

Social and Environmental Screening Template (2021 SESP Template, Version 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

| Project Information | |
|--|--|
| 1. Project Title | Resiliencia de las mujeres indígenas y rurales a los impactos de la COVID-19 |
| 2. Project Number (i.e. Atlas project ID, PIMS+) | 122518/124997 |
| 3. Location (Global/Region/Country) | Mexico, Chiapas |
| 4. Project stage (Design or Implementation) | Implementation |
| 5. Date | 31/01/2020 |

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

El Proyecto fue realizado con enfoque de derechos humanos, tomando en consideración los estándares internacionales en materia de derechos de las mujeres, de las personas indígenas y personas rurales, para el diseño de las intervenciones. Para ello se realizarán procesos de acceso a la información, participación, consulta y consentimiento para los grupos y comunidades interesadas en participar y/o en los territorios en los que el proyecto se implementa, también se generarán espacios y mecanismos de rendición de cuentas, transparencia y contraloría social sobre los alcances y resultados del proyecto. El proyecto apoyará en el ejercicio pleno de los Derechos Humanos a la Alimentación, el Medio Ambiente Sano, al Agua y el Saneamiento y el Derecho al Trabajo, entendiendo su interrelación e interdependencia con otros derechos. En la protección de dichos derechos la población de varias comunidades serán sujetos de derecho activos asesorados por el proyecto, y no solo beneficiarios de medidas tomadas, así mismo como parte del fortalecimiento de capacidades se promoverá el reconocimiento de los sujetos garantes de los derechos. El resto de las acciones previstas en este proyecto tendrán también como principios de actuación del enfoque de derechos, la inclusión, sostenibilidad y la igualdad, así como la no discriminación

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

El objetivo del proyecto es consolidar redes físicas de sororidad entre mujeres indígenas y rurales del estado de Chiapas. Estas redes físicas de sororidad tendrán tres funciones principales:

1. Identificar y atender situaciones de violencia contra las mujeres
2. Aumentar su resiliencia y salud financiera
3. Asegurar su salud alimentaria y la de sus familias

Esto permitirá garantizar una vida libre de violencia, seguridad alimentaria y una buena salud financiera; todo, con una misma intervención.

Briefly describe in the space below how the project mainstreams sustainability and resilience

Se incluirán capacitaciones sobre cadenas de valor productivas sensibles al género para construir redes que puedan ayudarlos a llevar sus productos reales al mercado, teniendo a las mujeres como el centro de la cadena de valor. Esto es relevante porque generalmente las mujeres trabajan en la cadena de valor, pero no son reconocidas como actores estratégicos. Además, se realizarían sesiones de educación sobre salud y nutrición culturalmente apropiadas.

Briefly describe in the space below how the project strengthens accountability to stakeholders

Mediante la Junta de Proyecto y los informes trimestrales y anuales, el proyecto rendirá cuentas sobre los avances y los riesgos. Así mismo, los avances y logros se discutirán en los mismos talleres (comunidades por atender) en las etapas avanzadas y al finalizar el proyecto.

Part B. Identifying and Managing Social and Environmental Risks

| QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i> | QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i> | | | QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High |
|---|--|--|----------------------------|---|
| Risk Description <i>(broken down by event, cause, impact)</i> | Impact and Likelihood <i>(1-5)</i> | Significance <i>(Low, Moderate, Substantial, High)</i> | Comments (optional) | Description of assessment and management measures for risks rated as Moderate, Substantial or High |
| <p>El trabajo con comunidades indígenas podría traer riesgos al proyecto, al no ser éste aceptado plenamente por las mujeres indígenas, por ir contra sus usos y costumbres.</p> <p>Causas: Puede existir resistencia por las autoridades comunitarias de las localidades por intervenir al considerar que los usos y costumbres se deban respetar más allá de los objetivos de la intervención.</p> | <p>I = 2 L = 1</p> | <p>Bajo</p> | | <p>El Proyecto está realizado con enfoque de derechos humanos y género, con la finalidad de no afectar los usos y costumbres de las comunidades indígenas que serán beneficiadas. Se mantendrá constante comunicación con las representantes de las mujeres beneficiadas para que estén de acuerdo en todo momento con el proyecto</p> |

