UNITED NATIONS DEVELOPMENT PROGRAMME

#### PROJECT DOCUMENT [Regional Project]



# Project Title: "Strengthening youth volunteerism in the Commonwealth of Independent States (CIS) through partnerships for development – Youth, Unite and Volunteer!"

Project Number: 00117101

Implementing Partner: UNDP Istanbul Regional Hub for Europe and the CIS

Start Date: 25 November 2019 End Date: 24 November 2021 PAC Meeting date: 11 November 2019

#### **Brief Description**

Volunteerism is a unique resource that addresses development challenges through direct community engagement for the wellbeing of the society at global and local levels. According to the international research<sup>1</sup>, more than 140 mln people volunteer around the world annually, bringing the total value to the national economics in the amount of \$400 billion. In some countries, contribution of volunteers to the national economy reaches 2.6% of GDP<sup>2</sup>. Beyond the time and public good donated by volunteers, volunteerism also unites communities, empowers peace and cohesion, and strengthens ties between diverse social groups of the society, including marginalized and disadvantaged ones. Thus, the actual impact of volunteerism is much higher and goes above its economic estimates. More than 900 volunteer organizations engage in volunteering more than 360, 000 people in 7 CIS countries (Azerbaijan, Armenia, Belarus, Kazakhstan, Kyrgyzstan, Moldova, Tajikistan) with majority of the volunteers being young people. 54% of all volunteers in Europe and Central Asia are women, while 46% of it are men<sup>3</sup>. In the Russian Federation, it is estimated that the number of volunteers has reached 11 million, and it keeps growing. Youth volunteerism is proved to be an effective tool that encourages inclusive citizens' participation and partnerships for sustainable development. Great importance is given to volunteer development at the regional level. The Concept for Development of Cooperation on Youth Volunteerism in CIS and its Action Plan were adopted at the meeting of the Council of the Ministers of Foreign Affairs of the CIS Member States on 27 September 2018, held in Dushanbe, Tajikistan. The project will improve interaction between youth volunteer organizations and support capacities for implementation of the Concept for Development of Cooperation on Youth Volunteerism in CIS and Action Plan through strengthened youth volunteer partnerships with the focus on replication of Russian good practices and expertise in the field. The project will aim at achieving the project output through 3 components:

1) Reinforced cross-country youth engagement in sustainable development through volunteerism;

- 2) Enhanced youth participation in innovative volunteer partnerships for sustainable development;
- 3) Institutionalized regional youth volunteer infrastructure and networks.

Contributing Outcome: UNDP Strategic Plan Outcome: 1 Advance poverty eradication in all its forms and dimensions UNDP	Total resources required:		\$807,200
egional <i>Programme Outcome:</i> 2. Addressing poverty and inequalities through more inclusive and sustainable	Total resources	UNDP IRH (in-kind)	\$7,200
development pathways UNDP Partnership Framework Agreement: Article I.	allocated:	Association of Volunteer Centers (parallel and in- kind):	\$200,000
Purpose; c) collaborate based on the Russian Federation's potential as a donor and a global development partner		Russia-UNDP Trust Fund for development	\$400,000
Gender Marker: 1		Volunteer organizations- fund recipients (in-kind)	\$200,000

#### Agreed by (signatures):

Agi Veres, Deputy Director, Regional Bureau for Europe and the CIS

Date:

<sup>&</sup>lt;sup>1</sup> Source: <u>https://www.huffingtonpost.com/mark-horoszowski/the-shockingly-high-value b 8063062.html</u>

<sup>&</sup>lt;sup>2</sup> https://volunteer.ca/vdemo/Campaigns\_DOCS/Value%20of%20Volunteering%20in%20Canada%20Conf%20Board%20Final%20Report%20EN.pdf

<sup>&</sup>lt;sup>3</sup> Source: 2018 State of the World's Volunteerism Report "Thread that Binds: Volunteerism and Community Resilience", Denmark, 2018

#### I. DEVELOPMENT CHALLENGE

There are more than 60 million young people of 15-29 years old living in the CIS countries that consists one fifth of the total population in the region<sup>4</sup>.

Young people face various development challenges such as unemployment, lack of quality education and healthcare, limited social mobility opportunities and uneven access to quality services. Young people are especially likely to be among the working poor: in 2015 16 per cent of all employed youth aged 15 to 24 were considered working poor, compared to 9 per cent of adults<sup>5</sup>. Young women are more likely to be out-of-work and out-of-school than young men due to certain behaviors and traditions in some of the cultures across the region. This difference is particularly pronounced in Central Asia, where the "not in employment, education, or training" average is 37 percent for women compared to 19 percent for men<sup>6</sup>.

Volunteering is one of the instruments to support aspirations of the youth and empower them with relevant skills and experience for the productive employment and self-fulfillment.

The Sustainable Development Goal 17 emphasizes volunteer groups as one of the main actors for implementation of all Global Goals. In general, volunteerism is a crosscutting tool to contribute to the transformational delivery of the Global Goals in diverse ways that address one or more goals at once. Volunteers can deliver technical expertise - for example in areas such as health (Goal 3), education (Goal 4), clean water and sanitation (Goal 6), renewable energy (Goal 7), sustainable ecosystems (Goal 13, 14, 15). Volunteers also have a proven record of modelling attitude and catalyze behavior changes - this applies for example to issues such as gender equality (Goal 5), clean water and sanitation (Goal 6), sustainable consumption (Goal 12), climate action (Goal 13), as well as reconciliation, relationship and trust building, leading to increased social capital and strengthened social cohesion (Goal 16). They can develop skills and build capacities, thereby enhancing the employability, especially of young people and people with limited access to the employment market (Goal 8 and all Goals). Capacitating youth volunteer schemes and enhancing volunteer partnerships open advanced options and perspectives for people to contribute to achievements in education, health, governance, livelihoods, security and peace, environment, social parity, gender equality and women's empowerment in line with the Agenda 2030. Youth volunteering networks have proven themselves in mainstreaming innovations, widening opportunities for diverse actors to engage for development and well-being of the society.

Field research for the 2018 State of the World Volunteerism report<sup>7</sup>, including direct interactions with the participating communities (i.e. from the Russian Federation) apart from the global analysis, found that volunteering affiliated with formal organization or community structure (formal volunteering) is likely to challenge traditional cultural roles related to gender and expose communities to new organizational norms and values, expanding leadership roles for women. Women gain in confidence and capabilities from taking on more leadership and decision-making roles in volunteer work. Promoting women's participation in community action committees and engaging with community leaders can result in combating discriminatory gender norms and advance gender equality.

In most countries of the CIS region, citizens, particularly young people, are becoming more and more involved in volunteer activities. Recently, the President of the Republic of Kazakhstan announced 2020 as Year of Volunteers in the country. In his speech during the Kazakh-Russian Youth Forum on May 2019, he mentioned that Kazakhstan will be able to strengthen not just its diplomatic relations but also territorial integrity by supporting the volunteer movement of its huge youth population<sup>8</sup>. Kyrgyzstan and Uzbekistan moved forward with drafting a volunteer legislation in 2018-2019 with the focus on youth engagement, while Moldova considers the review of the national legal environment on volunteerism and Azerbaijan strengthens its national volunteer infrastructure. Belarus engaged volunteers in support to the 2<sup>nd</sup> European Olympic Games in 2019. Thus, it is obviously being more on the agenda of decision makers, NGOs and youths in the territory.

