

ANNUAL WORK PLAN

Date Received: 26 Nov 2019
Forward to: Climate Action
cc: _____



Empowered lives.
Resilient nations.

Implementing Partner:

Climate Change Commission

Responsible Parties:

National Steering Committee: DoTr, DOE, DTI, DA, DENR, NEDA, NSWMC, PCW, DOF, DOST, Academe, Civil Society, Private Sector

Project Description

The Low Emission Capacity Building (LECB) project in the Philippines is an initiative funded by the EU and the government of Germany, implemented by the UNDP, and executed by the Climate Change Commission. The project, which was implemented from 2012 to 2018, achieved a range of significant results, which the Climate Change Commission has been using as reference in making informed decisions. The project culminated in the development of an NDC roadmap/framework to guide the government on how to achieve its commitments under the Paris Agreement on Climate Change. The landmark Agreement was reached at the 21st Conference of the Parties (COP21) of the United Nations Framework Convention on Climate Change (UNFCCC) in Paris, France, in December 2015. It aims to limit the global temperature rise to well below 2°C above pre-industrial levels and to pursue efforts to limit the increase even further to 1.5°C. Leading up to COP21, countries were asked to publicly declare what actions they intended to take under the new global agreement. The country commitments, known as Intended Nationally Determined Contribution (INDC), were the primary means for governments to communicate internationally the steps they would take to address climate change in their own countries.

The Agreement, which entered into force on 4 November 2016, built upon the foundation of climate pledges from 187 countries, known as Nationally Determined Contributions (NDCs). Countries are expected to submit updated and more ambitious NDCs every five years which will become the main vehicle for illustrating a country's strategic vision on climate change in the context of sustainable development.

The important challenge is transforming these NDCs into tangible actions that lead to long term, zero-carbon and climate-resilient development. Countries need sustained financial, capacity building, and technical support to regularly prepare, implement, and report on national actions under the PA and more specifically against NDC targets for reducing GHGs. The UNDP NDC Support Programme supports governments to achieve transformational change by using NDC implementation as a mechanism to scale up investment in climate change and deliver sustainable development. The Programme is implemented in the country as "NDC Support Project for the Philippines (NSPP)". The NSPP is being funded by the governments of Germany and Spain and the EU and as part of a rebranding of the Global LECB Programme, which is now referred to as "UNDP NDC Support Programme." A total of USD 805,000.00 has been granted to implement the NSPP. UNDP is engaged in a range of strategic partnerships through the NDC Support Programme, including the IKI NDC Support Cluster, the NDC Partnership, the LEDS Global Partnership, and the Partnership on Transparency in the Paris Agreement.

Private Sector Engagement in the NDC Process

It is recognized that the successful implementation of the NDC actions require not only the involvement of the public sector but also the engagement of the Private Sector (PS). The financial resources and knowledge transfer from the private sector, is key to achieving sustainable development and long-term climate goals. With the Philippine NDC seen to require between US\$ 12 to 30 billion in investments in the energy sector alone to achieve the sector's GHG emission reduction goals from the business-as-usual scenario for the period of 2000-2030, the private sector could complement effectively the efforts of the government to provide the enabling environment and support to operations, including policies and information for making investment decisions. Furthermore, the Philippine NDC offers the private sector promising investment opportunities and productive partnerships with the public sector and the international community that deliver the benefits of sustainability, climate-resiliency, and a green economy that create green jobs.

A number of policies and frameworks support the transition to a low carbon, climate-resilient and green economy and the engagement of the private sector. This is underscored in the Climate Change Act, which mandates the CCC to "coordinate with local government units (LGUs) and private entities to address vulnerability to climate change impacts of regions, provinces, cities and municipalities" and to coordinate various sectors, including the private sector, in the development and implementation of the National Climate Change Action Plan (NCCAP), and the local action plans.

Inherently risk-averse, the business sector is looking to partner with the government in enhancing the enabling environment to overcome the challenges in climate change investments through policy changes and market-based incentives. The CCC recognizes the strategic role and acknowledges the vulnerability of the private sector in addressing climate change, hence, this initiative on Private Sector Engagement in the NDC. A top-up support of an

Country Programme Period: 2019-2023

Project/Outputs ID: **00109317/ 00619706**



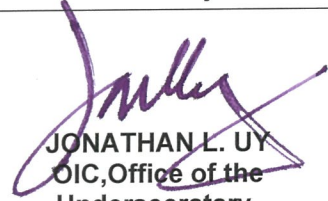
Project Start Date: 01 September 2018

Project End Date : 31 August 2020

Project Board Meeting Date: 16 October 2018

| | |
|-----------------------------------|-----------------------------------|
| Total resources required: | ATLAS 00109317 \$802,500.00 |
| AWP for 2018 | \$160,204.40 |
| AWP for 2019: | \$564,953.14 |
| Total resources allocated: | \$ 1,450,500.00 |
| • UNDP TRAC: | |
| • Donor (BMUB): | \$802,500.00 |
| • Donor (Germany) | \$648,000.00 |
| • Government: | |
| • In-Kind: | |
| Unfunded: | |

Agreed by:

| Climate Change Commission | UNDP Country Office | National Economic Development Authority |
|--|---|---|
|  EMMANUEL M. DE GUZMAN Secretary and Vice-Chairman |  ENRICO GAVEGLIA Deputy Resident Representative |  JONATHAN L. UY OIC, Office of the Undersecretary, Investment Programming Group |
| Date: | Date: | Date: 14 Jan 2020 NEEA-PI5 |

PROGRAMME ALIGNMENT

| | |
|---------------------------------------|---|
| A.1 PFSD/CPD Outcome alignment | 2: Urbanisation, economic growth, and climate change actions are converging for a resilient, equitable, and sustainable development path for communities. |
|---------------------------------------|---|

| A.2 CPD Output Indicator alignment <i>[Choose between 1-3 applicable indicators]</i> | 2.2.1 Extent to which low emission and climate-resilient development targets are reflected in national plans, strategies, and budget local development plans, strategies, and budgets, and private sector business plans and strategies [IRRF 2.1.1.1] | | | | | | | | | | | | | | | | | | | | | |
|---|--|------------------------------|------------------------------|------------------------------|-----------------------|-----------------------|-----------------------|------|--------------------------|--------------------------|----|----|--------|--------|--------|---------------------------|---|--------------|--------------------|------------------|------------------|--|
| | <table border="1"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="3">Targets / Cumulative Results</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>Y1</th> <th>Y2</th> <th>Y3</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td>Not Adequate - no NDC mitigation goal/targets finalized</td> <td>Not adequate</td> <td>Partially adequate</td> <td>Largely adequate</td> <td>Largely adequate</td> <td></td> </tr> </tbody> </table> | Baseline | | Targets / Cumulative Results | | | End of Project Target | | Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual | 2017 | Not Adequate - no NDC mitigation goal/targets finalized | Not adequate | Partially adequate | Largely adequate | Largely adequate | |
| | Baseline | | Targets / Cumulative Results | | | End of Project Target | | | | | | | | | | | | | | | | |
| | Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual | | | | | | | | | | | | | | | |
| 2017 | Not Adequate - no NDC mitigation goal/targets finalized | Not adequate | Partially adequate | Largely adequate | Largely adequate | | | | | | | | | | | | | | | | | |
| 2.2.2 Number of public and private entities making investments in low emission solutions and schemes through UNDP support [IR. 2.5.1.1] | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="3">Targets / Cumulative Results</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>Y1</th> <th>Y2</th> <th>Y3</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td>No data/ info established</td> <td>None</td> <td>2</td> <td>5</td> <td>7</td> <td></td> </tr> </tbody> </table> | Baseline | | Targets / Cumulative Results | | | End of Project Target | | Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual | 2017 | No data/ info established | None | 2 | 5 | 7 | | |
| Baseline | | Targets / Cumulative Results | | | End of Project Target | | | | | | | | | | | | | | | | | |
| Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual | | | | | | | | | | | | | | | | |
| 2017 | No data/ info established | None | 2 | 5 | 7 | | | | | | | | | | | | | | | | | |