| | | | | |
|---|------------------------|--------------------|--|---|
| <p>Impacto: Pausa en las acciones, causando retrasos en la ejecución de las actividades del Proyecto.</p> | | | | |
| <p>Las autoridades pueden rechazar la presencia del PNUD y FAO en campo.</p> <p>Causas: A partir de las elecciones subnacionales celebradas en el 2021, pueden existir distintas tensiones políticas entre las administraciones recién llegadas y las que fueron reelectas.</p> <p>Impactos: Podría existir un grado variable de facilidad de parte de los gobiernos municipales para que el equipo de implementación de FAO y PNUD pueda tener acceso a la población por atender.</p> | <p>I = 2 L = 1</p> | <p>Bajo</p> | | <p>Se llevarán a cabo conversaciones con el gobierno de Chiapas, el municipal de Ocosingo y con el gobierno federal, así como una importante campaña de información para involucrar a la autoridad local y cumplir con la seguridad local y la normativa establecida.</p> |
| <p>Jornada electoral a nivel nacional en México</p> <p>Casusas: El proceso electoral podría desviar la atención del proyecto y prestarse a que el proyecto sea utilizado con fines electorales.</p> <p>Impactos: La posición autónoma del SNU ante procesos electorales podría verse comprometida al asociar el proyecto a alguna de las plataformas partidistas.</p> | <p>I = 2 L = 1</p> | <p>Bajo</p> | | <p>Para mitigar este riesgo, las intervenciones en el terreno se realizarán pasada la jornada electoral del 6 de junio de 2021.</p> |
| <p>El proyecto no alcanza los resultados esperados con el presupuesto establecido y que no haya otros fondos disponibles</p> <p>Causas: La limitación de financiamiento puede ocurrir a partir de la falta de movilización de recursos financieros.</p> | <p>I = 2 L = 1</p> | <p>Bajo</p> | | <p>Para mitigar este riesgo será necesario un continuo seguimiento y evaluación del presupuesto y el avance en los resultados del proyecto conforme al cronograma establecido. Asimismo, se llevará a cabo el desarrollo de potenciales alianzas estratégicas para reducir los costos de implementación.</p> |



| | | | | |
|---|------------------------|--------------------|--|--|
| <p>Impacto: A partir de la falta de recursos, la sostenibilidad de los impactos de la intervención podría verse comprometidos y limitados en el tiempo una vez que se cierre el proyecto.</p> | | | | |
| <p>Las acciones del proyecto de recuperación podrían sobrecargar a las mujeres al buscar su participación principalmente en seguridad alimentaria y en algunos sectores de economía social.</p> <p>Causa: En el contexto del COVID 19 que ha incrementado los tiempos de trabajo en la vida familiar y de cuidado, por ello será necesario tener acciones que amplíen la participación de las familias y de autocuidado.</p> <p>Impacto: Deserción de las mujeres o dificultades para su participación.</p> | <p>I = 2 L = 1</p> | <p>Bajo</p> | | <p>En todas las zonas de trabajo existen desigualdades de género. El proyecto debe activamente atenderlas para no reproducirlas o empeorarlas, mediante la facilitación de cuidados para las y los niños y teniendo en cuenta el uso del tiempo de las mujeres en la comunidad.</p> |
| <p>Contagios por COVID-19 en el personal del proyecto que trabaja en terreno.</p> <p>Causas: Rebrotos de casos de COVID-19 en localidades de trabajo, que retrasan la operación y ponen en riesgo la salud del personal del proyecto.</p> <p>Impacto: Afectación en los tiempos de ejecución del proyecto, pues se detendrán las acciones hasta que las tasas de incidencia de contagios sean bajas.</p> | <p>I = 3 L = 3</p> | <p>Low</p> | | <p>En todas las zonas de trabajo del proyecto hay comunidades indígenas. En el contexto del COVID 19, existe en algunas zonas resistencia a la adopción de las medidas de prevención del contagio.</p> |
| <p>[add additional rows as needed]</p> | | | | |
| <p>QUESTION 4: What is the overall project risk categorization?</p> | | | | |

