



**Project Title: Paving the Road to the SDGs through Good Local Governance  
2019 Annual Work Plan**

**Implementing Partner:** UNDP

**Responsible Parties:** UNDP & DILG

**Project Description**

Efficient, resilient, and well-planned road networks ensure that no one is left behind in the drive for inclusive growth. This is why roads are considered as an important foundation for the Sustainable Development Goals (SDGs), and a prerequisite for bringing communities together.

At present, only 24.6% of all provincial roads in the Philippines are of acceptable quality. Of the 12,726km of provincial core roads, 57.2% are unpaved and in need of upgrading, while 20.2% are in poor condition and in need of rehabilitation. The situation is similarly dire for the 19,098 km of non-core roads, with 67% needing upgrading and 7% in need of rehabilitation. To address this situation, the Department of the Interior and Local Government has launched the Conditional Matching Grant to Provinces (CMGP) initiative to improve the quality of the local road network across the country, by providing both financial investment for capital outlay, as well as strengthening the governance processes so that provincial governments are themselves able to effectively plan, design, implement and maintain their local road networks.

In partnership with DILG, UNDP will provide support to the governance reform and quality assurance components of CMGP through the Roads2SDGs framework. This framework will anchor the prioritisation, planning, design, implementation, and maintenance of infrastructure projects to the achievement of the SDGs, incorporating the elements of partnership building, climate change and disaster risk reduction, gender mainstreaming, and citizen participation for transparency and accountability.

Country Programme Period: 2019-2023  
Project/Outputs ID: 00104536/00106047  
Project Start Date: December 29, 2018  
Project End Date : June 31, 2020  
Project Board Meeting Date: 18 June 2019

2019 AWP budget: \$3,776,411  
Total resources required Php378,728,883.24  
Total allocated resources: \_\_\_\_\_  
• Regular \_\_\_\_\_  
• Other: \_\_\_\_\_  
    ○ Donor \_\_\_\_\_  
    ○ Government \_\_\_\_\_  
Unfunded budget: \_\_\_\_\_  
In-kind Contributions: \_\_\_\_\_

Agreed by: **EMMANUEL BUENDIA**, Team Leader, Democratic Governance Unit  
Date:

Agreed by UNDP: **ENRICO GAVEGLIA**, Deputy Resident Representative  
Date:

## PROGRAMME ALIGNMENT

<b>A.1 2019-2023 PFSD/CPD Outcome alignment</b>	1: The most marginalized, vulnerable, and at-risk people and groups benefit from inclusive and quality services and live in a supportive environment wherein their nutrition, food security, and health are ensured/protected.
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<b>A.2 2019-2023 CPD Output Indicator alignment</b> <i>[Choose between 1-3 applicable indicators]</i>	<p><i>1.1.1 Number of UNDP-assisted LGUs with geographically isolated and disadvantaged (GID) communities having development plans and budgets integrating the SDGs [IRRF 1.1.1.1]</i></p> <table border="1" data-bbox="551 491 2029 655"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="3">Targets / Cumulative Results</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>Y1</th> <th>Y2</th> <th>Y3</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p><i>1.2.1 Number of UNDP-assisted NGAs and LGUs implementing reforms and innovations for delivery and monitoring of services, public finance management, or public procurement.</i></p> <table border="1" data-bbox="551 778 2029 943"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="3">Targets / Cumulative Results</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>Y1</th> <th>Y2</th> <th>Y3</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td>0</td> <td>60</td> <td>78</td> <td>81</td> <td>78</td> <td></td> </tr> </tbody> </table> <p><i>1.3.1 Number of individuals and institutions engaged in NGAs and LGUs through UNDP-supported civic engagement mechanisms</i></p> <table border="1" data-bbox="551 1034 2029 1198"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="3">Milestone</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>Y1</th> <th>Y2</th> <th>Y3</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td>0</td> <td>12</td> <td>16</td> <td>16</td> <td>16</td> <td></td> </tr> </tbody> </table>	Baseline		Targets / Cumulative Results			End of Project Target		Year	Quantity/ Points /Rating	Y1	Y2	Y3	Target	Actual	2017							Baseline		Targets / Cumulative Results			End of Project Target		Year	Quantity/ Points /Rating	Y1	Y2	Y3	Target	Actual	2017	0	60	78	81	78		Baseline		Milestone			End of Project Target		Year	Quantity/ Points /Rating	Y1	Y2	Y3	Target	Actual	2017	0	12	16	16	16	
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<b>A.3 2018-2021 UNDP SP IRRF Output Indicator Alignment</b>  2.2.1 Use of digital technologies and big data enabled for improved public services and other government functions	<b>SP Outcome 2: Accelerate structural transformations for sustainable development</b>  <table border="1" data-bbox="947 1315 2105 1358"> <thead> <tr> <th>Baseline</th> <th>Targets / Cumulative Results</th> <th>End of Project Target</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Baseline	Targets / Cumulative Results	End of Project Target			
Baseline	Targets / Cumulative Results	End of Project Target					