- <sup>6</sup> Mauro, J.A., and S. Mitra (2015), Understanding out-of-work and out-of-school youth in Europe and Central Asia, World Bank
- 7 2018 State of the World Volunteerism report https://www.unv.org/sites/default/files/UNV\_SWVR\_2018\_English\_WEB.pdf

<sup>&</sup>lt;sup>4</sup> Source: <u>http://www.cisstat.com/youth/rus/2016\_youth\_analytical\_rus.pdf</u>

<sup>&</sup>lt;sup>5</sup> Progress towards the Sustainable Development Goals. Report of the Secretary-General. 2016. URL: <u>http://unstats.un.org/sdgs/files/report/2016/secretary-general-sdg-report-2016--EN.pdf</u>

<sup>&</sup>lt;sup>8</sup> <u>http://www.akorda.kz/en/events/head-of-state-takes-part-in-kazakh-russian-youth-partnership-forum</u>

There are more than 900 volunteer organizations in eight countries of the CIS region, and they engage more than 360,000 people in voluntary activities.<sup>9</sup> Azerbaijan, Kazakhstan, Moldova, the Russian Federation and Tajikistan have specific laws on volunteering. In other countries there is no legislation focused on volunteering, but some regulations regarding volunteer activity are incorporated in other laws, for example, law on charity (Armenia), law on youth policy (Kyrgyzstan, Belarus). The focus of volunteer activities also differs across the region. In some countries there is more emphasis on volunteering in charity organizations and supporting social causes (Kazakhstan, Belarus), while in others the focus is on event volunteerism (for example, Nomad games in Kyrgyzstan). It was also discovered that volunteer efforts are rather fragmented and lack a systematic approach, as well as mechanisms of support and incentives.

A survey of volunteer organizations in the CIS region conducted under the abovementioned research revealed demand for greater cooperation between the volunteer organizations in the areas of education, expertise and knowledge exchange on volunteer actions for development and case studies. The specific interest was also highlighted in the areas of volunteer selection, training and management, fundraising and working with the media.

CIS countries aim to further advance their cooperation in the area of volunteering and have adopted the Concept for Development of Cooperation on Youth Volunteerism in CIS in September 2018. It is expected that the implementation of the goals and objectives of the Concept will have a positive impact on developing national volunteer programmes. It aims to help accumulate the knowledge and experiences of volunteer organizations to promote exchange of practice and expertise among the young people of the CIS countries.

The concept emphasizes the following areas of cooperation for volunteer sector of the CIS region:

- ✓ Exchange of experience and implementation of initiatives on the establishing and improving of the legislative framework of volunteering.
- ✓ Exchange of experience and implementation of initiatives between interested CIS member states and organizations of the UN system on the development of youth volunteer infrastructure.
- ✓ Improving mechanisms for evaluating the number the participating volunteers in national and international volunteer programs and events of the CIS member states.
- ✓ Preservation of the heritage of volunteer programs of large-scale international events and the integration of the accumulated experience.
- $\checkmark\,$  Supporting youth motivation for social volunteering.
- ✓ Outreach support for volunteer programs, projects and events.
- ✓ Exchange of experience on the development of intergenerational connections in volunteering, engaging all groups of the population in volunteering.
- ✓ Development of corporate volunteering.
- ✓ Scientific and educational support of cooperation in the volunteering development.
- ✓ Development and implementation by interested CIS member states of joint international projects to increase the capacity and impact of volunteer youth organizations in the development of the CIS countries.

Development of volunteerism in the CIS region needs further support. Volunteerism continues to struggle for recognition as a phenomenon that contributes to positive social change. The lack of financial support hinders the development of the infrastructure of volunteering in all CIS countries. Liaison between representatives of the volunteer sector (Governments, academia, civil society organizations and volunteer groups, private sector, etc.) in CIS region is needed to make it possible to outline approaches to assessing the contribution of volunteers to social and economic development of the region. Volunteer organizations experience lack of capacity to develop long-term cooperation and interaction between the stakeholders in the CIS region to ensure their proper participation in promoting peace and achieving the Sustainable Development Goals<sup>10</sup>. Further support for collaboration between youth volunteer organizations in the CIS region is needed to help address these challenges.

"Knowledge Management and Capacity Building in Russia-UNDP Partnership - Phase I" project supported several activities in 2016-2018 to facilitate cooperation between volunteer organizations in the CIS region.

<sup>&</sup>lt;sup>9</sup> The research was conducted by UNDP grantee organization National Training Foundation under the UNDP Project "Knowledge Management and Capacity Building in Russia-UNDP Partnership, Phase I" in 8 countries of CIS region (Armenia, Azerbaijan, Belarus, Kazakhstan, Kyrgyzstan, Moldova, the Russian Federation, Tajikistan).

<sup>&</sup>lt;sup>10</sup> The Concept for Development of Cooperation on Youth Volunteerism in CIS, page 3-5

Trainings and workshops for volunteer coordinators on leveraging volunteerism for sustainable development in Belarus, Kyrgyzstan, the Russian Federation were conducted. The project supported participation of academics and practitioners in volunteer conferences co-organized by Higher School of Economics in Moscow and aimed at measurement of contribution of volunteerism to development. The specific topics included but were not limited to: training programmes for volunteers, motivation of volunteers, volunteer project development, volunteer infrastructure, engaging volunteers in large-scale events, launching corporate volunteer programmes. The Russian Federation also supported cross-country collaboration between young people in the region and worldwide that resulted in the World Festival of Youth and Students in Sochi in 2017.

### II. STRATEGY

**The main goal** of the project is to strengthen enabling volunteer environment for cross-country youth cooperation in the CIS for achievement of SDGs through enhanced partnerships between youth volunteer organizations and institutionalized cross-country youth volunteer networks.

The **project strategy** is focused on support to partnerships for youth engagement into sustainable development processes through volunteerism and aligned with the UNDP Strategic Plan 2018–2021, UNDP Regional Programme for Europe and the CIS 2018-2021, UNDP-Russia Partnership Framework Agreement and the UNV Strategic Framework 2018–2021. The project echoes the thematic area 6 of the **Youth Window of the Russia-UNDP Trust Fund**: "Support to effectively integrate volunteerism within national frameworks, enabling better engagement of young people in development processes".

The project will use participatory approach, where the majority of the project activities will be implemented by the Russian co-partner and Responsible Party, **Association of Volunteer Centers (AVC)**, in close cooperation with prominent youth-led organizations in CIS countries, and other Russian organizations, such as the Alexander Gorchakov Public Diplomacy Fund and others. On 1 September 2018, the AVC together with the International Community Organization "Future Team" and the Pyatigorsk State University under support of the Federal State Agency on Youth Affairs "Rosmolodezh" launched a pilot grant competition among volunteer initiatives in the CIS creating a testing ground for the future project implementation.

The project is focused on achievement of the following **objectives**:

- Engage youth in sustainable development through volunteerism in the cross-country context. A youth volunteer partnership forum will be arranged within the project to promote long-term partnerships for development between youth volunteer organizations, and to mobilise additional resources for social good initiatives, relevant to the CIS cross-country priorities in a CIS country. The Forum will be a one time in person event that will allow to bring together young leaders of the volunteer sector in CIS region. The outcome of the forum will be a number of project proposal drafts for the Youth Innovation Lab competition (Component 2 of the project), developed by the participants in teams formed during the event. The volunteers will receive training on the writing of proposals and guidance on subsequent application process for the funding (including Youth Volunteer Innovation Labs supported under this project). Participants will be encouraged to develop joint projects between volunteer organizations from multiple CIS countries. The outcomes of the event will contribute to general social and economic development in the region through volunteer actions as well as to institutionalization of CIS cross-country youth volunteer infrastructure and networks in line with the adopted Concept for Development of Cooperation on Youth Volunteerism and Action Plan.
- Enhance youth participation in innovative cross-country volunteer initiatives for sustainable development. The project will facilitate partnerships for development through Youth Volunteer Innovation Labs, implemented with financial support from the project. Funds will be allocated through a call of proposals for youth volunteer organizations asked to present volunteer initiatives on partnerships for development with the positive impact on well-being of the communities in the cross-country context across CIS. Preference will be given to the proposals aimed at gender equality and women's empowerment, involvement of marginalized and vulnerable groups of young people into community actions through volunteerism, youth with disabilities, long-term social and economic impact of the activities, valuable contribution to social good and alignment with national priorities on sustainable development, and cross-country cooperation. This inclusive manner and cross-cutting

approach are essential that the outputs on the issues will be planned to reflect into other components' outputs as well.

#### • Institutionalize CIS regional youth volunteer infrastructure and networks for youth.

The project will support the adopted Concept for Development of Cooperation on Youth Volunteerism in CIS region and Action Plan for its implementation and contribute to institutionalization of the regional youth volunteer infrastructure and networks in the CIS region through policy support. The need for and structure of the regional youth volunteer network will be determined by AVC and its partner organizations in the CIS region and the decision-makers in the region (Council of CIS Foreign Ministers, national governments) in consultations with UNV and UNDP. Expertise of the Russian Federation on building volunteer infrastructure and international expertise of UNV will become the basis for practical recommendations on the regional youth volunteer infrastructure and networks for development.