| A.3 SP Output Indicator Alignment | SP Output 1.4 Scaled up action on climate change mitigation and adaptation across sectors funded and implemented. <table border="1"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="3">Targets / Cumulative Results</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>Y1</th> <th>Y2</th> <th>Y3</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td>No data/ info established</td> <td>None</td> <td>2</td> <td>2</td> <td>4</td> <td></td> </tr> </tbody> </table> | Baseline | | Targets / Cumulative Results | | | End of Project Target | | Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual | 2017 | No data/ info established | None | 2 | 2 | 4 | |
|--|--|------------------------------|----|------------------------------|-----------------------|--------|-----------------------|--|------|--------------------------|----|----|----|--------|--------|------|---------------------------|------|---|---|---|--|
| Baseline | | Targets / Cumulative Results | | | End of Project Target | | | | | | | | | | | | | | | | | |
| Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual | | | | | | | | | | | | | | | | |
| 2017 | No data/ info established | None | 2 | 2 | 4 | | | | | | | | | | | | | | | | | |

**A.4 Project Document
Outcome Indicators**

Output 1: Integrated governance enhanced to deliver NDC outcomes

| Baseline | | Targets / Cumulative Results | | | End of Project Target | |
|----------|--------------------------|------------------------------|--------------------|------------------|-----------------------|--------|
| Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual |
| 2017 | Not adequately enhanced | Not adequately | Partially enhanced | Largely enhanced | Largely enhanced | |

Output 2: Evidence based design and planning of mitigation options delivered

| Baseline | | Targets / Cumulative Results | | | End of Project Target | |
|----------|--------------------------|------------------------------|---------------------|-------------------|-----------------------|--------|
| Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual |
| 2017 | Not adequately | Not adequately | Partially delivered | Largely delivered | Largely delivered | |

Output 3: Capacities developed to design climate-friendly investment opportunities (GEN2)

| Baseline | | Targets / Cumulative Results | | | End of Project Target | |
|----------|--------------------------|------------------------------|---------------------|-------------------|-----------------------|--------|
| Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual |
| 2017 | Not adequately | Not adequately | Partially delivered | Largely delivered | Largely delivered | |

Output 4: Enabling environment enhanced for private sector development (GEN2)

| Baseline | | Targets / Cumulative Results | | | End of Project Target | |
|----------|--------------------------|------------------------------|---------------------|-------------------|-----------------------|--------|
| Year | Quantity/ Points /Rating | Y1 | Y2 | Y3 | Target | Actual |
| 2017 | Not adequately | Not adequately | Partially delivered | Largely delivered | Largely delivered | |

I. 2019 ANNUAL WORK PLAN

Project Title: NDC Support Project for the Philippines (NSPP)

Project ID: 00109317

Output ID: 0061970

Implementing Partner: Climate Change Commission

| EXPECTED OUTPUTS | | | | | |
|--|----------|---|----------------------|-------------------------------------|-----------------------|
| Output 1. | | | | | |
| <i>Integrated governance enhanced to deliver NDC outcomes (GEN2)</i> | | | | | |
| Project Output Indicator/s | Baseline | | Annual Target (2019) | Cumulative Target (from Start Year) | End-of-Project Target |
| | | | | Start year: 2018 | End year: 2019 |
| 1.1 Number of gender-responsive approaches developed for integration into institutional frameworks (REV) | 2017 | No NDC office is officially established. | 2 | 3 | 3 |
| 1.2 Number of monitoring and transparency systems enhanced for NDC implementation | 2017 | NICCDIES system designed but sectoral and sub-national aspects need improvement | 1 | 1 | 2 |

| PLANNED ACTIVITIES (for Output No.1) | | | | | PLANNED BUDGET (for Output No.1) | | | | | |
|--------------------------------------|--|-----------|----|----|----------------------------------|--------------------------------|----------------------|--------|-------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ¹ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ² | Funding Source/Donor | Budget | | Amount US\$ (1M) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |

¹ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

² Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

| PLANNED ACTIVITIES (for Output No.1) | | | | | | PLANNED BUDGET (for Output No.1) | | | | |
|---|--|-----------|----|----|----|----------------------------------|----------------------|--------|-----------------------------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ¹ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ² | Funding Source/Donor | Budget | | Amount US\$ (1=) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| a. Formalise and strengthen Gender-responsive institutional framework for NDC implementation | NDC Implementation Plan | | | | X | CCC | 28310/12711 | | | |
| a.1 Hiring of services of a Consulting Firm for the development of the NDC Implementation Plan | Signed Contract | X | | | | CCC | 28310/12711 | 72100 | Consulting Firm | \$37 |
| a.2. Conduct of activities to support the NDC Firm | Inception, First and Second Interim Reports | | X | X | | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | \$7 |
| b. Enhance Monitoring and transparency systems for NDC implementation | MRV Plan | | | | X | | | | | |
| b.1 Procurement of services of a Consulting Firm for the development of the MRV Plan | Signed Contract | X | | | | CCC | 28310/12711 | 72100 | Consulting Firm | \$37 |
| b.2 Conduct of activities to support the MRV Firm | Activity Reports (IR, FIR, SIR, DFR, & FR) | | X | X | X | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | \$9 |
| c. Development of Knowledge Materials for the NDC Implementation and MRV Plans | Publications | | | X | | CCC | 28310/12711 | 74200 | Printing and Publications | \$3 |
| d. Chief Technical Adviser and Senior Technical Assistant | Accomplishments reports | X | X | X | X | CCC | 28310/12711 | 71300 | Contract of Services | \$26 |
| | Supplies | X | X | X | X | CCC | 28310/12711 | 72500 | Supplies | \$2 |
| | Miscellaneous & DCOS | X | X | X | X | CCC | 28310/12711 | 74 | | |
| | Miscellaneous DCOS | X | X | X | X | CCC | 28310/12711 | 64 | | |
| | Travel-Others | X | X | X | X | CCC | 28310/12711 | 71 | | |

Should be:
Sub-Total Output 1 \$129,
Gms (129,258.80 x 72) 9,
Total \$ 138,3

| PLANNED ACTIVITIES (for Output No.1) | | | | | PLANNED BUDGET (for Output No.1) | | | | | |
|--------------------------------------|--|-----------|----|----|----------------------------------|-----------------------------------|-------------------------|--------|-------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ¹ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ² | Funding Source/Donor | Budget | | Amount US\$ (1=) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| Total Output1 | | | | | | | | | \$13 | |