<ul style="list-style-type: none"> <li>using frameworks<sup>1</sup> that leverage digital technologies and big data for: <ul style="list-style-type: none"> <li>Delivery and monitoring of services</li> <li>Public engagement</li> <li>Access to and protection of information</li> <li>Urban development using smart technologies</li> <li>Other critical public services (e.g. public procurement)</li> </ul> </li> </ul>	<b>Year</b>	<b>Quantity/ Points /Rating</b>	<b>Y1</b>	<b>Y2</b>	<b>Y3</b>	<b>Target</b>	<b>Actual</b>
	2017	0	1	2	2	2	

<b>A.4 Sustainable Development Goals Target Alignment</b>	<p>1.4.1 Proportion of population living in households with access to basic services</p> <p>Indicate applicable SDG targets. See <a href="#">[link]</a> for full list of targets and indicators.</p>
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<p><b>A.5 Project Document Outcome Indicators</b></p> <p><i>Empowered citizens and businesses benefitted from increased connectivity to basic services and increased commerce through effective and inclusive road governance.</i></p>	Percent of LGU road plans and designs with enhanced features that measurably contribute to the achievement of the SDGs																												
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<sup>1</sup> Includes policy, legal and regulatory frameworks and funded programmes/initiatives.

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I. **20XX ANNUAL WORK PLAN** [[PLEASE SEE SEPARATE FILE](#)]