| | | | |
|--|--|-------------------------------------|---|
| | Low Risk | <input checked="" type="checkbox"/> | |
| | Moderate Risk | <input type="checkbox"/> | |
| | Substantial Risk | <input type="checkbox"/> | |
| | High Risk | <input type="checkbox"/> | |
| QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply) | | | |
| Question only required for Moderate, Substantial and High Risk projects | | | |
| | <i>Is assessment required? (check if "yes")</i> | <input type="checkbox"/> | Status? (completed, planned) |
| | <i>if yes, indicate overall type and status</i> | <input type="checkbox"/> | Targeted assessment(s) |
| | | <input type="checkbox"/> | ESIA (Environmental and Social Impact Assessment) |
| | | <input type="checkbox"/> | SESA (Strategic Environmental and Social Assessment) |
| | <i>Are management plans required? (check if "yes")</i> | <input type="checkbox"/> | |
| | <i>if yes, indicate overall type</i> | <input type="checkbox"/> | Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others) |
| | | <input type="checkbox"/> | ESMP (Environmental and Social Management Plan which may include range of targeted plans) |
| | | <input type="checkbox"/> | ESMF (Environmental and Social Management Framework) |
| | <i>Based on identified risks, which Principles/Project-level Standards triggered?</i> | | Comments (not required) |
| | <i>Overarching Principle: Leave No One Behind</i> | | |
| | <i>Human Rights</i> | <input checked="" type="checkbox"/> | |
| | <i>Gender Equality and Women's Empowerment</i> | <input checked="" type="checkbox"/> | |
| | <i>Accountability</i> | <input type="checkbox"/> | |
| | <i>1. Biodiversity Conservation and Sustainable Natural Resource Management</i> | <input type="checkbox"/> | |
| | <i>2. Climate Change and Disaster Risks</i> | <input checked="" type="checkbox"/> | |
| | <i>3. Community Health, Safety and Security</i> | <input type="checkbox"/> | |
| | <i>4. Cultural Heritage</i> | <input type="checkbox"/> | |
| | <i>5. Displacement and Resettlement</i> | <input type="checkbox"/> | |
| | <i>6. Indigenous Peoples</i> | <input checked="" type="checkbox"/> | |

| | | | |
|--|--|-------------------------------------|--|
| | 7. Labour and Working Conditions | <input checked="" type="checkbox"/> | |
| | 8. Pollution Prevention and Resource Efficiency | <input type="checkbox"/> | |

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

| Signature | Date | Description |
|---|-------------|---------------------------------|
| Sol Sánchez Rabanal QA Assessor  | | Analista de Género |
| Sandra Sosa Carcamo QA Approver y PAC Chair  | | Representante Residente Adjunta |

SESP Attachment 1. Social and Environmental Risk Screening Checklist

| Checklist Potential Social and Environmental Risks | | |
|---|---|------------------------|
| INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions. | | |
| Overarching Principle: Leave No One Behind | | Answer (Yes/No) |
| Human Rights | | |
| P.1 | Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)? | No |
| P.2 | Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project? | No |
| P.3 | Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights? | No |
| <i>Would the project potentially involve or lead to:</i> | | |
| P.4 | adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups? | No |
| P.5 | inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹ | No |
| P.6 | restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities? | No |
| P.7 | exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals? | No |
| Gender Equality and Women's Empowerment | | |
| P.8 | Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)? | No |
| <i>Would the project potentially involve or lead to:</i> | | |
| P.9 | adverse impacts on gender equality and/or the situation of women and girls? | No |
| P.10 | reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits? | No |
| P.11 | limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i> | No |
| P.12 | exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i> | No |
| Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below | | |