EXPECTED OUTPUTS
Output 2.
Evidence based design and planning of mitigation options delivered (GEN2)

| Project Output Indicator/s | Baseline | | Annual Target (2019) | Cumulative Target (from Start Year) Start year: 2018 | End-of-Project Target End year: 2018 |
|---|----------|--|----------------------------|--|---|
| | Year | Description | | | |
| 2.1 Action plan developed and implemented for mainstreaming gender into NDC Implementation/MRV/Financial Plans | 2017 | No targeted gender analysis of NDC implementation has been conducted | 0 | 1 | 1 |
| 2.2a Number of gender-responsive NDC sectoral implementation plans developed | 2017 | NDC roadmap developed but no NDC sectoral implementation/ action plans | 4 | 4 | 6 |
| 2.2b Tools developed to model economic impacts of mitigation scenarios (ADD) | 2017 | None | 1 | 1 | 1 |

| PLANNED ACTIVITIES (for Output No.2) | | | | | PLANNED BUDGET (for Output No.2) | | | | | |
|--------------------------------------|--|-----------|----|----|----------------------------------|-----------------------------------|-------------------------|--------|-------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ³ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁴ | Funding Source/Donor | Budget | | Amount US\$ (1=) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |

³ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

⁴ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

| PLANNED ACTIVITIES (for Output No.2) | | | | | | PLANNED BUDGET (for Output No.2) | | | | |
|--|--|-----------|----|----|----|----------------------------------|----------------------|--------|---|--------------|
| Activity/Sub-Activity Description | Activity Target ³ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁴ | Funding Source/Donor | Budget | | Amc US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| a. Gender action plan developed and implemented | Gender Action Plan | | | | X | CCC | | | | |
| a.1. Procurement of services of a Gender Expert | Signed Contract | | | | | CCC | | | | |
| a.2. Conduct of activities to support the Gender Expert | Activity Reports (IR, FIR, SIR, DFR, & FR) | X | X | X | | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | |
| a.3. Development of GAD-HDGD Checklist on Climate | Guidance Document/Checklist | | | | X | CCC | 28310/12711 | 74200 | Printing and Publications | |
| b. Refine and/or disaggregate NDC mitigation targets | NDC Implementation Plan | | | | X | CCC | | | | |
| b.1. Conduct of activities to support the NDC Firm | Draft Final and Final Reports | | | | X | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | |
| b.2 Economy-wide analysis & emission scenario building for the formulation of the Philippine NDC | Reports | | | X | X | CCC | 28310/12711 | 71300 | National Consultant | \$ |
| Development of the NDC Implementation Plan for Industry and Agriculture Sectors | | | | | | | | | | |
| b.3 Procurement of services of a consulting firm | Signed Contract | | | | X | CCC | 30000/00117 | 71200 | Consulting Firm | |
| b.4 Conduct of Support Activities | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Training, Meeting, Conferences, & Workshops | |
| b.5 Capacity Building Trainings and Workshops | Capacity Building Modules/Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Training, Meeting, Conferences, & Workshops | |
| c. Hiring of Gender Specialist & CTA | Accomplishment Report | X | X | X | X | CCC | 28310/12711 | 71300 | Contract of Services | : |
| | Miscellaneous DCOS | X | X | X | X | CCC | 28310/12711 | 74500 | Miscellaneous DCOS | |
| | Miscellaneous DCOS | | | | X | CCC | 30000/00117 | 74500 | Miscellaneous DCOS | |
| | Travel-Others | X | X | X | X | CCC | 28310/12711 | 71600 | Travel-Others | |

| PLANNED ACTIVITIES (for Output No.2) | | | | | | PLANNED BUDGET (for Output No.2) | | | | |
|--------------------------------------|--|-----------|----|----|----|----------------------------------|----------------------|--------|--------------------|--------------------|
| Activity/Sub-Activity Description | Activity Target ³ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁴ | Funding Source/Donor | Budget | | Amount US\$ (1) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| | Travel-Others | | | | X | CCC | 30000/00117 | 71600 | Travel-Others | |
| | Miscellaneous DCOS | X | X | X | X | CCC | 28310/12711 | 64300 | Miscellaneous DCOS | |
| | Miscellaneous DCOS | | | | X | CCC | 30000/00117 | 64300 | Miscellaneous DCOS | |
| OUTPUT 2 Sub TOTAL | | | | | | | | | | |
| 7%GMS (75100) | | | | | | | | | | |
| 8%GMS(75100) | | | | | | | | | | |
| Total OUTPUT2 | | | | | | | | | | |

| EXPECTED OUTPUTS Output 3. <i>Capacities developed to design climate-friendly investment opportunities (GEN2)</i> | | | | | |
|---|----------|--|----------------------|---|---|
| Project Output Indicator/s | Baseline | | Annual Target (2019) | Cumulative Target (from Start Year) Start year: 2018 | End-of-Project Target End year: 2018 |
| | Year | Description | | | |
| 3.1 Number of investment de-risking strategies developed (REV) | 2017 | Some initial analysis undertaken on barriers to investment, but no systematic analysis | 0 | 0 | 2 |
| 3.2 Number of sectors with identified gender-responsive financing propositions/mechanisms to support NDC implementation | 2018 | No gender-responsive financing propositions/mechanisms identified. | 0 | 0 | 2 |
| 3.3. Number of investment networks established to support climate investments for NDC implementation (TUS) | 2018 | No existing investment network identified | 0 | 0 | 1 |

| PLANNED ACTIVITIES (for Output No.3) | | | | | | PLANNED BUDGET (for Output No.3) | | | | |
|--|--|-----------|----|----|----|----------------------------------|----------------------|--------|-----------------------------------|--------------------|
| Activity/Sub-Activity Description | Activity Target ⁵ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁶ | Funding Source/Donor | Budget | | Amount US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| A. Capacities developed to design climate-friendly investment opportunities | | | | | | | | | | |
| a. Assess Investors risks and remove barriers | Financial Plan | | | | | CCC | | | | |
| a.1 Procurement of services of a Consulting Firm for the development of the Financial Plan | Signed Contract | | X | | | CCC | 28310/12711 | 72100 | Consultant-Short Term local | \$39 |
| a.2 Conduct of activities to support the Financial Firm | Activity Reports (IR, FIR, SIR) | | X | X | X | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | \$ |
| b. Establish Gender-responsive sustainable finance mechanism(s) to scale up NDC mitigation action | Financial Plan | | | | | CCC | | | | |
| b.1 Conduct of activities to support the Financial Firm | Activity Reports (DFR, FR) | | | | | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | |
| c. Development of Knowledge Materials for the Financial Plan | Publication | | | X | | CCC | 28310/12711 | 74200 | Printing & Publications | \$ |
| d. Senior Technical Assistant for Climate Finance and Senior Technical Adviser CTA | | X | X | X | X | CCC | 28310/12711 | 71300 | Contract of Service | \$2 |
| Establishment and operationalization of the Climate Investment Network | | | | | | | | | | |