**Project Title:**

**Project ID:**

**Output ID:**

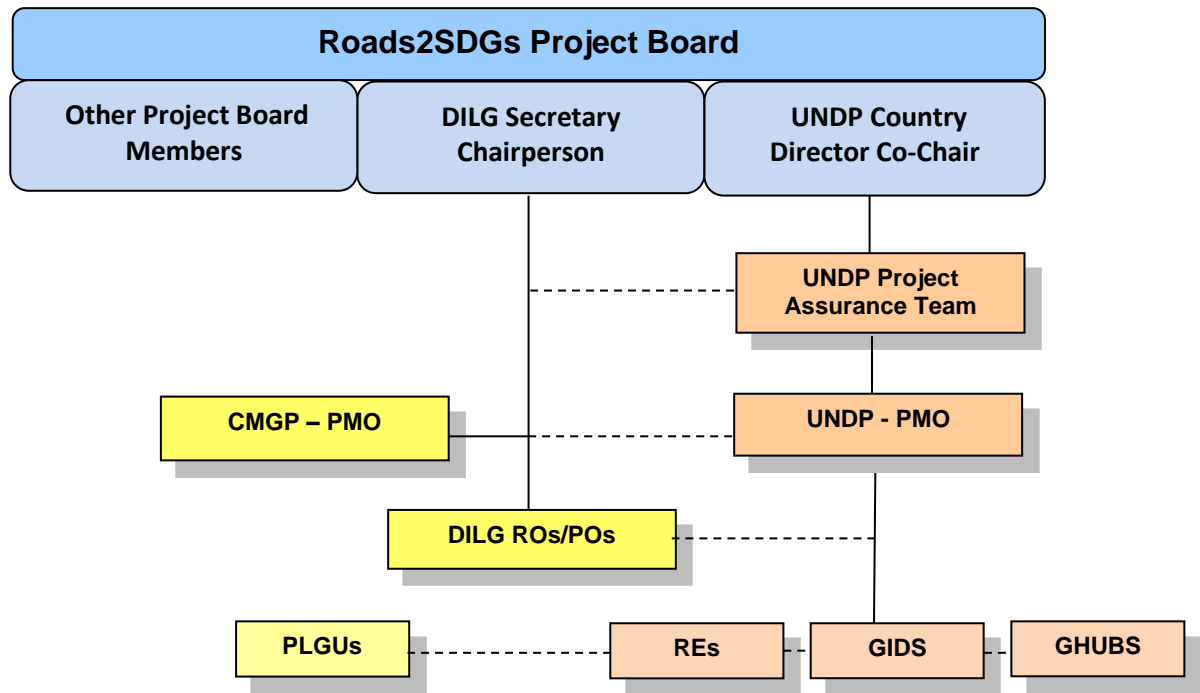
**Implementing Partner:**

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## II. MANAGEMENT ARRANGEMENTS

Explain the roles and responsibilities of the parties involved in managing the project.

Use the diagram below for the composition of the Project Board – as deemed necessary and confirmed during the Project Appraisal Committee meeting.



### III. MONITORING AND EVALUATION PLAN

#### Monitoring Plan

<b>Expected Results &amp; (Outcome &amp; Output)</b>  <i>Obtained from AWP results framework</i>	<b>Indicators</b>  <i>With what indicators the quality of the results will be measured? With Baselines and indicative targets</i>	<b>Data Collection Methods</b>  <i>How will the data be obtained?</i>	<b>Time or Schedule and Frequency</b>	<b>Means of Verification: Data Source and Type</b>  <i>What method will be used to determine if the indicator target has been met?</i>	<b>Responsibilities</b>  <i>Who is responsible for organizing the data collection and verifying data quality and source?</i>	<b>Resources</b>  <i>What are the resources required and committed for carrying out planned monitoring activities?</i>	<b>Risks and Assumptions</b>  <i>What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?</i>
<b>Output 1:</b> 16 Governance Hubs strengthened to provide continuous technical support to provincial governments, citizens, and DILG in the implementation of CMGP	1.1. Extent to which non-state local institutions are able to establish a consortium to provide support to local governments on Roads QA, governance reform and citizen engagement <i>Baseline: Not adequately – no consortiums established Target: 16</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	MOUs Work and Financial Plans of GHUBS  Progress Reports  Activity Reports and Documentations	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	Low buy-in and commitment from stakeholders (e.g., provincial governments); current modality (GHUBS) and technical capacity may not be adequate to complete all project work
	1.2 Number of provinces provided with technical support from Governance HUBS on Quality Assurance and Governance Reform <i>Baseline: 0 Target: 78</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	Work and Financial Plans of GHUBS  Progress Reports  Activity Reports and Documentations	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	
	1.3 Number of citizens trained by Governance HUBS to conduct citizen	Reporting of progress and accomplishments	Monthly Annual	Work and Financial Plans of GHUBS Progress Reports	Coordinating data collection: M&E Officer	Travel and communication resources	

<b>Expected Results &amp; (Outcome &amp; Output)</b>  <i>Obtained from AWP results framework</i>	<b>Indicators</b>  <i>With what indicators the quality of the results will be measured? With Baselines and indicative targets</i>	<b>Data Collection Methods</b>  <i>How will the data be obtained?</i>	<b>Time or Schedule and Frequency</b>	<b>Means of Verification: Data Source and Type</b>  <i>What method will be used to determine if the indicator target has been met?</i>	<b>Responsibilities</b>  <i>Who is responsible for organizing the data collection and verifying data quality and source?</i>	<b>Resources</b>  <i>What are the resources required and committed for carrying out planned monitoring activities:</i>	<b>Risks and Assumptions</b>  <i>What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?</i>
	monitoring for Roads <i>Baseline: 0 Target: 200</i>	Field monitoring		Activity Reports and Documentations	Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Reporting system established	
<b>Output 2:</b> Capacity of Provincial Governments and DILG Built to Plan, Design, Implement, and Maintain Quality Roads Networks through Effective Governance Processes	2.1 Number of Provincial Governance Reform Roadmaps (PGRRs) formulated <i>Baseline: 0 Target: 78</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	Approved/Signed PGRRs  Activity Reports and Documentations	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	
	2.2 Percent of 2017 provincial road projects with quality assurance assessments and recommendations <i>Baseline: 0% Target: 100%</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	Quality assurance and assessment reports	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	
	2.3 Percent of provincial governments with established QA units <i>Baseline: 30% Target: 80%</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	Provincial Resolutions establishing QA Units	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	
	2.4 Percent of ROs and PLGUs that	Reporting of progress and	Monthly Annual	Systems Installed – Reports	Coordinating data collection: M&E Officer	Travel and communication	

