
1	TransboundaryDrinGenderMainstreamingStrategy_March21__9783_306 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TransboundaryDrinGenderMainstreamingStrategy_March21__9783_306.doc">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/TransboundaryDrinGenderMainstreamingStrategy_March21__9783_306.doc</a> )	anton.selitaj@undp.org	11/29/2021 5:18:00 PM

7. Were social and environmental impacts and risks successfully managed and monitored?

- 3: *Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)*
- 2: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.
- 1: Social and environmental risks were tracked in the risk log. For projects categorized as High or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

**Evidence:**

The SESP impacts and risks were successfully monitored, assessed and managed. Generally the Project is categorized as low risk through the SESP. Beside in the SESP the programme team continuously updated the risk log in atlas, assessed, monitored and managed the risks.

<b>List of Uploaded Documents</b>			
#	File Name	Modified By	Modified On
1	5516_SESPsubmittedasAnnex6ofProdoc_9783_307 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/5516_SESPsubmittedasAnnex6ofProdoc_9783_307.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/5516_SESPsubmittedasAnnex6ofProdoc_9783_307.docx</a> )	anton.selitaj@undp.org	11/29/2021 5:24:00 PM

8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- 3: *Project-affected people actively informed of UNDP’s Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High or Moderate Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)*
- 2: Project-affected people informed of UNDP’s Corporate Accountability Mechanism and how to access it. If the project was categorized as High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.
- 1: Project-affected people was not informed of UNDP’s Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)

**Evidence:**

The project was not a high risk the possibility to affect the beneficiaries. However in all aspects the beneficiaries were informed about the SRM guidance accountability and other mechanism. The project even conducted stakeholder analysis and also had a defined and agreed communication strategy. Pls see the uploaded on both of them.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	GEFDrin_StakeholderAnalysis_Engagement Report_final_9783_308 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GEFDrin_StakeholderAnalysis_EngagementReport_final_9783_308.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GEFDrin_StakeholderAnalysis_EngagementReport_final_9783_308.pdf</a> )	anton.selitaj@undp.org	11/29/2021 5:39:00 PM
2	01GEFDrinProject_CommunicationStrategy_9783_308 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/01GEFDrinProject_CommunicationStrategy_9783_308.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/01GEFDrinProject_CommunicationStrategy_9783_308.pdf</a> )	anton.selitaj@undp.org	11/29/2021 5:40:00 PM

**Management & Monitoring**

**Quality Rating: Exemplary**

9. Was the project’s M&E Plan adequately implemented?

- 3: *The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project’s RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)*
- 2: The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project’s RRF was collected on a regular basis, although there was may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)
- 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project’s RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.



**Evidence:**

The project had a detailed and costed M&E Plan on regional level as well as in the country level. The project had proper and specific indicators, baselines and targets and has a periodic monitoring by programme staff. Progress data against indicators is collected on a regular basis. Lessons learned have also been captured on a regular basis. (Atlas and Annual Report).

The project regular reporting arrangements, the Project Implementation Review (PIR) reports were prepared on annual basis. with all the details and data of implementation.

See the uploaded on the PIR report

The project had in its evaluation plan the Midterm and the Terminal evaluations. Both were timely and successfully conducted and finalized.

See the uploaded on both of them.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	2021-GEF-PIR-PIMS5510-GEFID9121_9783_309 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2021-GEF-PIR-PIMS5510-GEFID9121_9783_309.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2021-GEF-PIR-PIMS5510-GEFID9121_9783_309.docx</a> )	anton.selitaj@undp.org	11/29/2021 5:49:00 PM
2	2019MTRFINALDrinReport__9783_309 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2019MTRFINALDrinReport__9783_309.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2019MTRFINALDrinReport__9783_309.pdf</a> )	anton.selitaj@undp.org	11/29/2021 5:49:00 PM
3	DRINTEREPORT_Final_2September2021_9783_309 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/DRINTEREPORT_Final_2September2021_9783_309.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/DRINTEREPORT_Final_2September2021_9783_309.docx</a> )	anton.selitaj@undp.org	11/29/2021 5:49:00 PM