**Project Duration**: Project activities will be implemented over 2-year (24 months) period allowing for gradually evolving partnerships and securing long-term impact.

The project will be geographically implemented in the CIS countries interested to participate in the project.

The project will ensure transparent and accountable approach to the distribution of its resources and associated opportunities. The activities of the project will be implemented by UNDP and the AVC as the Responsible Party in partnership with UN Volunteers (UNV) through interactions with local partners that will ensure application of the international standards and Russian expertise as well as national ownership.

The project will consider to promote the disadvantage groups and to increase their participation level on the issues of gender equality and women's empowerment, inclusion of disabilities and so on. Thus, it would be included in all activities and objectives by encouraging volunteer organizations to present proposals that address gender inequalities (such as in the labour market, education and care responsibilities), discriminatory gender norms and stereotypes, and take a gender-mainstreamed approach. The project will also include presentations and capacity building activities on the disadvantage groups and the gender equality and women's empowerment in order to showcase young women in leadership roles or ensuring equal participation of disabilities and men and women in events.

#### Information and Outreach

The project will ensure wide information campaign and outreach to promote youth volunteerism in the region through media, regional and local governments, academia and volunteer organizations. The project will co-design an information and outreach strategy together with the AVC and UNV to ensure that increased awareness in the region of volunteerism as a contributor to the positive social change; increased number of publications on the topic of youth volunteerism in the media; strengthened information exchange between volunteer organizations and individual volunteers; increased number of applicants to participate in volunteer events in the CIS region; and ensured a high level of visibility of outcomes

### Theory of change

A theory of change diagram is provided below presenting the analytical flow from the intended development impact level to overall outcome and outputs, to project level results and planned interventions. The theory of change will be regularly reviewed and updated when needed through the Project Board reviews.

#### Revitalized volunteer partnerships for sustainable development (SDG 17)

"A successful sustainable development agenda requires partnerships between governments, the private sector and civil society. These inclusive partnerships built upon principles and values, a shared vision, and shared goals that place people and the planet at the center, are needed at the global, regional, national and local level".

Outcome

Development

impact

UNDP Regional Programme Outcome 2. Addressing poverty and inequalities through more inclusive and sustainable development pathways. RP Output 2.3. Enabling environment strengthened through diverse partnerships to expand opportunities for public and private sector, including alternative financing, for achievement of the SDGs

Output

Enabled volunteer environment for cross-country youth cooperation in CIS for achievement of SDGs - through:

- 1: Cross-country youth engagement in sustainable development through volunteerism
- 2: Youth participation in innovative volunteer partnerships for sustainable development
- 3: Regional youth volunteer infrastructure and networks

Activities

 Youth Volunteer Leadership Exchange for Development (YVLED)
 Youth Volunteer Partnership Forum

- 1). Youth Volunteer Innovation Labs Information Campaign
- 2). Youth Volunteer Innovation Labs Volunteer Initiatives
- 1). Policy recommendations for regional youth volunteer infrastructure and networks
- 2). Institutionalization of regional youth volunteer infrastructure and networks

Assumptions

Risks

- Governments are willing to approve and support youth volunteerism in the CIS Member States
- Motivated and committed young people are available and willing to volunteer for common good
- The volunteer organizations are willing to cooperate
- Lack of interest and capacities in the CIS countries to support youth volunteerism
- Lack of local expertise and experience in youth volunteer actions
- Imbalances on gender and rural-urban in volunteer engagement
- Lack of capacitated youth volunteer organizations to implement Innovation Labs
- Administrative barriers
- Low capacities and awareness on sustainable development issues or the
- Failure to adopt policy recommendations at national levels
- Financial limitations to support regional youth volunteer infrastructure and networks

### III. RESULTS AND PARTNERSHIPS

#### **Expected Results**

The project's expected output is *enabled volunteer environment for cross-country youth cooperation in CIS for achievement of SDGs.* It will be achieved through implementation three components. Each component aims at specific activities as described below.

# Component 1. Reinforced cross-country youth engagement in sustainable development through volunteerism

#### Activity 1.1. Youth Volunteer Leadership Exchange for Development (YVLED)

The Youth Volunteer Leadership Exchange for Development (YVLED) is a cooperation programme framework to be developed within the project by the AVC, UNDP, and UNV in consultation with youth volunteer communities of the project countries. The project partners will hold online and on-site consultations with the beneficiaries on the ground about YVLED.

YVLED will summarize and combine innovative approaches and the best practices of integration of youth volunteerism into development processes to support national priorities and youth engagement in the CIS region. Participatory development of YVLED by the main stakeholders involved will ensure its adaptability, cost efficiency and long-term impact.

Once operationalized and institutionalized within the project, the cooperation programme framework will become the first flagship mechanism in the CIS for inter-country youth volunteer exchanges. YVLED will also integrate the UNV modality into its implementation framework for maximum benefits to youth engagement and equal participation, including vulnerable groups and people with disabilities. The project will support participants from the CIS countries for participation in 1-week exchange visits between representatives of the volunteer organizations of the CIS region as an YVLED pilot. The results of the pilot will be analyzed and conceptualized by the project partners, the YVLED guidelines for presentation will be prepared for the presentation at the Council on Youth Affairs of the CIS Executive Committee, as well as further integration into policies and plans of youth engagement in volunteerism for development in the CIS. YVLED will facilitate establishing of the cross-country volunteer infrastructure in the CIS.

#### Activity 1.2. Youth Volunteer Partnership Forum (YVPF)

YVPF will bring together young leaders of the youth volunteer organizations to develop a partnership action plan and joint projects on cross-country cooperation for sustainable development through volunteerism. The project will support participation of the YVLED pilot alumni in the forum. The event will be organized in one of the CIS countries that will demonstrate sound achievements on youth volunteerism for development as well as commit its support and co-funding to the forum.

The forum will provide networking platform for young volunteer leaders to identify common interests and ideas for future partnerships. It will include presentations of successful volunteer partnerships for development, platforms for exchanging ideas and experiences, lessons learned by the YVLED participants and an action plan for the CIS countries on volunteer partnerships for development. The event will be joined by the Government officials, international development partners and foundations as well to interact with youth on sustainable development. Russian expertise and AVC activities as well as the UNV experience will be widely disseminated at the forum.

Action plan to cross-country partnerships on volunteerism for sustainable development in CIS, including joint project portfolio will be endorsed by the participants of the forum. The forum will result in the agreed actions to facilitate youth volunteer partnerships for development that will support national priorities and cross-country cooperation through youth volunteerism.

The outcome of the forum will be a number of project proposal drafts, developed by the participants in teams formed during the event. The volunteers will receive training on the writing of proposals, with gender equality and women's empowerment mainstreamed in them, and guidance on subsequent application process for the funding (including Youth Innovation Labs). Participants will be encouraged to develop joint projects between volunteer organizations from multiple CIS countries.

# Component 2. Enhanced youth participation in innovative volunteer partnerships for sustainable development: Youth Volunteer Innovation Labs (YVLAB)

Aim of the Youth Volunteer Innovation Labs is to boost youth innovative solutions and modes of engagement to strengthen cross-country partnerships on youth volunteerism for development.

The Youth Innovations Lab will be targeted at inclusion, gender equality and women's empowerment, innovative and cost-efficient solutions to improve well-being of modern communities through volunteerism. It will support youth volunteer activities, based on cross-country partnerships and Russian expertise, within three dimensions of sustainable development; social, economic and environmental.

At least, twenty youth volunteer partnerships for development will be supported with the maximum funding up to \$10,000 each.

The financial support will be allocated through the call for proposals to registered youth volunteer organizations and groups in the CIS. To ensure wide participation and equal opportunities for all eligible organizations AVC will disseminate information about the call for proposals online and in the printed mass media. At least, 500 representatives of youth volunteer organizations and groups in CIS will be covered by the campaign. The participants of the campaign will be provided by the AVC with success stories and methodologies to link youth volunteerism with development initiatives while ensuring the sustainability of this project outcomes. Funding the project proposals would motivate the youth volunteers for more and further studies. They would also have been equipped and trained enough to apply on the other fundraising facilities.