⁵ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

⁶ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

| PLANNED ACTIVITIES (for Output No.3) | | | | | | PLANNED BUDGET (for Output No.3) | | | | |
|---|--|-----------|----|----|----|-----------------------------------|-------------------------|--------|----------------------------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ⁵ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁶ | Funding Source/Donor | Budget | | Amount US\$ (1=) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| e. Development of the institutional mechanisms of CFSS, CIN and PAN, including assessment of enabling environment for PS engagement in sustainable climate finance and climate-resilient investments | Institutional mechanisms of CFSS, CIN and PAN | | | | | | | | | |
| e.1 Procurement of services of a consultant | Signed Contract | | | | X | CCC | 30000/00117 | 71300 | Consultant-Shirt Term Local | |
| e.2 Conduct of support activities | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| f. Establishment of technical and financial advisory services to assist the private sector, particularly MSMEs | Technical and financial advisory services | | | | | | | | | |
| f.1 Procurement of services of technical and financial advisers | Signed Contract | | | | X | CCC | 30000/00117 | 71300 | Consultant-Short Term Local | \$4! |
| f.2 Conduct of support activities | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| g. Conduct of roundtable discussions, training, consultations, and climate investment for a | | | | | | | | | | |
| g.1 Conduct of Roundtable discussions on the Green Finance Study | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| g.2 Conduct of support activities for the development of the climate finance handbook | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| g.3 Publication of the climate finance handbook | Published Climate Finance Handbook | | | | | | | | | |

| PLANNED ACTIVITIES (for Output No.3) | | | | | | PLANNED BUDGET (for Output No.3) | | | | |
|---|--|-----------|----|----|----|-----------------------------------|-------------------------|--------|-------------------------------------|-----------------|
| Activity/Sub-Activity Description | Activity Target ⁵ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁶ | Funding Source/Donor | Budget | | Amo US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| g.4 Launching of the CIN | Activity Report | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| g.5 Climate Finance Training for CCC, Private Sector and Development Partners | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| g.6 Conduct of support activities for the development of monitoring toolkit | Monitoring Toolkit | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| g.7 Development and publication of IEC Materials on Climate Finance and Investments | Published IEC Materials | | | | X | CCC | 30000/00117 | 72500 | Printing & Publication | |
| g.8 Conduct of Climate Investment Forum | Activity Report | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop& Conferences | |
| | Office Supplies | X | X | X | X | CCC | 28310/12711 | 72500 | Supplies | \$ |
| | Office Supplies | | | | X | CCC | 30000/00117 | 72500 | Supplies | \$ |
| | Travel-Others | X | X | X | X | CCC | 28310/12711 | 71600 | Travel-Others | \$ |
| | Travel-Others | | | | X | CCC | 30000/00117 | 71600 | Travel-Others | |
| | Miscellaneous Expense & DCOS | X | X | X | X | CCC | 28310/12711 | 74500 | Miscellaneous & DCOS | \$ |
| | Miscellaneous Expense & DCOS | | | | X | CCC | 30000/00117 | 74500 | Miscellaneous & DCOS | |
| | DCOS | X | X | X | X | CCC | 28310/12711 | 64300 | DCOS | |
| | DCOS | | | | X | CCC | 30000/00117 | 64300 | DCOS | |
| OUTPUT 3 Sub TOTAL | | | | | | | | | | \$1: |
| 7% GMS (75100) | | | | | | | | | | \$ |
| 8% GMS (75100) | | | | | | | | | | 8 |
| Total OUTPUT3 | | | | | | | | | | \$1: |

EXPECTED OUTPUTS

Output 4.

Enabling environment enhanced for private sector development (GEN2)

| Project Output Indicator/s | Baseline | | Annual Target (Annual) | Cumulative Target (from Start Year) Start year: 2018 | End-of-Project Target End year: 202 |
|--|----------|--|------------------------|---|--|
| | Year | Description | | | |
| 4.1 Enabling policies identified for private sector engagement (REV) | 2017 | Five (5) national annual summits and four (4) regional summits | 1 | 2 | 3 |
| 4.2 Green Jobs assessment and certification systems operationalized (TUS) | 2018 | | 0 | 0 | 1 |
| 4.3 Number of climate investment models, and solutions developed and piloted for potential scaling (TUS) | 2018 | | 0 | 0 | 4 |
| 4.4 Number of advocacy and communication strategies and tools developed and/or implemented to support acceleration of private sector climate investments (TUS) | 2017 | NICCDIES | 0 | 0 | 5 |

| PLANNED ACTIVITIES (for Output No.4) | | | | | | PLANNED BUDGET (for Output No.4) | | | | |
|---|--|-----------|----|----|----|----------------------------------|----------------------|--------|-----------------------------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amount US\$ (1=) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| A. Enabling environment enhanced for private sector development | | | | | | | | | | |
| a. Systematically engage private sector on inclusive NDC investment opportunities | Business Summit | | | | X | CCC | | | | |
| a.1 Conduct of National Business Summit | Activity Report | | | | X | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | \$20 |

⁷ Specify units, e.g., number of trainings, number of participants, number of representations, etc.280

⁸ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

| PLANNED ACTIVITIES (for Output No.4) | | | | | | PLANNED BUDGET (for Output No.4) | | | | |
|---|--|-----------|----|----|----|----------------------------------|----------------------|--------|-----------------------------------|-----------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amc US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| a.2 Conduct of Regional Business Summit | Activity Report | | | X | | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | \$22 |
| a.3. Conduct of Consultations with sub-national and non-state actors for inclusive NDC Investment Opportunities (Green Hospitals) | Activity Report | | | | X | CCC | 28310/12711 | 75700 | Trainings, Workshop & Conferences | \$12 |
| b. Development of Knowledge Materials | Manual | | | | X | CCC | 28310/12711 | 74200 | Printing & Publications | \$: |
| B. Operationalization of the Green Jobs Act Assessment and Certification System for the granting of incentives to business enterprises | | | | | | | | | | |
| c. Finalization of the Green Jobs Assessment and Certification Guidelines, including manual of operations, and issuance of CCC resolution for its adoption | Green Jobs Assessment and Certification Guidelines | | | | | | | | | |
| c.1 Procurement of services of a consultant to develop the guidance document or protocol | Signed Contract | | | | X | CCC | 30000/00117 | 71300 | Consultant-Short Term Local | |
| c.2 Conduct of Support Activities | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop & Conferences | |
| d. Establishment of and capacity building for the assessment and verification entities on mainstreaming green jobs certification | | | | | | | | | | |
| d.1 Conduct of Support Activities for the establishment of the assessment and verification entities | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop & Conferences | |
| d.2 Capacity Building Trainings and Workshops | Capacity Building Modules/Activity Reports | | | | X | | | 75700 | Trainings, Workshop & Conferences | |

| PLANNED ACTIVITIES (for Output No.4) | | | | | | PLANNED BUDGET (for Output No.4) | | | | |
|---|--|-----------|----|----|----|-----------------------------------|-------------------------|--------|-----------------------------------|---------------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amount US\$ (1=) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| e. Pilot-testing of the assessment and certification system | | | | | | | | | | |
| e. 1 Conduct of Round Table Discussions for sectors to facilitate pilot testing | Activity Reports | | | | X | CCC | 30000/00117 | 75700 | Trainings, Workshop & Conferences | |
| C. Piloted climate investment models and innovative solutions for potential scale-up | | | | | | | | | | |
| f. Accelerating action towards climate-smart health care system (National Program for Greening Philippine Hospitals, including national strategic action plan) | National Program | | | | | | | | | |
| f.1 Procurement of services of a consultant to develop the National Program | Signed Contract | | | | X | CCC | | 71300 | Consultant-Short Term Local | \$19 |
| f.2 Procurement of services of a technical assistant to develop the National Program | Signed Contract | | | | X | CCC | | 71300 | Individual Contracts | |
| f.3 Conduct of Support Activities | Activity Reports | | | | | | | | | |
| f.4 Capacity Building Trainings and Workshops | Capacity Building Modules/Activity Reports | | | | | | | | | |
| f.5 Development and production of IEC materials | Published IEC Materials | | | | | | | | | |
| g. Support to SEC in the implementation of the Sustainability Reporting of PLCs, including development of climate risk and GHG evaluation tools | Capacity Building Modules/Training Design | | | | | | | | | |
| g.1 Procurement of services of a consultant to develop the sustainability reporting training design for PLCs | Signed Contract | | | | | | | | | |