10. Was the project's governance mechanism (i.e., the project board or equivalent) function as intended?

- 3: *The project's governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)*
- 2: The project's governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)
- 1: The project's governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

**Evidence:**

The project's governance structure even though this was a regional project was well defined and function ed really well. The were clear implementation roles s et with the implementing partner GWP. The Drin Cor e Group was established to govern and direct the pr oject in regional level with CO Albania as lead office. There were organized at least once a year DCG ann ual meetings with all the riparian countries participati ng and other stakeholders as well. Regular updates on the progress, risks, issues, plans, revisions and r eports, and other collected data and evidence were analyzed and assessed. The minutes and regularly kept.

See the uploaded on the samples

### List of Uploaded Documents

#	File Name	Modified By	Modified On
1	04-ReportonDrinCoreGroupmtg2020_9783_310 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/04-ReportonDrinCoreGroupmtg2020_9783_310.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/04-ReportonDrinCoreGroupmtg2020_9783_310.docx</a> )	anton.selitaj@undp.org	11/29/2021 7:54:00 PM
2	ReportofMtgoftheDrinCoreGroup2019_9783_310 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ReportofMtgoftheDrinCoreGroup2019_9783_310.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ReportofMtgoftheDrinCoreGroup2019_9783_310.docx</a> )	anton.selitaj@undp.org	11/29/2021 7:54:00 PM
3	DriniCoreGroup7June2018Tirana_9783_310 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/DriniCoreGroup7June2018Tirana_9783_310.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/DriniCoreGroup7June2018Tirana_9783_310.docx</a> )	anton.selitaj@undp.org	11/29/2021 7:55:00 PM
4	14thDCG-5thSCmeetingDRAFTREPORT_9783_310 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/14thDCG-5thSCmeetingDRAFTREPORT_9783_310.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/14thDCG-5thSCmeetingDRAFTREPORT_9783_310.docx</a> )	anton.selitaj@undp.org	11/29/2021 7:56:00 PM

11. Were risks to the project adequately monitored and managed?

- 3: *The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)*
- 2: The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.
- 1: The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.

#### Evidence:

All the potential risks were identified, assessed, and timely managed. The Drin Core Group as the main governing body analysed and addressed all the concerning issues in the regular meetings and also other setups.

UNDP programme management and support units together with Senior Management, and key stakeholders also addressed and managed timely the risks undertaking necessary measures/

The atlas risk logs were continuously maintained..

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

**Efficient**

**Quality Rating: Exemplary**

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
- No

**Evidence:**

The project mobilized sufficient (financial and Human) resources in a proper manner in order to achieve intended results. Even though a GEF funded project, there were also modest funds mobilized in local level as well from the beneficiary municipality of Rahovec/Orahovac to support the project,

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

13. Were project inputs procured and delivered on time to efficiently contribute to results?

- 3: *The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)*
- 2: The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

**Evidence:**

The project was in overall implemented directly but some of the biggest components of the project were implemented by the Implementation Partner GWP (Global Water Partnerships) and as such they used their procedures for procurement of goods and services. However in Kosovo component a part for funds was also implemented through DIM modality. In this case the procurement was done as per the office rules of the procurement and plans. All the procurements actions were reflected in PROMPT platform.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- 3: *There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)*
- 2: The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.
- 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

**Evidence:**

The project regularly monitored the cost efficiencies versus the targeted results. The project was well coordinated with other partner countries and also synergies were used with other office projects and also the other projects funded by other projects (e.g. GIZ regional funded project on Drin basin). The project was also closely monitored by IRH through the PIMS platform and also direct meetings.

The CO in all procurement actions considered carefully the cost efficiencies.

The CO closely monitored the costs reported by the implementing partner through the FACE reports and organized audit and the spot check actions.