The scheme will be opened for volunteer organizations and nonprofits working with volunteers to support both bilateral and multilateral partnerships. Although Russian youth volunteer organizations are not eligible to apply for the financial support within this project call, their participation in the activities of the applicants for transfer of expertise and good practices through multilateral partnerships will be considered as an asset to applications and strongly encouraged.

Thematically, applicants will be encouraged to focus on;

- job creation and diversifying employment for young men and women;
- involvement of marginalized and vulnerable groups of young people into community actions through volunteerism;
- gender equality and women's empowerment;
- social inclusion of the vulnerable groups (children at risk, homeless people, elderly people, people with disabilities, children living in orphanages, etc);
- environmental protection; climate change, sustainable energy solutions, waste and water management, etc;
- rural development and disaster risk reduction;
- entrepreneurship and innovative solutions for the regional risks or leveraging the potentials.

All project proposals will be asked to foresee long-term social and economic impact of the activities, valuable contribution to social good and alignment with national priorities on sustainable development. Cross country cooperation would be welcome.

The Youth Innovations Lab grant competition is arranged by AVC with the support of the designated Selection Committee. The selection criteria and members of the Selection Committee are approved by the Project Board. The Selection Committee will include representatives of AVC, UNDP and UNV. The assessment of grant applications will be done through pre-screening procedure, conducted by AVC before the project proposals are presented to the Selection Committee. Detailed guidance and call for proposals will be developed by AVC. Call for proposals and results of the selection process will be made public by AVC, in their capacity as the Responsible Party, through appropriate channels.

AVC will sign grant agreements with the selected grantees using their standard templates. The full grant amount is transferred to the grantee's account no later than 10 working days after signing the grant agreement. The funds are to be used for targeted financing of activities in accordance with the approved grant application that it would be explicitly shared with a guideline. The grantee will be required to provide narrative and financial reports to AVC in the periodicity required through the grant agreement using standard

AVC template (mid-project and final reports). AVC will ensure proper monitoring of grants implementation and reporting on the funds' disbursement to UNDP.

#### Component 3. Institutionalized regional youth volunteer infrastructure and networks

#### Activity 3.1. Development of recommendations on regional youth volunteer infrastructure and networks

The AVC in cooperation with UNV, Russian experts, youth volunteer organizations in the project countries will develop recommendations/standards/schemes for regional volunteer infrastructure and networking. The recommendations will summarize and adapt international practices, relevant experience of the project and results achieved, include the project outputs as YVLED and the Partnership Forum, align with the Concept for Development of Cooperation on Youth Volunteerism in CIS and action plan. The document will be discussed at the round table with youth volunteer organizations in the project countries and submitted for use by volunteer organizations in CIS Member States.

#### Activity 3.2. Institutionalization of the CIS youth volunteer infrastructure and network

The recommendations on the regional volunteer infrastructure and networking for youth in CIS will be institutionalized by the AVC through the Council on Youth Affairs of the CIS Executive Committee. Particularly, the AVC will take part in the meetings of the Council on Youth Affairs of the CIS Executive Committee to secure implementation of the recommendations and resource mobilization.

#### Resources Required to Achieve the Expected Results

Key resources required from the UNDP-Russia Trust Fund will be needed to support the following activities:

- payments to experts, consultants for their inputs to regional youth volunteer infrastructure;
- translation service fee for the materials, visibility tools, forum slots and the outputs;
- contributions to the Youth Volunteer Innovation Labs;
- organization of workshops, forums and round tables;
- engagement to the project and endorse its activities and outputs among network;
- organization of regional volunteer exchange and advocacy activities, including participation of the partners in high level policy events.

Additional co-financing for these activities will come from the AVC and UNDP, as well as in-kind contribution from the Federal Agency on Youth Affairs "Rosmolodezh" of the Russian Federation, the Alexander Gorchakov Public Diplomacy Fund and the Federal Agency for the Commonwealth of Independent States, Compatriots Living Abroad, and International Humanitarian Cooperation (Rossotrudnichestvo).

#### Innovative Solutions and Expertise

The project will scale up innovations and Russian expertise through participatory approach to implementation. While some of the project activities will be implemented by UNDP directly, the majority of the project outputs will be achieved through implementation by the AVC and other partners with relevant expertise. Especially it is aimed to keep youth people in the region active within the project activities.

The project activities are aimed at promotion of innovations and effective solutions to support national priorities on sustainable development through youth volunteerism in the project countries. Integration of Russian experience and good practices of youth volunteerism for development as well as the project coordination by the AVC (Responsible Party) as a well-known organization with proper expertise and reputation will secure wide dissemination of innovations. The capacities and human resources, involved in the project implementation, will ensure achievement of the outcome and all outputs as well as replication of experience to other countries. Support to interaction and partnerships will multiply the expertise provided to the project and disseminate Russian experience widely. Proper documentation and dissemination of success stories as well as promotion of the project activities through diverse media will secure multiplication effect of the project innovations and sharing expertise across the CIS.

#### Partnerships and Stakeholder Engagement

The proposed project provides ample opportunities for expanding cooperation with the Russian stakeholders on volunteerism for development and support the volunteerism initiatives in Russia through sharing/applying their experience in CIS countries. The project will ensure sustainability via dedicated work with partnerships and raising awareness on the contribution of Russian expertise on youth volunteerism to sustainable development. The sustainability of the partnerships in the project countries will be assured at the stage of selection of Innovation Labs applications and volunteers. Involvement of a number of international and Russian stakeholders in the project as well as the project nature to strengthen crosscountry partnerships between youth volunteer organizations will support achievement of the project outcome and long-term sustainability of the project results. Participation of the United Nations Volunteers (UNV) programme and such Russian organizations as Association of Volunteer Centers (AVC), in close cooperation with other youth-led organizations in CIS such as the Federal Agency on Youth Affairs "Rosmolodezh", Alexander Gorchakov Public Diplomacy Fund, Federal Agency for the Commonwealth of Independent States Affairs, Compatriots Living Abroad, and International Humanitarian Cooperation "Rossotrudnichestvo" will ensure sharing of the best Russian experience and international expertise to the project countries. In addition, combined implementation model of the project by UNDP and AVC will provide with necessary synergies with the ongoing initiatives on the ground, international and national support to the project activities, effective coordination and long-term impact of the project.

The project will be directly implemented by **United Nations Development Programme (UNDP) Istanbul Regional Hub (IRH):** UNDP's goal in Europe and Central Asia is to help eradicate poverty in all its forms, accelerate the transition to sustainable development, and make sure countries and people are able to withstand crises and shocks. UNDP Europe and Central Asia works to make sure the most vulnerable and excluded does not left behind, develops game changing solutions to accelerate sustainable development, and promotes prevention and preparedness against crises and shocks.

UNDP will cooperate with the **United Nations Volunteers (UNV)** programme -a UN organization, established in 1970, that contributes to peace and development through volunteerism worldwide. The programme works with partners to integrate qualified, highly motivated and well supported UN Volunteers into development programming and promote the value and global recognition of volunteerism. UNV does this by enhancing opportunities for voluntary participation by all people. UNV fosters conditions in which volunteerism can flourish through three main activities; advocacy, integration and mobilization. UNV is active in around 130 countries and administered by the United Nations Development Programme (UNDP).