| PLANNED ACTIVITIES (for Output No.4) | | | | | | PLANNED BUDGET (for Output No.4) | | | | |
|---|--|-----------|----|----|----|-----------------------------------|-------------------------|--------|---|----------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amo US\$ (= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| g.2 Conduct of Capacity Building Activities/ Trainings for Sustainability Reporting of PLCs | Activity Reports | | | | | | | | | |
| h. Conduct of Multi-stakeholder Policy Fora and development of policy issue papers on solid waste management | Policy Issue Papers | | | | | | | | | |
| i.1 Conduct of Multi-stakeholder Policy for a | Activity Reports | | | | | CCC | 30000/00117 | 75700 | Training, Meeting, Conferences, & Workshops | |
| i.2 Procurement of services of a consultant to develop policy papers | Signed Contract | | | | | | | | | |
| i.3 Conduct of Support Activities | Activity Reports | | | | | | | | | |
| i. Conduct of Multi-stakeholder Policy Fora and development of policy issue papers on water resource management | Policy Issue Papers | | | | | | | | | |
| i.1 Conduct of Multi-stakeholder Policy for a | Activity Reports | | | | | CCC | 30000/00117 | 75700 | Training, Meeting, Conferences, & Workshops | |
| i.2 Procurement of services of a consultant to develop policy papers | Signed Contract | | | | X | | | | | \$ |
| i.3 Conduct of Support Activities | Activity Reports | | | | | | | | | |
| j. Development of green standards for buildings and infrastructure development and issuance of CCC resolution for adoption | CCC Resolution | | | | | | | | | |
| j.1 Procurement of services of a national consultant | Signed Contract | | | | X | CCC | 30000/00117 | 71300 | Consultant-Short Term Local | \$3 |

| PLANNED ACTIVITIES (for Output No.4) | | | | | | PLANNED BUDGET (for Output No.4) | | | | |
|---|--|-----------|----|----|----|-----------------------------------|-------------------------|--------|---|-----------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amo US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| j.2 Conduct of Support Activities | Activity Reports | | | | | CCC | 30000/00117 | 75700 | Training, Meeting, Conferences, & Workshops | |
| j.3 Conduct of National Green Building Summit | Activity Report | | | | | | | | | |
| D. Development and implementation of communication and advocacy tools and strategies to support acceleration of climate investments by the private sector | | | | | | | | | | |
| k. Development of communication and advocacy tools | | | | | | | | | | |
| k.1 Publication on CCC initiatives, together with development partners, for the private sector, e.g. LECB, NSPP, NDPC, etc., and good practices of the private business sector (Business CAREs) | Publications | | | | | | | | | |
| k.1a Publication of NSPP Knowledge Products | Published KPs | | | | | | | | | |
| k.1b Procurement of services of a videographer for project accomplishments video | Signed Contract | | | | | | | | | |
| k.1c Procurement of services of a local creative writer/editor for Coffee Table Book of CCC Initiatives and project accomplishments | Signed Contract | | | | | | | | | |
| k.1d Publication of Coffee Table Book | Published Coffee Table Book | | | | | | | | | |
| k.1e Launching of Coffee Table Book | Activity Report | | | | | | | | | |
| k.1f Conduct of Support Activities | Activity Reports | | | | | | | | | |
| k.1g Publication of Business CAREs | Published Business CAREs | | | | | | | | | |

| PLANNED ACTIVITIES (for Output No.4) | | | | | PLANNED BUDGET (for Output No.4) | | | | | |
|---|--|-----------|----|----|----------------------------------|--------------------------------|----------------------|--------|-------------|--------------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amount US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| k.2 Development of advocacy and communication tools, including knowledge products and publications for green jobs | Published IEC materials | | | | | | | | | |
| k.3 Development of concept and design for an interactive climate change museum to advocate for climate action through PPP | Report | | | | | | | | | |
| k.3a Procurement of services of a curator | Signed Contract | | | | | | | | | |
| k.3b Conduct of Support Activities | Activity Reports | | | | | | | | | |
| l. Development of online platform for (i) CIN and (ii) Green Jobs and mobile application for info dissemination and PS engagement | Online platform/system and mobile application | | | | | | | | | |
| l.1 Procurement of services of a consulting firm | Signed Contract | | | | | | | | | |
| l.2 Conduct of Support Activities | Activity Reports | | | | | | | | | |
| l.3 Capacity Building Trainings and Workshops | Activity Reports | | | | | | | | | |
| l.4 Launching of Online Systems and Mobile Application | Activity Report | | | | | | | | | |
| m. Development of CCC policy instruments on engaging private sector stakeholders in climate action and climate-resilient investments | CCC policy instruments | | | | | | | | | |
| m.1 Procurement of services of a consultant /policy advisor | Signed Contract | | | | | | | | | |
| m.2 Conduct of Support Activities | Activity Reports | | | | | | | | | |

| PLANNED ACTIVITIES (for Output No.4) | | | | | | PLANNED BUDGET (for Output No.4) | | | | |
|--------------------------------------|--|-----------|----|----|----|----------------------------------|----------------------|--------|------------------------------|--------------------|
| Activity/Sub-Activity Description | Activity Target ⁷ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ⁸ | Funding Source/Donor | Budget | | Amount US\$ (1= |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| Office Supplies | | X | X | X | X | CCC | 28310/12711 | 72500 | Supplies | \$1 |
| Office Supplies | | | | | X | CCC | 30000/00117 | 72500 | Supplies | \$2 |
| Travel-Others | | X | X | X | X | CCC | 28310/12711 | 71600 | Travel-Others | \$1 |
| Travel-Others | | | | | X | CCC | 30000/00117 | 71600 | Travel-Others | \$ |
| Miscellaneous & DCOS | | X | X | X | X | CCC | 28310/12711 | 74500 | Miscellaneous Expense & DCOS | \$2 |
| Miscellaneous Expense & DCOS | | | | | X | CCC | 30000/00117 | 74500 | Miscellaneous Expense & DCOS | \$ |
| DCOS | | X | X | X | X | CCC | 28310/12711 | 64300 | DCOS | \$ |
| DCOS | | | | | X | CCC | 30000/00117 | 64300 | DCOS | \$ |
| OUTPUT 4 Sub TOTAL | | | | | | | | | | \$11 |
| 7% GMS (75100) | | | | | | | | | | \$ |
| 8% GMS (75100) | | | | | | | | | | \$ |
| Total OUTPUT4 | | | | | | | | | | \$12 |

| EXPECTED OUTPUTS | | | | | |
|---|----------|--------------|----------------------|-------------------------------------|-----------------------|
| Output 5. <i>Project management monitoring and reporting conducted</i> | | | | | |
| Project Output Indicator/s | Baseline | | Annual Target (2019) | Cumulative Target (from Start Year) | End-of-Project Target |
| | 2017 | 1 APR, 4 QPR | | Start year: 2018 | End year: 20 |
| 5.1 No. of reports generated | 2017 | 1 APR, 4 QPR | 5 | 7 | 12 |
| 5.2 No. of NSC meetings conducted | 2017 | 2 | 2 | 3 | 5 |
| 5.3 No. of planning workshop conducted | 2017 | 1 | 1 | 2 | 3 |