See the uploaded on them;

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	AuditReportBDO2020_9783_314 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AuditReportBDO2020_9783_314.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AuditReportBDO2020_9783_314.pdf</a> )	anton.selitaj@undp.org	11/29/2021 8:34:00 PM
2	GWP-UNDPSpotCheckFINALReport22072021_9783_314 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GWP-UNDPSpotCheckFINALReport22072021_9783_314.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GWP-UNDPSpotCheckFINALReport22072021_9783_314.pdf</a> )	anton.selitaj@undp.org	11/29/2021 8:35:00 PM

**Effective**

**Quality Rating: Exemplary**

15. Was the project on track and delivered its expected outputs?

- Yes
- No

**Evidence:**

The project has delivered all the expected outputs both on regional and in Kosovo level. There are clear evidences that the project has already exceeded the expectations especially through catalytic actions. See the uploaded PIR report.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
1	2021-GEF-PIR-PIMS5510-GEFID9121_9783_315 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2021-GEF-PIR-PIMS5510-GEFID9121_9783_315.docx">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2021-GEF-PIR-PIMS5510-GEFID9121_9783_315.docx</a> )	anton.selitaj@undp.org	11/29/2021 8:38:00 PM

16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?

- 3: *Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)*
- 2: There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

**Evidence:**

There were regular reviews of the work plans but there were even more frequent meetings both on regional and CO levels and also frequent meetings with the Implementing Partner.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

- 3: *The project targeted specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups were reached as intended. The project engaged regularly with targeted groups over the past year to assess whether they benefited as expected and adjustments were made if necessary, to refine targeting. (all must be true)*
- 2: The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)
- 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- Not Applicable

**Evidence:**

The project has targeted all Drin riparians, water users and beneficiaries in an inclusive manner. With the outcome 5 of the project that was dealing with pilot demonstrations of concrete actions, the area selected was the most vulnerable one regarding the waste water treatment and the heaviest polluted area was targeted.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			



**Sustainability & National Ownership**

**Quality Rating: Satisfactory**

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

**Evidence:**

The project was in overall implemented directly but some of the biggest components of the project were implemented by the Implementation Partner GWP (Global Water Partnerships) and as such they used their procedures for procurement of goods and services.

However in Kosovo component a part for funds was also implemented through DIM modality. In this case the procurement was done as per the office rules of the procurement and plan in PROMPT platform. In the monitoring, audit and evaluations the procedures used are those of UNDP as standard requirement for implementation.

Nevertheless the relevant stakeholders and partners were actively engaged in the process, of decision-making, implementation and monitoring.

**List of Uploaded Documents**

#	File Name	Modified By	Modified On
No documents available.			

19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation [arrangements](#)<sup>8</sup> adjusted according to changes in partner capacities?

- 3: Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)
- 2: *Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)*
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- Not Applicable

**Evidence:**

The majority of the components were executed an international organization and the necessary implementation arrangement of Project Cooperation Agreement was used. The HACT assurance activities were conducted.. Periodic audit was conducted (see the uploaded) the spot-check conducted (see the uploaded) and other monitoring mechanisms of validation were applied.

<b>List of Uploaded Documents</b>			
#	File Name	Modified By	Modified On
1	AuditReportBDO2020_9783_319 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AuditReportBDO2020_9783_319.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AuditReportBDO2020_9783_319.pdf</a> )	anton.selitaj@undp.org	11/29/2021 10:44:00 PM
2	GWP-UNDPSpotCheckFINALReport22072021_9783_319 ( <a href="https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GWP-UNDPSpotCheckFINALReport22072021_9783_319.pdf">https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GWP-UNDPSpotCheckFINALReport22072021_9783_319.pdf</a> )	anton.selitaj@undp.org	11/29/2021 10:44:00 PM

20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).

- 3: *The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)*
- 2: There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.
- 1: The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.

**Evidence:**

The DCG has continuously addressed the phase out arrangements. The riparian countries are committed to implement the approved SAP (Strategic Action Plan). National governments and partners of the participating countries were involved in the end phase and take over of certain roles for follow up.

<b>List of Uploaded Documents</b>			
#	File Name	Modified By	Modified On
No documents available.			

**QA Summary/Final Project Board Comments**

The overall assessment and conclusion is that the project has completed all outputs and was implemented in compliance with UNDP and GEF rules and standards and has achieved fully the aimed results.