UNDP will engage the Association of Volunteer Centres (AVC) - as one of the leaders of the Russian volunteer community, counting more than 200,000 members involved in its work. The Association includes 133 organizations from 57 regions of the Russian Federation and takes part in municipal, regional and federal events. In March 2017, AVC signed a Letter of Intent with UNV and started a successful partnership, which includes, most notably, participation in World Festival for Youth and Students and work on drafting the Concept for Development of Cooperation on Youth Volunteerism in CIS. AVC is a key initiator of the most strategic projects in the field of volunteer development in Russia, such as engagement of volunteers in large scale events (XXII Olympic Winter Games in Sochi, Ice Hockey World Championship 2016, 19th World Festival of Youth and Students 2017), annual country-wide grant competition Volunteer of the Year (grant amount for 2019 is 721 000 USD), establishing of the Russian network of volunteer resource centers in all regions of the country, and others.. It led the initiative to create a portal of Russian volunteer organizations that was supported by the President of the Russian Federation. By the end of 2017, about 3,000 organizations and 536 842 volunteers (female - 152 650, male - 66 450, gender not specified - 317 742) registered on the portal, which confirms the relevance and timeliness of the platform. AVC is also the recognized national operator of key volunteer programs in the Russian Federation, the following projects should be specially highlighted among them: Country-wide competition of volunteer initiatives "I want to do good" (17/10/2017 – 25/02/2018), Country-wide competition "Volunteer of the Year 2018" (14/03/2018 – 05/12/2018), Volunteerism Session at the World Festival of Youth and Students 2017 (WFYS-2017) and others.

#### Other partners will include:

The **Federal Agency on Youth Affairs "Rosmolodezh" of the Russian Federation** is the only specific national public body that is fully focused on development of young Russian citizens. The agency considers youth as the main human resource for national development and operates to support implementation of the state youth policy of the Russian Federation. "Rosmolodezh" is empowered to create opportunities for young generation and to coordinate the efforts for youth self-realization.

The **Alexander Gorchakov Public Diplomacy Fund** was established in accordance with a decree of the President of the Russian Federation in February 2010. The Gorchakov Fund is aimed at providing support to the public diplomacy, offering assistance to participation of the Russian non-governmental organizations in

international cooperation and ensuring active and efficient involvement of institutions of the civil society in the foreign policy process.

The Federal Agency for the Commonwealth of Independent States, Compatriots Living Abroad, and International Humanitarian Cooperation (Rossotrudnichestvo) is a federal executive body responsible for rendering state services and managing state property to support and develop international relations between the Russian Federation and the member-states of the Commonwealth of Independent States and other foreign countries, as well as in the sphere of international humanitarian cooperation.

#### South-South and Triangular Cooperation (SSC/TrC)

The project uses the triangular cooperation modality among partner countries to facilitate sharing of experience and best practices on volunteering. It is expected that partner countries will not only learn about expertise of each other but also form stronger horizontal partnerships that would enable knowledge sharing in the future. Main modalities and venues to facilitate the triangular cooperation under the project would be Youth Volunteer Leadership Exchange for Development and Youth Volunteer Partnership Forum.

#### Knowledge

The project will facilitate a continuous sharing of knowledge and expertise relevant for volunteer infrastructure development among partner countries. Knowledge products anticipated to be produced under the project include summary of innovative approaches and the best practices of integration of youth volunteerism into development, recommendations/standards/schemes for regional volunteer infrastructure and networking and other materials. All knowledge products will be widely disseminated in programme countries and during international events.

The project will identify and participate, as relevant and appropriate, in scientific, policy-based, and/or any other networks, which may be of benefit to project implementation though lessons learned. The project will identify, analyze, and share lessons learned that might be beneficial in the design and implementation of similar future cooperation and projects.

#### **Risks and Assumptions**

Risks and assumptions are provided in the theory of change diagram above. Risks and Risk analysis and risk mitigation strategy are explained in more details in Annex 2.

#### Social and Environmental safeguards

Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (<u>http://www.undp.org/ses</u>) and related Accountability Mechanism (<u>http://www.undp.org/secu-srm</u>), relevant and adhered to any UNDP project.

UNDP as the Implementing Partner will: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.

#### Sustainability and Scaling Up

Sustainability of this project is secured through several factors. It engages directly local and national institutional partners (international organizations, national Governments, regional and local authorities, academia, national volunteer associations and federations, local volunteer organizations and actions groups, and youth people).

The project follows up the Concept for Development of Cooperation on Youth Volunteerism in the CIS and action plan, adopted by the Ministers of Foreign Affairs of the CIS Member States, that confirms its sustainability and further development with a high level of engagement.

The success of the youth volunteer movement in the Russian Federation as well as its positive impact on social and economic development and appreciation by the society also suggests that the new innovative tools of the project will become relevant and sustainable in the long run.

Through regional cooperation and activities (e.g. youth forums, exchanges, online outreach) as well as the partners involved, the project will get more attention and participants involved even outside of the project boundaries while bridging the same interests together.

The project will introduce the CIS efforts, led by Russia, at the UN Global Technical Meeting 2020 that will attract international attention and replication of the best practices on youth volunteerism worldwide. A regional attempt would be inspirable for the other regions.

#### IV. PROJECT MANAGEMENT

#### Project Management

The project will be managed by the UNDP Istanbul Regional Hub (IRH) within the UNDP Direct Implementation Modality (DIM) in line with the UNDP's Programme and Operations Policies and Procedures.

The project will be implemented by the New Partnerships and Emerging Donors Team in the UNDP IRH in close partnership with the UNV Regional Office for Arab States, Europe and CIS, Sub-Office for Europe and CIS in Istanbul. The project management office will be based in UNDP Istanbul Regional Hub for Europe and the Central Asia.

Most project activities will be implemented by the Association of Volunteer Centers of the Russian Federation (AVC) as the project's Responsible Party.

#### Cost Efficiency and Effectiveness

Project management costs will be funded by UNDP-Russia Trust Fund. Additional co-financing will be provided by parallel and in-kind contribution by the AVC and UNDP, as well as in-kind contribution from the Federal Agency on Youth Affairs "Rosmolodezh", the Alexander Gorchakov Public Diplomacy Fund and the Federal Agency for the Commonwealth of Independent States, Compatriots Living Abroad, and International Humanitarian Cooperation "Rossotrudnichestvo".

A Project Manager will be hired by the UNDP IRH on the contract of the international UN Volunteer, and will be supported by the respective administrative staff through UNDP Direct Project Cost (DPC) arrangement. Therefore, project management/coordination staff costs (see Section 'IV. Project Management' for details) are limited by an International UN Volunteer post that is cost efficient.

Annex 1 provides detailed budget of the project.

#### V. RESULTS FRAMEWORK

#### Intended Outcome as stated in the Regional Programme Results and Resource Framework:

Outcome 2. Addressing poverty and inequalities through more inclusive and sustainable development pathways

Outcome indicators as stated in the Regional Programme Document for Europe and the Commonwealth of Independent States (CIS), 2018-2021, including baseline and targets: 2.3. Number of new partnerships with emerging donors and other stakeholders (including through South-South and triangular cooperation), regional and financial mechanisms created and sustained in support of the SDGs

Applicable Output(s) from the UNDP Strategic Plan: "Citizens engaged through volunteerism, empowerment, participation and other means to strengthen national ownership and capacity, and delivery of the sustainable development agenda".

**Project title and Atlas Project Number:** "Strengthening youth volunteerism in the Commonwealth of Independent States (CIS) through partnerships for development – Youth, Unite and Volunteer!"

EXPECTED OUTPUTS	OUTPUT INDICATORS	DATA SOURCE	BASELINE			TARGETS			
			Value	Year	June 2020	Dec. 2020	Nov. 2021	METHODS & RISKS	
	1.1 # of new long-term partnerships established between youth volunteer organizations at cross-country level with the project's facilitation	Project report	0	2018	10	5	9	Partnership agreements and action plans	
Enabled volunteer environment for cross- country youth	1.2 # of joint activities for sustainable development and common good developed between youth volunteer organizations at cross-country level	Project report	0	2018	5	15	20	Information about the activities will be sent to AVC for accumulation and submission	
cooperation in CIS for achievement of SDGs	<ul><li>2.1. # of young people, including disadvantaged groups, involved in youth volunteer activities for development</li><li># of project proposal drafts</li></ul>	Project report	0	2018	500	500	0	Reports of Innovation Labs' initiatives	
	3.1. # of expert and advocacy meetings	Project report	0	2018	2	3	0	Minutes of the meetings	
	3.2. # of recommendations developed with project's support and endorsed by decision makers at country and regional level	Project report	0	2018	-	1	3	Minutes of relevant cross- country meetings of Government officials	

# VI. MONITORING AND EVALUATION

In accordance with UNDP's programming policies and procedures, the project will be monitored through the following monitoring and evaluation plan:

#### **Monitoring Plan**

Monitoring Activity	Purpose	Frequency	Expected Action	Partners	Cost
Track results progress	Progress data against the results indicators in the RRF and UNV SF will be collected and analyzed to assess the progress of the project in achieving the agreed outputs.	At least every 6 months	Slower than expected progress will be addressed by project management.	IRH, AVC	IRH and AVC staff
Monitor andIdentify specific risks that may threaten achievement of intended results. Identify and monitor risk management actions using a risk log. This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards. Audits will be conducted in accordance with UNDP's audit policy to manage financial risk.		Biannually	Risks are identified by project management and actions are taken to manage risk. The risk log is actively maintained to keep track of identified risks and actions taken.	IRH, AVC	IRH and AVC staff
Learn	Knowledge, good practices and lessons will be captured regularly, as well as actively sourced from other projects and partners and integrated back into the project.	At least annually	Relevant lessons are captured by the Project Manager and used to inform management decisions.	IRH, AVC	IRH and AVC staff
Annual Project Quality Assurance	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project.	Annually	Areas of strength and weakness will be reviewed by project management and used to inform decisions to improve project performance.	IRH	IRH Project Manager
Review and Make Course Corrections	Internal review of data and evidence from all monitoring actions to inform decision making.	At least annually	Performance data, risks, lessons and quality will be discussed by the project board and used to make course corrections.	IRH, AVC	IRH and AVC staff
Project Report	A progress report will be presented to the Project Steering Committee and key stakeholders, consisting of progress data showing the results achieved against pre-defined annual targets at the output level, the annual project quality rating summary, an updated risk long with mitigation measures, and any evaluation or review reports prepared over the period.	Annually, and at the end of the project (final report)	In the project report, lessons learnt will be analyzed and suggestions for potential scaling up should be presented.	IRH, AVC	IRH and AVC staff
Project Review (Project Steering Committee)	The Project Steering Committee will hold regular project reviews to assess the performance of the project and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. In the project's final year, the Project Board shall hold an end-of project review to capture lessons learned and discuss opportunities for scaling up and to socialize project results and lessons learned with relevant audiences.	At least annually	Any quality concerns or slower than expected progress should be discussed by the project board and management actions agreed to address the issues identified.	IRH, AVC, UNDP-RF TFD Manager	IRH and AVC staff

## VII. MULTI-YEAR WORK PLAN

EXPECTED OUTPUTS	PLANNED ACTIVITIES	PI	anned Bud	get by Yeaı		RESPONSIBLE		PLANNED I	BUDGET		
		H1 2020	H2 2020	H1 2021	H2 2021	PARTY	Funding Source	Budget Description	TOTAL US\$	Year 1	Year 2
Project Output: Enabled volunteer environment for cross-country youth							TFD	72100 Contractual Services	500	500	-
cooperation in CIS for achievement of							AVC	Parallel and in kind	1000	1,000	-
SDGs							AVC	Parallel and in kind	750	-	750
Component 1: Reinforced cross-country youth engagement in sustainable development through volunteerism	1.1. Youth Volunteer Leadership Exchange for Development	3,916	2,416	2,416	3,166	AVC	TFD	72100 Contractual Services (Travel)	9,064	4,582	4,582
	(YVLED)						TFD	72100 Contractual Services	500	250	250
							AVC	Subtotal	1,750	1,000	750
							TFD	Subtotal	10,164	5,332	4,832
							AVC+TFD	Subtotal	11,914	6,332	5,582
			184,024	24 -		AVC	TFD	72100 Contractual Services	10,000	10,000	-
							AVC	Parallel and in kind	170,000	170,000	-
	1.2. Youth Volunteer				ſ	UNDP	TFD	71600 Travel	3,324	3,324	-
	Partnership Forum (YVPF)	-			-	UNDP	TFD	54000 Programme and Support Services	700	700	-
							AVC	Subtotal	170,000	170,000	-
						AVC	TFD	Subtotal	14,024	14,024	-
							TFD+AVC	Subtotal	184,024	184,024	-
SUB-TOTAL Component 1 (AVC):								-	171,750	171,000	750
SUB-TOTAL Component 1 (TFD):								-	24,188	19,356	4,832
SUB-TOTAL Component 1 (AVC+TFD):								-	195,938	190,356	5,582
Component 2: Enhanced youth participation in innovative volunteer partnerships for sustainable development: Youth Volunteer Innovation Labs	2.1. On-granting activity	105,500	201,608	101,608	3,000	AVC	TFD	72100 Contractual Services (financial support)	195,500	145,500	45,000

EXPECTED OUTPUTS	PLANNED ACTIVITIES	Pla	anned Bud	get by Yeaı		RESPONSIBLE		PLANNED B	UDGET		
		H1 2020	H2 2020	H1 2021	H2 2021	PARTY	Funding Source	Budget Description	TOTAL US\$	Year 1	Year 2
							Volunteer organizati ons	Parallel and in kind	200,000	150,000	50,000
							TFD	72100 Contractual Services (Travel)	3,216	1,608	1,608
							TFD	54000 Programme and Support Services	5,000	5,000	5,000
							AVC	Parallel and in kind	8,000	5,000	3,000
							AVC	Subtotal	8,000	5,000	3,000
							Other	Subtotal	200,000	150,000	50,000
							TFD	Subtotal	203,716	152,108	51,608
							TFD+AVC +Volun. Organizati ons	Subtotal	411,716	307,108	104,608
SUB-TOTAL Component 2 (AVC):			L					-	8,000	5,000	3,000
SUB-TOTAL Component 2 (Other):								-	200,000	150,000	50,000
SUB-TOTAL Component 2 (TFD):								-	203,716	152,108	51,608
SUB-TOTAL Component 2 (TFD+AVC+Other):								-	411,716	307,108	104,608
	3.1. Development of	8,980	9,000	-	-	UNDP	TFD	71300 Local consultants	880	880	-
Component 3: Institutionalized	recommendations on						AVC	Parallel and in kind	7,000	7,000	-
regional youth volunteer infrastructure and networks	regional youth volunteer infrastructure and						TFD	75100 Facilities and administration – UNV	10,000	10,000	-
	networks						TFD	54000 Programme and Support Services	100	100	-
							AVC	Subtotal	7,000	7,000	-
							TFD	Subtotal	10,980	10,980	-
							TFD+AVC	Subtotal	17,980	17,980	-
	3.2. Institutionalization of	-	7,912	7,912	3,250	UNDP	TFD	72100 Contractual Services	1,000	500	500
	the CIS youth						AVC	Parallel and in kind	13,250	5,000	8,250

EXPECTED OUTPUTS	PLANNED ACTIVITIES	Pla	anned Bud	get by Yea	r	RESPONSIBLE		PLANNED	BUDGET		
		H1 2020	H2 2020	H1 2021	H2 2021	PARTY	Funding Source	Budget Description	TOTAL US\$	Year 1	Year 2
	volunteer						TFD	71600 Travel	2,412	1,206	1,206
	infrastructure and network						TFD	71600 Travel	2,112	1,006	1,006
	network						TFD	54000 Programme and Support Services	300	200	200
							AVC	Subtotal	13,250	5,000	8,250
							TFD	Subtotal	5,824	2,912	2,912
							TFD+AVC	Subtotal	19,074	7,912	11,162
SUB-TOTAL Component 3 (AVC):								-	20,250	12,000	8,250
SUB-TOTAL Component 3 (TFD):								-	16,804	13,892	2,912
SUB-TOTAL Component 3 (TFD+AVC):								-	37,054	25,892	11,162
Project Management	Project Management	39,227	59,450	36,009	27,807	UNDP	TFD	71500 UN Volunteers	93,000	46,500	46,500
							TFD	72800 Information Technology Equipment	2,500	-	-
							UNDP	73100 Utilities	7,200	3,600	3,600
							TFD	75100 Facilities and Administration (GMS)	59,792	46,076	13,716
							TFD	Subtotal	155,292	95,076	60,216
							UNDP	Subtotal	7,200	3,600	3,600
							TFD+UNDP	Subtotal	162,492	96,176	63,816
TOTAL (AVC in-kind and parallel):								-	200,000	188,000	12,000
TOTAL (TFD):								-	400,000	280,432	119,568
TOTAL (Volunteer organizations in- kind):								-	200,000	150,000	50,000
TOTAL (UNDP in-kind):								-	7,200	3,600	3,600
TOTAL (TFD+AVC+Other+UNDP):								-	807,200	622,032	185,168

### VIII. GOVERNANCE AND MANAGEMENT ARRANGEMENTS

The project is directly implemented by UNDP's Istanbul Regional Hub for Europe and the CIS (IRH) within the delegated Direct Implementation authority for the Regional Programme implementation, in line with UNDP's Programme and Operations Policies and Procedures. UNDP Istanbul Regional Hub is responsible for overall management, backstopping and monitoring of the project.