| PLANNED ACTIVITIES (for Output No.5) | | | | | | PLANNED BUDGET (for Output No.5) | | | | |
|--|--|-----------|----|----|----|----------------------------------|----------------------|--------|------------------------------------|----------------------|
| Activity/Sub-Activity Description | Activity Target ⁹ (provide guidance) | TIMEFRAME | | | | RESPONSIBLE PARTY ¹⁰ | Funding Source/Donor | Budget | | Amount US\$ (1=5) |
| | | Q1 | Q2 | Q3 | Q4 | | | Code | Description | |
| Project management and secretariat operations | PMU Staff Monthly Accomplishment Report | X | X | X | X | CCC | 28310/12711 | 71400 | Contract of Services | \$40, |
| Staff Welfare | Govt mandated (SSS,PHIC) | X | X | X | X | CCC | 28310/12711 | 74500 | Staff Welfare | \$2, |
| Procurement of Office Furnitures & Fixtures | Office workstations | | X | | | CCC | 28310/12711 | 72800 | Acquisition of Office Furnitures | \$5 |
| Conduct of NSC Meetings | Activity Reports | | | X | X | CCC | 28310/12711 | 75700 | Training, Meetings and Conferences | \$3 |
| Conduct of Planning Workshops | Activity Reports | | X | | | CCC | 28310/12711 | 75700 | Training, Meetings and Conferences | \$5 |
| Conduct Project Audit/Evaluation activities (Micro Assessment, Spot Check) | Audit Report, Project Evaluation Report | | | X | | CCC | 28310/12711 | 74100 | Professional Services | |
| Printing of Manuals, Brochures | Publications | | | | X | CCC | 28310/12711 | 74200 | Printing & Publications | \$6 |
| | Supplies | X | | | | CCC | 28310/12711 | 72500 | Office Supplies | \$6 |
| | Miscellaneous DCOS | X | X | X | X | | 28310/12711 | 74500 | Miscellaneous DCOS | \$3 |
| | Miscellaneous DCOS | X | X | X | X | | 28310/12711 | 64300 | Miscellaneous DCOS | |
| OUTPUT 5 Sub TOTAL | | | | | | | | | | \$7, |
| GMS (75100) | | | | | | | | | | \$, |
| Total OUTPUT5 | | | | | | | | | | \$7, |

GRAND TOTAL for 20
Should be : \$ 566,02

⁹ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

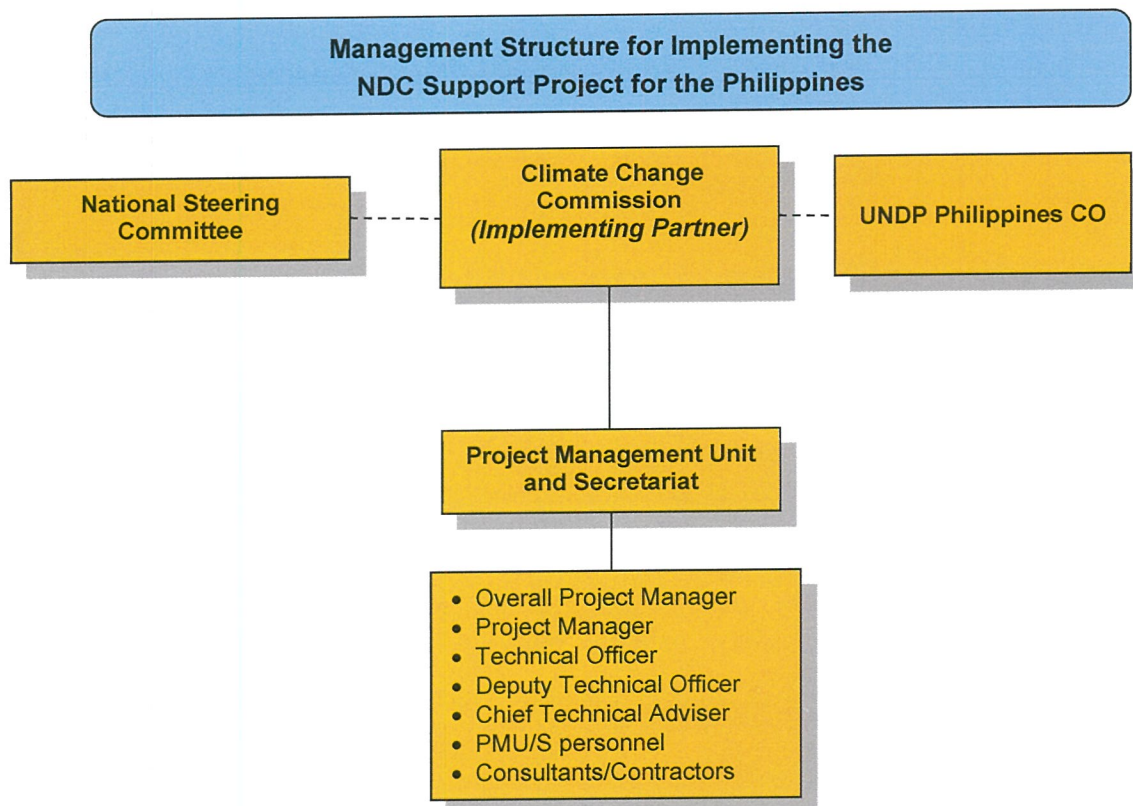
¹⁰ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

II. MANAGEMENT ARRANGEMENTS

Project management would follow the existing or current LECB PHL Project structure with some modifications. The national project management arrangement is characterized as a multilateral system, comprised of the designated government institution at a lateral level with the UNDP Country Office on a nationally-executed scheme. While this project focuses on the Agriculture, Waste, Industry, Transport, Forestry and Energy (AWIT-FE) sectors, it also primarily aligns itself with the National Climate Change Action Plan (NCCAP) which covers all the sectors under all programs and projects of the Government of the Philippines (GoP) on climate change through the Climate Change Commission (CCC). This project management arrangement therefore pertains to this NDC Support Project for the Philippines (NSPP) only and the scope it has on climate change mitigation.

The management/organizational structure that define the implementing arrangements among the project participants through the Project Management Unit and Secretariat is shown in **Fig. 1** below.

Figure 1: Management Arrangements for Implementing the Project



Implementing Partner

The CCC shall continue to be the designated government institution and lead implementing partner. Being the Implementing Partner (IP), the CCC is fully responsible and accountable for managing the project and ensuring the on-the-ground implementation of the project, the achievement of the expected project outcomes and outputs and the effective use of the project resources. The IP chairs the project National Steering Committee (NSC). Specifically, the IP shall prepare the

Annual Work Plan (AWP) for the approval of the National Steering Committee, review and sign the Combined Delivery Report (CDR) at the end of the year; and sign the Financial Report (FR) or the Funding Authorization and Certificate of Expenditures (FACE). The IP works closely with the UNDP Country Office (CO) in defining, assessing and monitoring program outputs and achievements towards desired development outcomes. The project relies on strong collaboration among the participating Philippine government departments and agencies and other stakeholders for which the CCC is at the vantage point as it is also administratively under the GoP Office of the President. Given the gender work stream, the Philippine Commission on Women will be a Responsible Party. The CCC Secretary will still be the Project Focal Point (PFP), thus ensuring country ownership and the effective and efficient steering of the project towards outcomes and outputs that are of relevance and interest to the Philippines.

UNDP Country Office

The UNDP CO (through the Country Director or designated UNDP staff) would continue to be responsible for the successful execution of program outcomes and monitoring of interdependencies between projects and managing changes within and among projects. At the same time, UNDP CO co-chairs the NSC. While project assurance is the responsibility of each NSC member, the UNDP assumes the overall project assurance role in support of the NSC by carrying out objective and independent project oversight and monitoring functions, and ensures that appropriate project management milestones are managed and completed.

