Closure Stage Quality Assurance Report

Form Status: Approved				
Overall Rating:	Satisfactory			
Decision:				
Portfolio/Project Number:	00095466			
Portfolio/Project Title:	Business Opportunities Thru Support Services			
Portfolio/Project Date:	2016-07-01 / 2020-07-31			

Strategic

Quality Rating: Satisfactory

- 1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?
- 3: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)
- 2: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)
- 1: The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

Evidence:

The project needed to work with microfinance institut ions in the counties to implement the grant and revol ving fund for the beneficiaries to acquire equipment. However, the microfinance institution did not meet th e minimum requirement to be UNDP's implementation partner. Hence the project team procured and sup plied the equipment directly to the beneficiaries after consultation that showed that over 60% needed the start-up grant to buy the equipment. See annual report attached.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	BOSSProjectAnnualProgressReport-2018_FI NAL_2457_301 (https://intranet.undp.org/app s/ProjectQA/QAFormDocuments/BOSSProje ctAnnualProgressReport-2018_FINAL_2457_ 301.doc)	marcus.zarway@undp.org	11/14/2019 3:54:00 PM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- 3: The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution .The project's RRF included all the relevant SP output indicators. (all must be true)
- 2: The project responded to at least one of the developments settings1 as specified in the Strategic Plan. The project's RRF included at least one SP output indicator, if relevant. (both must be true)
- 1: While the project may have responded to a partner's identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

The project was fully aligned to the UNDAF (2013-17) Outcome 2.2 Private Sector development, equal a ccess to sustainable livelihood opportunities for rural and urban areas. CPD (2013-17) Outcome 2: Inclus ive and sustainable economic transformation, access to livelihood, innovative and competitive private se ctor development and, AFT Pillar II (Economic Transformation), which seek to promote and sustain private e sector development through enhanced economic competitiveness and diversification, increased value addition throught support to MSMEs to increased employment and reduced poverty.

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Relevant Quality Rating: Satisfactory

3. Were the project's targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- 3: Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups were active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- ②: Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)
- 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- Not Applicable

The target groups were engaged throughout the imp lementation of the project, from the inception, throug h monitoring to date. Feedback collected from the b eneficiaries were used to inform the project strategy, priority and decision on the nature of intervention as indicated in #1 above and the report attached.

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- 4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?
- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- 2: Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)
- 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

There were knowledge and lessons learned during the implementation of the project. Due to budgetary constraints, and in order to impact more people than individual entrepreneurs, the project team considered working more with cooperative societies, business groups using its mega resources. Peer learning was another strategy adapted to allow semiliterate and illiterate beneficiaries to learn from others through practical demonstrations in an informal setting.

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- 5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?
- 3: There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.
- 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- 1: The project was not at scale, and there are no plans to scale up the project in the future.

Evidence:

There are evidences that the project reached large n umber of beneficiaries directly and indirectly by work ing with cooperatives and business or livelihood dev elopment groups engaged in agro-business and oth er activities. However, could not scale up due to limit ed resources (funding), but has huge potential and o pportunities to scale up in the future with options for policy reforms. The attached back to office report hig hlights some of the interventions and potentials.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
1	BacktoOfficeReportBTOR_OfficialHandoverof EquipmentMaterials_July2019_2457_305 (htt ps://intranet.undp.org/apps/ProjectQA/QAFor mDocuments/BacktoOfficeReportBTOR_Offic ialHandoverofEquipmentMaterials_July2019_ 2457_305.docx)	marcus.zarway@undp.org	11/18/2019 11:03:00 AM

Principled Quality Rating: Satisfactory

- 6. Were the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.
- 3: The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- 2: The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)
- 1: The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

Evidence:

The primary target for the project are youth and wo men, therefore women have been the dominant ben eficiaries representing little over 60%. This is eviden ce by the women-led agriculture cooperatives, liveli hood skills development groups and entrepreneurs the project have supported. Below are some video links for reference.

https://www.youtube.com/watch?v=nnWvND_Bquo&feature=youtu.be

https://www.youtube.com/watch?v=ektQnTXH4V8&f eature=youtu.be

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- 7. Were social and environmental impacts and risks successfully managed and monitored?
- 3: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)
- ② 2: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.
- 1: Social and environmental risks were tracked in the risk log. For projects categorized as High, Substantial, or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

Not fully applicable. However, all the project interven tions are socially and environmentally friendly, sensit ive and risk compliance but not a full scaled ESIA or SESP.

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8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- 3: Project-affected people actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High, Substantial, or Moderate Risk through the SESP, a project-level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)
- ②: Project-affected people informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project was categorized as Substantial or High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.
- 1: Project-affected people was not informed of UNDP's Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)

The project beneficiaries were informed about UND P's corporate accountability mechanism and grievan ce were tracked through constant monitoring. Howe ver, a clearly defined grievance mechanism was not set up, and have form part of the lessons learned.

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Quality Rating: Satisfactory

Management & Monitoring

9. Was the project's M&E Plan adequately implemented?

- 3: The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project's RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)
- ②: The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project's RRF was collected on a regular basis, although there was may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)
- 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project's RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.

The project M&E plan was substantially implemente d internally by the project team and findings were inc orporated into quarter and annual reports. However, there is not a stand alone M&E report except for end of project evaluation that is pending.

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- 10. Was the project's governance mechanism (i.e., the project board or equivalent) function as intended?
- 3: The project's governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)
- 2: The project's governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)
- 1: The project's governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

From the start of the project board meeting have be en held quarterly until 2019 when the modality chan ges to bi-annually. Attached are some copies of boar d meeting minutes and project annual reports.