In terms of roles and responsibilities, the project will be directed by the Project Board, called a Project Steering Committee. The key distinct roles of the members of the Project Steering Committee are identified in the scheme below:



The Project Steering Committee will consist of key roles as specified below:

- 1) An Executive: Represents project ownership and chairs the group. Role of the Executive and Chairperson will be represented by the Deputy Regional Director UNDP RBEC or delegated to IRH Manager.
- 2) Senior Supplier (also called development partners): individuals or groups representing the interests of the parties concerned which provide funding and/or technical expertise to the project. A representative of Government of the Russian Federation, IRH Team Leader, New Partnerships and Emerging Donors, and UNV representative will participate as Senior Suppliers in the Project Steering Committee.
- 3) Senior Beneficiary: individuals or groups representing the interests of those who will ultimately benefit from the project. The Senior Beneficiary's primary function within the Project Steering Committee is to ensure the realization of project results from the perspective of project beneficiaries. IRH Country Office Support Team Leader will act as the Senior Beneficiary.

The Project Steering Committee will be responsible for making management decisions for the project when guidance is requested by the Project manager. The Project Steering Committee will:

- Provide overall guidance and direction to the project, ensuring it remains within any specified constraints;
- Make strategic decisions, including the approval of project plans, revisions (i.e., changes in the project document), Innovation Labs guidelines, project policy documents;
- Authorize any major deviation from the project document and agreed annual plans;
- Review annual progress reports and QA reports;
- Review and recommend for UNDP approval of end project report;
- Address project issues as raised by UNDP and make recommendations on follow-on actions;

• Provide guidance on new project risks and agree on possible countermeasures and management actions to address specific risks.

Project assurance is the responsibility of each project steering committee member; however, UNDP has a distinct assurance role for all UNDP projects in carrying out objective and independent project oversight and monitoring functions. Project assurance has to be independent of the project manager. IRH RBM Specialist will hold the project assurance role on behalf of UNDP.

The project will be managed by a Project Manager (on a contract of International UN Volunteer), who has the authority to run the project on a day-to-day basis on behalf of UNDP. The Project Manager's prime responsibility is to ensure that the project produces the results (outputs) specified in the Project Document to the required standard of quality and within the specified constraints of time and cost. The Project Manager will report to the Team Leader of the New Partnerships & Emerging Donors in the UNDP IRH.

The Project Support function will also be covered by the Project Manager given the scope of the project.

Association of Volunteer Centers of the Russian Federation will be engaged as a responsible party to implement certain project activities, ensuring UN standards and timely delivery in line with the UNDP rules and regulations. An AVC representative will participate in the Project Steering Committee reviews to report on the progress of implementation, delivery, results achieved, etc. as requested. UNDP will enter into applicable responsible party agreements with AVC.

Project assurance and the work plan execution are the responsibility of UNDP IRH, including carrying out the project objective and performing the oversight and monitoring functions. This role ensures appropriate project management milestones are managed and completed. UNDP ensures that required resources are committed and arbitrates on any conflicts within the project or negotiates a solution to any problems between the project and external bodies.

UNDP IRH specific responsibilities:

- a) Project Manager
  - Plan the activities of the project and monitor progress against the initial quality criteria; review and appraise detailed project plans, including the multi-year work plan and ATLAS reports covering activity definition, updated risk log and the monitoring schedule plan;
  - Mobilize goods and services to initiative activities, including drafting TORs and work specifications;
  - Manage and deliver implementation of project outputs through activities;
  - Address project issues as raised by the Project Steering Committee;
  - Perform the financial reporting and control mechanisms through Combined Delivery Reports (CDR) which is a mandatory official report reflecting the expenses and funds utilized on a project, and other ATLAS reporting tools;
  - Monitor events as determined in the Monitoring & Communication Plan, and update the plan as required;
  - Manage and process requests for the provision of financial resources by UNDP, using advance of funds or direct payments;
  - Monitor financial resources and accounting to ensure accuracy and reliability of financial reports;
  - Manage and monitor the project risks as initially identified in the Project Brief appraised by the LPAC, submit new risks to the Project Board for consideration and decision on possible actions if required; update the status of these risks by maintaining the Project Risks Log;
  - Be responsible for managing issues and requests for change by maintaining an Issues Log.
  - Prepare the Project Quarterly Progress Report and the Annual review Report;
  - Share relevant information on the project achievement with all interested parties;
  - Assure that all project deliverables have been produced satisfactorily;
  - Notify operational completion of the project to the Project Steering Committee;
  - Ensure, upon finalization of a project, reimbursement of the remaining balance of funds deposited in the UNDP accounts for the project activities to the Donor or re-programming if so agreed with the Donor.
  - Provide direction and guidance to project team/consultants;

- Identify and obtain any support and advice required for the management, planning and control of the project;
- Identify follow-on actions and submit them for consideration to the Project Board.
- Provide overall project management support for regional component, including financial management support and budget monitoring to keep track of the financial status of the project at all times and monitor the performance of contractors; prepare budgetary revisions in ATLAS to reflect any adjustments to the initial approved budget and to be finalized for the year(s) affected by the adjustment.
- Manage and support procurement and contracting processes such as consultants, purchases within the regional component.
- Provide event organization support for the regional events as required;
- Ensure the visibility of the Project and project partners;
- Financial reports and monitor use of cost sharing funds/other resources; (year-end and operational closure of projects);
- Provide administrative services: set up and maintain project files, collect project related information data, update plans, administer the quality review process, administer Project Board meetings.
- b) Project Assurance
  - Guide on the preparation of the project reviews and Project Board meetings as well as clear the applicable project and quality assessment reports in line with the monitoring policy of UNDP and IRH SOP for Regional Programme Management;
  - Monitor project delivery on regular basis and tracks project management milestones, as required;
  - Provide QA support and monitor regional initiatives and activities;
  - Monitor that project outputs definitions and activity definition including description and quality criteria have been properly recorded in the Atlas Project Management module by the Project Manager to facilitate monitoring and reporting;
  - Ensure that risks and issues are properly managed by the Project Manager, and that the logs in Atlas are regularly updated;
  - Ensure that Monitoring and Evaluation Plan is followed, and all reports submitted on time, and according to standards in terms of format and content quality;
  - Perform oversight activities, such as periodic monitoring visits and "spot checks" as required;
  - Ensure that project operational and financial closure procedures are duly carried out.

### IX. LEGAL CONTEXT

This project forms part of an overall programmatic framework under which several separate associated country level activities will be implemented. When assistance and support services are provided from this Project to the associated country level activities, this document shall be the "Project Document" instrument referred to in: (i) the respective signed SBAAs for the specific countries; or (ii) in the <u>Supplemental Provisions</u> attached to the Project Document in cases where the recipient country has not signed an SBAA with UNDP, attached hereto and forming an integral part hereof. All references in the SBAA to "Executing Agency" shall be deemed to refer to "Implementing Partner."

This project will be implemented by the UNDP ("Implementing Partner") in accordance with its financial regulations, rules, practices and procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of an Implementing Partner does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, the financial governance of UNDP shall apply.

# X. **RISK MANAGEMENT**

1. UNDP as the Implementing Partner will comply with the policies, procedures and practices of the United Nations Security Management System (UNSMS).