National Steering Committee/Project Management Board

Under existing set-up, the NSC acts as the Project Management Board and provides the overall guidance and direction in implementing the project with the full cooperation of all the NSC members from relevant main government and sectoral counterparts. It is responsible for making consensus management decisions for the project. It is consulted by the Project Management Unit and Secretariat (PMUS) for decisions when project management tolerances (normally in terms of time and budget) have been exceeded.

Based on the approved Annual Work Plan (AWP), the NSC will meet at least twice a year to report on the progress, success, issues and challenges and for guidance and approval by the board. One meeting shall be dedicated for an Annual Review to review and approve project annual and quarterly plans when required and authorizes any major deviation from these agreed quarterly plans. It is the authority that signs off the completion of each quarterly plan as well as authorizes the start of the next quarterly plan. While these meetings should take place in person, ad referendum approvals may be undertaken in special or extreme cases and thorough minutes must be kept. Follow-up actions will be identified and concrete recommendations made on how to address specific issues that may arise during the implementation of the project.

The NSC, taking into consideration the gender-responsiveness of the NDC, would be modified and shall compose of the following members to be represented by designated key officials:

Chair: Climate Change Commission

Vice Chair: UNDP Philippine Country Office

Members:

- Department of Transportation (DOTr)
- Department of Energy (DOE)
- Department of Trade and Industry (DTI)
- Department of Agriculture (DA)
- Department of Finance (DoF)
- Department of Environment and Natural Resources (DENR)
- Department of Science and Technology (DOST)
- Philippine Statistics Authority (PSA)
- National Economic and Development Authority (NEDA)
- National Solid Waste Management Commission (NSWMC)
- Philippine Commission on Women (PCW)¹¹
- Representative from the Private Sector (PCCI)
- Representative from the Academe (PATLEPAM)
- Representative from the Civil Society (AKSYON-KLIMA)
- Relevant Bilateral Donors: German and Spanish¹² Governments, EU/EC

Project Management Unit and Secretariat/ Project Manager

The Project Management Unit and Secretariat (PMUS) group is headed by the designated Project Focal Point (PFP) from CCC (Executive Director/Secretary) who acts as the Overall Project Manager (OPM) and assisted by the Project Manager (PM), the Assistant Secretary of the CCC-Climate Change Office. The PM is assisted by a Technical Officer, a Deputy Technical Officer and PMU personnel and team of consultants/contractors to be hired by the Project. The NSC provides guidance whenever required by the PFP, the PM and the Technical Officer.

The PM has the authority to run the project on a day-to-day basis on behalf of the IP and the NSC within the policies and guidelines set by the NSC. The PM will facilitate the hiring of a team of consultants to help in delivering the Project Outputs. The personnel complement of PMUS is as follows:

A. Project Management Unit/Secretariat

- 1) Project Manager
- 2) Technical Officer
- 3) Deputy Technical Officer
- 4) Chief Technical Adviser
- 5) Senior Technical Assistants (2)
- 6) Technical Assistants (3)
- 7) Administrative Assistant
- 8) Finance Assistant

¹¹ New member

¹² For confirmation

III. MONITORING AND EVALUATION PLAN

Monitoring Plan

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|--|---|---|---|--|--|---|---|
| Output 1: Integrated governance enhanced | <p>1.1 Number of gender-responsive approaches developed for integration into institutional frameworks (REV)</p> <p>Baseline: No NDC office is officially established. Gender responsiveness of institutional frameworks will be reviewed during gender analysis and action plan prepared</p> <p>Target: 1</p> | <p>Review government processes annually, against gender action plan.</p> <p>Monitoring reports from M&E Officer</p> <p>NSC member agencies/ institutions</p> <p>FGDs with project beneficiaries or stakeholders</p> | <p>Annual</p> <p>Quarterly</p> <p>Quarterly</p> <p>As the need arises</p> | <p>Documented gender-responsive policies and processes (reviewed annually)</p> <p>Highlights of meetings;</p> <p>List of Participants;</p> <p>Recommendation paper on NDC Office</p> | <p>Coordinating data collection: PMU</p> <p>Collecting data: Project M&E Officer</p> <p>Verifying/ triangulating data quality: Chief Technical Adviser</p> <p>Analysing the data: Technical Officer and Project Manager</p> <p>Coordinating data collection: PMU</p> | <p>Monitoring cost and M&E are included as key activities in the programme budget</p> | <p>Formal adoption of institutional frameworks may be difficult</p> |
| | <p>1.2 Number of monitoring and transparency systems enhanced for NDC</p> | <p>Update logs and manuals for NICCDIES system.</p> | <p>-do-</p> | <p>Monitoring systems & guidance documents</p> | <p>-do-</p> | <p>-do-</p> | <p>Lack of Inter-agency cooperation/ collaboration</p> |

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|-------------------------------------|---|---|--------------------------------|---|------------------|-----------|-----------------------|
| | <p>implementation</p> <p>Baseline: NICCDIES system designed but sectoral and sub-national aspects need improvement</p> <p>Target: 1</p> | <p>Monitoring reports from M&E Officer</p> <p>NSC member agencies/ institutions</p> <p>FGDs/Meetings with project beneficiaries or stakeholders</p> | | | | | |

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|---|--|---|--------------------------------|---|------------------|-----------|---|
| Output 2: Evidence based design and planning of mitigation options delivered (GEN2) | 2.1 Action plan developed and implemented for mainstreaming gender into NDC Implementation/MRV /Financial Plans Baseline: No targeted gender analysis of NDC implementation has been conducted Target: 0 | Review sectoral roadmaps Monitoring reports from M&E Officer NSC member agencies/ institutions FGDs with project beneficiaries or stakeholders | -do- | Gender analysis report | -do- | -do- | Gender analysis results |
| | 2.2a No. of gender-responsive NDC sectoral implementation/ action plans developed and costed Baseline: NDC roadmap developed but no NDC sectoral implementation/ action plans Target: 4 | Review sectoral roadmaps Monitoring reports from M&E Officer NSC member agencies/ institutions FGDs with project beneficiaries or stakeholders | -do- | Sectoral roadmaps | -do- | -do- | Defining sector targets requires strong-buy in from sector stakeholders |

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|---|---|---|--------------------------------|---|------------------|-----------|---|
| | 2.2b Tools developed to model economic impacts of mitigation scenarios (ADD) Baseline: No existing economic model for various emission scenarios Target: 1 | | -do- | Completion Report from NEDA | | | Economic model may not be considered by agencies in the selection of mitigation options |
| Output 3: Capacities developed to design climate-friendly investment opportunities (GEN2) | 3.1 No. of investment de-risking strategies developed (REV) Baseline: Some initial analysis undertaken on barriers to investment, but no systematic analysis. Target: 0 | Two sectors will be prioritized drawing from the Private Sector LEDS roadmap and sectoral NDC roadmaps as reference | -do- | Investor risk analyses | -do- | -do- | May be difficult to put in place measures to address identified barriers & risks. |
| | 3.2 No. of sectors with identified gender-responsive financing propositions/ mechanisms to support NDC | Two sectors will be prioritized. Should include both public and private financing opportunities | -do- | Philippine Commission on Women's policies and guidelines on gender-responsive planning/ financing of projects | -do- | -do- | Lack of active participation of business associations, private sector and financial sector. |

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|-------------------------------------|---|-------------------------|--------------------------------|---|------------------|-----------|-----------------------|
| | <p>Implementation</p> <p>Baseline: No gender-responsive financing propositions/mechanisms identified</p> <p>Target: 0</p> | | | | | | |