<b>Expected Results &amp; (Outcome &amp; Output)</b>  <i>Obtained from AWP results framework</i>	<b>Indicators</b>  <i>With what indicators the quality of the results will be measured?</i>  <i>With Baselines and indicative targets</i>	<b>Data Collection Methods</b>  <i>How will the data be obtained?</i>	<b>Time or Schedule and Frequency</b>	<b>Means of Verification: Data Source and Type</b>  <i>What method will be used to determine if the indicator target has been met?</i>	<b>Responsibilities</b>  <i>Who is responsible for organizing the data collection and verifying data quality and source?</i>	<b>Resources</b>  <i>What are the resources required and committed for carrying out planned monitoring activities?</i>	<b>Risks and Assumptions</b>  <i>What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?</i>
	utilize electronic systems for quality assurance and governance reform for provincial road projects <i>Baseline: 0%</i> <i>Target: 50%</i>	accomplishments  Field monitoring		generated  Capacity-Building/Activity Reports and Documentations	Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	resources  Reporting system established	
	2.5 Percent of PLGUs and DILG functionaries that received training in modules and systems from GHUBS mentors and UNDP deployed experts <i>Baseline: 0%</i> <i>Target: 50%</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	Progress Reports  Capacity-Building/Activity Reports and Documentations	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	
	2.6 Number of provinces coached and mentored on roads Quality Assurance and governance reforms <i>Baseline: 0</i> <i>Target: 78</i>	Reporting of progress and accomplishments  Field monitoring	Monthly Annual	Progress Reports  Capacity-Building/Activity Reports and Documentations	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	
	2.7 Percent of PGRRs with Sustainable Development Goals indicator alignment <i>Baseline: 0</i> <i>Target: 80%</i>	Reporting of progress and accomplishments  Document review	Monthly Annual	Approved/Signed PGRRs	Coordinating data collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators;	Travel and communication resources  Reporting system established	



<b>Expected Results &amp; (Outcome &amp; Output)</b>  <i>Obtained from AWP results framework</i>	<b>Indicators</b>  <i>With what indicators the quality of the results will be measured? With Baselines and indicative targets</i>	<b>Data Collection Methods</b>  <i>How will the data be obtained?</i>	<b>Time or Schedule and Frequency</b>	<b>Means of Verification: Data Source and Type</b>  <i>What method will be used to determine if the indicator target has been met?</i>	<b>Responsibilities</b>  <i>Who is responsible for organizing the data collection and verifying data quality and source?</i>	<b>Resources</b>  <i>What are the resources required and committed for carrying out planned monitoring activities?</i>	<b>Risks and Assumptions</b>  <i>What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?</i>
					Project Assistant		
<b>Output 3:</b> Citizens organized to promote transparency and accountability in the implementation of road projects in 78 Provinces	3.1 Number of citizen volunteers using the ROADS module of DevLive (citizen feedback platform) to monitor the implementation of provincial road projects <i>Baseline: TBD Target: TBD</i>	Utilization of DevLIVE	Monthly Annual	Field validation  Capacity-Building/Activity Reports and Documentations	Coordinating data collection: Project Manager  Data Collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established	Limited participation of community members
3.2 Percent of provincial road projects monitored by citizens/CSOs <i>Baseline: TBD Target: TBD</i>	Utilization of DevLIVE	Monthly Annual	Field validation  Capacity-Building/Activity Reports and Documentations	Coordinating data collection: Project Manager  Data Collection: M&E Officer  Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Travel and communication resources  Reporting system established		