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#	File Name	Modified By	Modified On
1	2018_SET_2nd_Quarter_BoardMinutes_245 7_310 (https://intranet.undp.org/apps/Project QA/QAFormDocuments/2018_SET_2nd_Qu arter_BoardMinutes_2457_310.pdf)	marcus.zarway@undp.org	11/18/2019 1:25:00 PM
2	BOSSProjectAnnualReport_2017_2457_310 (https://intranet.undp.org/apps/ProjectQA/QA FormDocuments/BOSSProjectAnnualReport _2017_2457_310.docx)	marcus.zarway@undp.org	11/18/2019 1:26:00 PM
3	FirstQuarterSETProj.BoardMeetingMinutesA pril52018_2457_310 (https://intranet.undp.or g/apps/ProjectQA/QAFormDocuments/FirstQ uarterSETProj.BoardMeetingMinutesApril520 18_2457_310.pdf)	marcus.zarway@undp.org	11/18/2019 1:25:00 PM
4	BOSSProjectAnnualProgressReport-2018_FI NAL_2457_310 (https://intranet.undp.org/app s/ProjectQA/QAFormDocuments/BOSSProje ctAnnualProgressReport-2018_FINAL_2457_ 310.doc)	marcus.zarway@undp.org	11/18/2019 1:27:00 PM

- 11. Were risks to the project adequately monitored and managed?
- 3: The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)
- ② 2: The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.
- 1: The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.

The risks were monitored right from inception of the project through needs assessments, stakeholders m apping and consultation, and risks log was updated in Atlas, while reporting risks issues in project's review meeting, quarterly and annual reports (as attached under #10 above).

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Quality Rating: Needs Improvement

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

O Yes

No

Evidence:

As a pilot, the project was initiated from core fund an d did not additional support or resources. Due to sca rcity of resources, the anticipated budget was reduced by half which changed the implementation approach during a project review meeting and affected so me outputs.

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#	File Name	Modified By	Modified On
1	BOSSTeamPlanningReviewMeeting_FINALR EPORT_2457_312 (https://intranet.undp.org/ apps/ProjectQA/QAFormDocuments/BOSST eamPlanningReviewMeeting_FINALREPORT _2457_312.docx)	dorsla.farcarthy@undp.org	3/1/2020 6:57:00 PM

- 13. Were project inputs procured and delivered on time to efficiently contribute to results?
- 3: The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 2: The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

The project has procurement plan that was often review but encountered several delays in term of timely procurement of inputs and delivery.

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14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- 3: There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)
- ②: The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.
- 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

The project was highly cost effective, especially the new approach adopted. As a result 85% of the projected results were achieved using 50% for the project ed budget.

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ffective	Quality Rating: Exemplary
15. Was the project on track and delivered its expect	ted outputs?
YesNo	
Evidence:	
Evidence: The project was delayed by 5 months before it sta	
Evidence:	lan

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- 16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?
- 3: Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)
- 2: There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

Yes, there were monthly Skype meetings held by pro ject staff in different location to review activities against work plan, while quarterly Board Meetings were held to review project achievements against plan, and to plan for the next quarter.

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17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

3: The project targeted specific groups and/or geographic areas, identified by using credible data sources on
their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area
of work. There is clear evidence that the targeted groups were reached as intended. The project engaged
regularly with targeted groups over the past year to assess whether they benefited as expected and
adjustments were made if necessary, to refine targeting. (all must be true)

- 2: The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)
- 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- Not Applicable

This was full achieve. To initiate the project and ens ure that interventions are driven by established need s, the project team conducted basic needs assessm ents and used the data as baseline to target, segme nt and prioritize the beneficiaries, and this was effect ive.

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Sustainability & National Ownership

Quality Rating: Satisfactory

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

0	3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and
	monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process,
	playing a lead role in project decision-making, implementation and monitoring. (both must be true)

- ②: National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decisionmaking, implementation and monitoring. (both must be true)
- 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

Yes, especially the Ministry of Commence & Industry, local authority and other relevant groups. The Board Meetings were coordinated by the Ministry of Finance and Development Planning and the Commerce Minister was always present, and conducted major monitoring mission into all project location apart from routine activities driven monitoring.

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- 19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation arrangements⁸ adjusted according to changes in partner capacities?
- 3: Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)
- ②: Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.

Not Applicable

The capacity of the partner institution (the Ministry of Commerce) was monitored and adjustment was initi ated after the review meeting of the project. Howeve r, change in government caused changes in the institution leadership and some technical staff which affected the capacity.

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- 20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).
- 3: The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)
- ② 2: There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.
- 1: The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.

Evidence:

Phase-out plan was developed, capacity developme nt support was provided but their implementation was not monitored as expected.

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QA Summary/Final Project Board Comments

During the final project closure meeting, the Ministry of Commerce and Ministry of Finance and Development Planning made these remarks:

- 1. BOSS project was very instrumental in promoting private sector development through supports to micro and sm all business in the counties,
- 2. Empowerment of rural women
- 3. Improved services to the MSMEs and Start-ups in the 4 pilot counties
- 4. The project enhanced the opportunities for small businesses to access financing
- 5. Capacities of SBA staffs developed
- 6. Hopes that the project will continue to support rural private sector development in all fifteen (15) counties as it is cardinal to the government's development agenda (the PAPD).

However, the following were the resolutions reached:

- That all BOSS Project Assets be turned over to the MoCl to be used in the Counties.
- The need to look at how the next phase of the project will be designed based on lessons learnt and how the project proceeds in the future will depend on discussions between the UNDP and the Government.
- The BOSS Project evaluation will be conducted by an independent party while the scope of work or the TOR will be designed by UNDP and shared with government partners.