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|---|---|---|--------------------------------|---|---|-----------|--|
| | 3.3 Investment network established to support climate investments for NDC implementation (TUS) | Review of reports submitted | -do- | Consultant Accomplishment Reports Monthly activity reports from CCC implementing units | -do- | | Private sector will actively participate in the investment network. |
| Output 4: Enabling environment enhanced for private sector development (GEN2) | 4.1 Enabling policies identified for private sector engagement (REV) Baseline: Five (5) national annual summits and four (4) regional summits Target: 1 | Summit highlights/ Reports/ concept notes | -do- | Summit Activity Reports; Private sector mapping | -do- | -do- | Private sector may not see value in business summits. Gender targets may be difficult to meet. |
| | 4.2 Green Jobs assessment and certification systems operationalized (TUS) Baseline: Target: 1 | Report Reviews | -do- | Documentation Reports Green Jobs Assessment and Certification Protocols Operating Assessment and Certification System | Implementation and Reporting: Policy, Research, and Development Division (PRDD) of CCC-IOD Monitoring: PMU | | Private sector may not be interested to avail of the certification incentives. |

| Expected Results (Outcome & Output) | Indicators | Data Collection Methods | Time or Schedule and Frequency | Means of Verification: Data Source and Type | Responsibilities | Resources | Risks and assumptions |
|-------------------------------------|---|---|--------------------------------|---|--|-----------|--|
| | <p>4.3 Number of climate investment models and solutions developed and piloted for potential scaling (TUS)</p> <p>Baseline:</p> <p>Target: 4</p> | Report Reviews | -do- | <p>Monitoring Reports</p> <p>Documentation Reports</p> <p>Final Documents</p> | <p>Data collection: CCC units directly involved in implementation</p> <p>Data Consolidation: PMU</p> | | Project timeframe may not be sufficient to support piloting of identified solutions. |
| | <p>4.4 Number of advocacy and communication strategies and tools developed and implemented to support acceleration of private sector climate investments (TUS)</p> <p>Baseline: NICCDIES developed under the LECB Project</p> <p>Target: 5</p> | <p>Report Reviews</p> <p>Knowledge products</p> | -do- | <p>Monitoring Reports</p> <p>Final knowledge products</p> <p>Operating information systems</p> <p>Documentation reports</p> | <p>Data collection: CCC units directly involved in implementation</p> <p>Data Consolidation: PMU</p> | | |

Evaluation Plan

| Evaluation Title | Partners (if joint) | Related | PFSD/CPD | Planned | Key Evaluation | Cost and Source of |
|------------------|---------------------|---------|----------|---------|----------------|--------------------|
|------------------|---------------------|---------|----------|---------|----------------|--------------------|

| | | Strategic Plan Output | Outcome | Completion Date | Stakeholders | Funding |
|------|-----|-----------------------|---------|-----------------|--------------|---------|
| None | N/A | 4.2 | 2 | - | - | - |

Monitoring & Evaluation Budget

| | | | |
|---|------------|---|------------|
| Total Budget on Monitoring in Reporting Year | | Total budget on Decentralized Evaluations in Reporting Year (Mid Term / Final) | |
| Technical Assistant for M&E | \$9,420.00 | <i>Project Spot Check and Audit</i> | \$4,500.00 |

**IV. ANNUAL PROCUREMENT PLAN (SEE SEPARATE WORKSHEET) - INDICATE
FIELDS / INFORMATION NEEDED**

V. RISK LOG

| No. | Description | Date Identified | Type | Countermeasures/ Management Response | Owner | Last Update | Status |
|-----|---|-----------------|-----------------------|--|---------------------|-------------|--|
| 1 | Weak support of private sector stakeholders for NDC implementation. | 11/28/2018 | Operational | Obtain strong buy-in of all key stakeholders through early engagement to ensure sufficient and sufficiently-capable resources are obtained. Address capacity building needs, especially at local level, to encourage full engagement. Need for stronger mechanism for private sector engagement and integration of initiatives into the NDC. | CCC, PMU and PCCI | 11/28/2018 | No change. The project has just started. |
| 2 | Lack of active participation of business associations, private sector and financial sector to finance, support and implement technically sound and financially viable mitigation actions. | 11/28/2018 | Organizational | Ensure that the prioritization process of mitigation actions includes business associations and private sector to secure buy-in as well as technical, social, and environmental review of options. Continuous advocacy of public, private and financial sectors to overcome mistrust and increase common understanding of mutual benefits to the achievement of NDC targets and SDGs. | CCC and PCCI | 11/28/2018 | No change. The project has just started. |
| 3 | Lack of appreciation by the | 11/28/2018 | Political (Government | Demonstrate that the benefits of more ambitious | CCC and NSC members | 11/28/2018 | No change. The project has just started. |

| | | | | | | | |
|---|---|------------|-------------|---|----------|------------|---|
| | government of the benefits of an ambitious NDC. | | Commitment) | NDC targets will accelerate development initiatives and processes (e.g. women's empowerment, youth employment, health improvements, etc). | | | |
| 4 | Insufficient capacity of the government to implement the NDC. | 11/28/2018 | Operational | Build the capacity of the government to maximize opportunities to support the NDC implementation | CCC, PMU | 11/28/2018 | No change. The project has just started |
| | | | | | | | |

Reference: Types of Risks¹³

| Environmental | Financial | Organizational | Political | Operational | Regulatory | Strategic | Other |
|--|---|-----------------------------------|-----------------------|---|---|--|---|
| Natural Disasters: storms, flooding, earthquakes | EXTERNAL economic factors: interest rates, exchange rate fluctuation, inflation | Institutional Arrangements | Corruption | Complex Design (size: larger/multi-country project; technical complexity; innovativeness, multiple funding sources) | New unexpected regulations, policies | Of | Other risks that do not fit in any of the other categories |
| Pollution incidents | INTERNAL: | Institutional/ Execution Capacity | Government Commitment | Project Management | Critical policies or legislation fails to pass or progress in the legislative process | Strategic Vision, Planning and Communication | Might refer to socioeconomic factors such as population pressures; encroachments – illegal invasions; poaching/illegal hunting or fishing |

¹³ UNDP Programme and Operations Policies and Procedures (POPP)

| | | | | | | | |
|---------------------|----------------------------------|--|---|--------------------------------|--|---------------------------|--|
| Social and Cultural | Co-financing difficulties | Implementation arrangements | Political Will | Human Error/Incompetence | | Leadership and Management | |
| \ | Use of financing mechanisms | Country Office Capacity (specific elements limiting CO capacity) | Political Instability | Infrastructure Failure | | Programme Alignment | |
| Economic | Funding (Financial Resources) | Governance | Change in Government | Safety being compromised | | Competition | |
| | Reserve Adequacy | Culture, Code of Conduct and Ethics | Armed Conflict and Instability | Poor monitoring and evaluation | | Stakeholder Relations | |
| | Currency | Accountability and Compensation | Adverse Public opinion/media intervention | Delivery | | Reputation | |
| | Receivables | Succession Planning and Talent Management | | Programme Management | | UN Coordination | |
| | Accounting/Financial Reporting | Human resources Processes and Procedures | | Process Efficiency | | UN Reform | |
| | Budget Allocation and Management | | | Internal Controls | | | |
| | Cash Management/Reconciliation | | | Internal and External Fraud | | | |
| | Pricing/Cost Recovery | | | Compliance and Legal | | | |
| | | | | Procurement | | | |
| | | | | Technology | | | |

| | | | | | | | |
|--|--|--|--|-----------------|--|--|--|
| | | | | Physical Assets | | | |
|--|--|--|--|-----------------|--|--|--|