### Evaluation Plan

Evaluation Title	Partners (if joint)	Related Strategic Plan Output	PFSD/CPD Outcome	Planned Completion Date	Key Evaluation Stakeholders	Cost and Source of Fund
End-of Project Evaluation	Third Party Evaluator	Strengthen effective, accountable and inclusive governance	1	End-of-Project	UNDP, DILG, Provincial Governments, G-HUBS	Project Budget

### Monitoring and Evaluation Budget

<b>Total Budget on Monitoring in Reporting Year</b> <i>Guidance: Costs associated with UNDP/project staff, consultants, project partners, supporting national statistical systems in designing project specific data collection methodologies (qualitative and quantitative), monitoring methods including stakeholder surveys and other qualitative methods, collection of data, analysis and dissemination of the findings to inform a project either with project partners or to fulfill specific UNDP/project requirements (preferably the former).</i>	Indicative: USD 120,000 (2019-2020)	<b>Total budget on Decentralized Evaluations in Reporting Year (Mid-Term/Final)</b> <i>Guidance: Costs associated in designing, implementing and disseminating evaluations for specific projects</i>	Indicative: USD 35,000
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**IV. ANNUAL PROCUREMENT PLAN (SEE SEPARATE WORKSHEET) - INDICATE  
FIELDS / INFORMATION NEEDED**

**V. RISK LOG (UPLOAD IN ATLAS: GRANTS > PROJECT MANAGEMENT > APPROVED PROJECTS > RISKS)**

1	<p>Enter a brief description of the risk</p> <p><i>(In Atlas, use the Description field. <b>Note: This field cannot be modified after first data entry</b>)</i></p> <p>Recruitment and other inception issues; delayed engagement and deployment of project personnel</p>	<p>When was the risk first identified</p> <p><i>(In Atlas, select date. Note: date cannot be modified after initial entry)</i></p>	<p>Subcategories for each risk type should be consulted to understand each risk type (see Deliverable Description for more information)</p> <p><i>(In Atlas, select from list)</i></p> <p>Operational</p>	<p>What actions have been taken/will be taken to counter this risk</p> <p><i>(in Atlas, use the Management Response box. This field can be modified at any time. Create separate boxes as necessary using "+", for instance to record updates at different times)</i></p> <p>Use special acceleration measures for hiring key personnel and expedite deployment</p>	<p>Who has been appointed to keep an eye on this risk</p> <p><i>(in Atlas, use the Management Response box)</i></p>	<p>Who submitted the risk</p> <p><i>(In Atlas, automatically recorded)</i></p>	<p>When was the status of the risk last checked</p> <p><i>(In Atlas, automatically recorded)</i></p>	<p>e.g. dead, reducing, increasing, no change</p> <p><i>(in Atlas, use the Management Response box)</i></p> <p>Dead</p>
2	<p>Possible issues on integrity and reliability of field reports in status of projects</p>		<p>Operational</p>	<p>Establish reporting system with clear protocols</p>				
3	<p>Road project implementation by provincial LGUs are already ongoing but Quality Assurance is midway</p>		<p>Operational</p>	<p>Catch-up QA audits through Experts-on-call, and validation with the citizen monitors</p>				
4	<p>Weak monitoring</p>		<p>Operational</p>	<p>Initiate capacity</p>				

	of QA and provision of appropriate technical assistance (TA)			development program strategic to QA and technical assistance provision; expedite deployment of staff and partner institution i.e., PASUC and GHUBS formation				
5	Limited capacity of Provincial Engineering Office (PEOs) on QA planning and execution		Strategic					
6	Poor observance of road safety measures		Strategic	Include in the training of engineers topics on road safety management, environmental and social safeguard compliance				
7	Limited compliance to environmental and social safeguards		Social and Environmental					
8	Bulk of procurement of goods and services on the 4 <sup>th</sup> quarter of 2018	September 29, 2018	Organizational	Hire additional 2 procurement ICs and 1 IC for finance on the 4 <sup>th</sup> quarter	Abelardo Cea		September 29, 2018	No change