¹ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

| | | |
|--|---|----|
| Accountability | | |
| <i>Would the project potentially involve or lead to:</i> | | |
| P.13 | exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them? | No |
| P.14 | grievances or objections from potentially affected stakeholders? | No |
| P.15 | risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project? | No |
| Project-Level Standards | | |
| Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management | | |
| <i>Would the project potentially involve or lead to:</i> | | |
| 1.1 | adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i> | No |
| 1.2 | activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities? | No |
| 1.3 | changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5) | No |
| 1.4 | risks to endangered species (e.g. reduction, encroachment on habitat)? | No |
| 1.5 | exacerbation of illegal wildlife trade? | No |
| 1.6 | introduction of invasive alien species? | No |
| 1.7 | adverse impacts on soils? | No |
| 1.8 | harvesting of natural forests, plantation development, or reforestation? | No |
| 1.9 | significant agricultural production? | No |
| 1.10 | animal husbandry or harvesting of fish populations or other aquatic species? | No |
| 1.11 | significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i> | No |
| 1.12 | handling or utilization of genetically modified organisms/living modified organisms? ² | No |
| 1.13 | utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ³ | No |
| 1.14 | adverse transboundary or global environmental concerns? | No |
| Standard 2: Climate Change and Disaster Risks | | |
| <i>Would the project potentially involve or lead to:</i> | | |
| 2.1 | areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions? | No |
| 2.2 | outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters? | No |

² See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

³ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

| | | |
|--|--|----|
| | <i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i> | |
| 2.3 | increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i> | No |
| 2.4 | increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change? | No |
| Standard 3: Community Health, Safety and Security | | |
| <i>Would the project potentially involve or lead to:</i> | | |
| 3.1 | construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams) | No |
| 3.2 | air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation? | No |
| 3.3 | harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)? | No |
| 3.4 | risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health? | sl |
| 3.5 | transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)? | No |
| 3.6 | adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)? | No |
| 3.7 | influx of project workers to project areas? | No |
| 3.8 | engagement of security personnel to protect facilities and property or to support project activities? | No |
| Standard 4: Cultural Heritage | | |
| <i>Would the project potentially involve or lead to:</i> | | No |
| 4.1 | activities adjacent to or within a Cultural Heritage site? | No |
| 4.2 | significant excavations, demolitions, movement of earth, flooding or other environmental changes? | No |
| 4.3 | adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts) | No |
| 4.4 | alterations to landscapes and natural features with cultural significance? | No |
| 4.5 | utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes? | No |
| Standard 5: Displacement and Resettlement | | |
| <i>Would the project potentially involve or lead to:</i> | | |
| 5.1 | temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)? | No |
| 5.2 | economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)? | No |

| | | |
|--|---|-----|
| 5.3 | risk of forced evictions? ⁴ | No |
| 5.4 | impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources? | No |
| Standard 6: Indigenous Peoples | | |
| <i>Would the project potentially involve or lead to:</i> | | |
| 6.1 | areas where indigenous peoples are present (including project area of influence)? | Yes |
| 6.2 | activities located on lands and territories claimed by indigenous peoples? | No |
| 6.3 | impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is “yes”, then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i> | Yes |
| 6.4 | the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned? | No |
| 6.5 | the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples? | No |
| 6.6 | forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i> | No |
| 6.7 | adverse impacts on the development priorities of indigenous peoples as defined by them? | No |
| 6.8 | risks to the physical and cultural survival of indigenous peoples? | No |
| 6.9 | impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i> | No |
| Standard 7: Labour and Working Conditions | | |
| <i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i> | | |
| 7.1 | working conditions that do not meet national labour laws and international commitments? | No |
| 7.2 | working conditions that may deny freedom of association and collective bargaining? | No |
| 7.3 | use of child labour? | No |
| 7.4 | use of forced labour? | No |
| 7.5 | discriminatory working conditions and/or lack of equal opportunity? | No |
| 7.6 | occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle? | No |
| Standard 8: Pollution Prevention and Resource Efficiency | | |
| <i>Would the project potentially involve or lead to:</i> | | |

⁴ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

| | | |
|-----|--|----|
| 8.1 | the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts? | No |
| 8.2 | the generation of waste (both hazardous and non-hazardous)? | No |
| 8.3 | the manufacture, trade, release, and/or use of hazardous materials and/or chemicals? | No |
| 8.4 | the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i> | No |
| 8.5 | the application of pesticides that may have a negative effect on the environment or human health? | No |
| 8.6 | significant consumption of raw materials, energy, and/or water? | No |