- 2. UNDP as the Implementing Partner will undertake all reasonable efforts to ensure that none of the project funds<sup>11</sup> are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <a href="http://www.un.org/sc/committees/1267/aq\_sanctions\_list.shtml">http://www.un.org/sc/committees/1267/aq\_sanctions\_list.shtml</a>. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.
- 3. Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (<u>http://www.undp.org/ses</u>) and related Accountability Mechanism (<u>http://www.undp.org/secu-srm</u>).
- 4. UNDP as the Implementing Partner will: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.
- 5. In the implementation of the activities under this Project Document, UNDP as the Implementing Partner will handle any sexual exploitation and abuse ("SEA") and sexual harassment ("SH") allegations in accordance with its regulations, rules, policies and procedures.
- 6. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.
- 7. UNDP as the Implementing Partner will ensure that the following obligations are binding on each responsible party, subcontractor and sub-recipient:
  - a. Consistent with the Article III of the SBAA [or the Supplemental Provisions to the Project Document], the responsibility for the safety and security of each responsible party, subcontractor and sub-recipient and its personnel and property, and of UNDP's property in such responsible party's, subcontractor's and sub-recipient's custody, rests with such responsible party, subcontractor and sub-recipient. To this end, each responsible party, subcontractor and sub-recipient shall:
    - i. put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
    - ii. assume all risks and liabilities related to such responsible party's, subcontractor's and subrecipient's security, and the full implementation of the security plan.
  - b. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the responsible party's, subcontractor's and sub-recipient's obligations under this Project Document.
  - c. In the performance of the activities under this Project, UNDP as the Implementing Partner shall ensure, with respect to the activities of any of its responsible parties, sub-recipients and other entities engaged under the Project, either as contractors or subcontractors, their personnel and any individuals performing services for them, that those entities have in place adequate and proper procedures, processes and policies to prevent and/or address SEA and SH.
  - d. Each responsible party, subcontractor and sub-recipient will take appropriate steps to prevent misuse of funds, fraud or corruption, by its officials, consultants, subcontractors and sub-recipients in implementing the project or programme or using the UNDP funds. It will ensure that its financial management, anti-corruption and anti-fraud policies are in place and enforced for all funding received from or through UNDP.
  - e. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to each responsible party, subcontractor and sub-recipient: (a) UNDP Policy on Fraud and other Corrupt Practices and (b) UNDP Office of Audit and Investigations Investigation Guidelines. Each responsible party, subcontractor and sub-recipient agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at www.undp.org.

<sup>&</sup>lt;sup>11</sup> To be used where UNDP is the Implementing Partner

- f. In the event that an investigation is required, UNDP will conduct investigations relating to any aspect of UNDP programmes and projects. Each responsible party, subcontractor and sub-recipient will provide its full cooperation, including making available personnel, relevant documentation, and granting access to its (and its consultants', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with it to find a solution.
- g. Each responsible party, subcontractor and sub-recipient will promptly inform UNDP as the Implementing Partner in case of any incidence of inappropriate use of funds, or credible allegation of fraud or corruption with due confidentiality.

Where it becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, each responsible party, subcontractor and sub-recipient will inform the UNDP Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). It will provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.

h. UNDP will be entitled to a refund from the responsible party, subcontractor or sub-recipient of any funds provided that have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of this Project Document. Such amount may be deducted by UNDP from any payment due to the responsible party, subcontractor or sub-recipient under this or any other agreement. Recovery of such amount by UNDP shall not diminish or curtail any responsible party's, subcontractor's or subrecipient's obligations under this Project Document.

Where such funds have not been refunded to UNDP, the responsible party, subcontractor or sub-recipient agrees that donors to UNDP (including the Government) whose funding is the source, in whole or in part, of the funds for the activities under this Project Document, may seek recourse to such responsible party, subcontractor or sub-recipient for the recovery of any funds determined by UNDP to have been used inappropriately, including through fraud or corruption, or otherwise paid other than in accordance with the terms and conditions of the Project Document.

<u>Note:</u> The term "Project Document" as used in this clause shall be deemed to include any relevant subsidiary agreement further to the Project Document, including those with responsible parties, subcontractors and sub-recipients.

- i. Each contract issued by the responsible party, subcontractor or sub-recipient in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from it shall cooperate with any and all investigations and post-payment audits.
- j. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project or programme, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.
- k. Each responsible party, subcontractor and sub-recipient shall ensure that all of its obligations set forth under this section entitled "Risk Management" are passed on to its subcontractors and sub-recipients and that all the clauses under this section entitled "Risk Management Standard Clauses" are adequately reflected, mutatis mutandis, in all its sub-contracts or sub-agreements entered into further to this Project Document.

# XI. ANNEXES

Annex 1. Detailed budget

Annex 2. Risk Analysis

Annex 3. Social and Environmental Screening

Annex 4. Project Quality Assurance Report (attached as a separate file)

Annex 5: AVC capacity assessment as a responsible party (attached as a separate file)

Annex 6: ToR of the Project Manager (International UNV) (attached as a separate file)

Annex 1. Detailed budget "Strengthening youth volunteerism in the Commonwealth of Independent States (CIS) through partnerships for
development – Youth, Unite and Volunteer!"

Outcome/Output/Activity	20	20	20:	21	Responsible	Budget	Total (US\$)
outcome, output, Activity	H1	H2	H1	H2	partner	Dudget	10tal (039)
Company to Deinforced even country worth an even or this system while	3,916	186,440	2,416	3,166	AVC	Total	195,938
Component 1: Reinforced cross-country youth engagement in sustainable development through volunteerism	2,916	16,440	2,416	2,416	UNDP	TFD	24,188
	1,000	170,000	0	750	UNV	AVC	171,750
Activity 1.1. Youth Volunteer Leadership Exchange for Development (YVLED)	3,916	2,416	2,416	3,166	AVC UNV	TFD	11,914
Expertise (development of the guidelines, M&E)	500	0	0	0	AVC	TFD	500
	1,000	0	0	750	UNV	AVC	1,750
Participants' travels, DSA + tickets (8 persons x 4 days)	2,416	2,416	2,416	2,416	AVC	TFD	9,664
Activity 1.2. Youth Volunteer Partnership Forum (YVPF)	0	184,024	0	0	AVC UNDP	TFD AVC	184,024
Conference facilities for the event and travel arrangements for the	0	10,000	0	0	AVC	TFD	10,000
speakers/guests	0	170,000	0	0	UNDP	AVC	170,000
Organizers' and key speakers' travels, DSA + tickets (4 persons x 3 days)	0	4,024	0	0	AVC UNDP	TFD	4,024
	105,500	201,608	101,608	3,000		Total	411,716
Component 2: Enhanced youth participation in innovative volunteer	50,500	101,608	51,608	0	) AVC	TFD	203,716
partnerships for sustainable development	5,000	0	0	3,000	UNDP	AVC	8,000
	50,000	100,000	50,000	0		Other	200,000
Activity 2. Youth Volunteer Innovation Labs Mini-Grant Scheme	105,500	201,608	101,608	3,000	AVC UNDP	TFD Other	408,216
Development of the Innovation Lab guidelines	500	0	0	0	UNDP	TFD	500
Press-conference (venue, refreshments)	3,000	0	0	0	AVC	AVC	3,000
	50,000	100,000	50,000	0	0.40	TFD	200,000
Financial support to Innovation Labs (10000 USD x 20 applications)	50,000	100,000	50,000	0	AVC	Other	200,000
MQE (DSA + tickets for MQE toom 2 persons 2 days 4 missions)	2,000	0	0	3,000	AVC	AVC	5,000
M&E (DSA + tickets for M&E team, 2 persons, 2 days, 4 missions)	0	1,608	1,608	0	UNDP	TFD	3,216
	8,980	16,912	7,912	3,250		Total	37,054
Component 3: Institutionalized regional youth volunteer infrastructure and	5,980	7,912	2,912	0	UNDP UNV	TFD	16,804
networks	3,000	9,000	5,000	3,250	AVC	AVC	20,250

Activity 3.1 Development of recommendations on regional youth volunteer infrastructure and networks	8,980	9,000	0	0	UNDP UNV AVC	TFD AVC	17,980
Expertise (local, 3 persons x 20 w. days)	980	0	0	0	AVC	TFD	980
Expertise (local, 5 persons x 20 w. days)	3,000	4,000	0	0	AVC	AVC	7,000
Expert Support by UNV DPC - International Expertise (60 w. days)	5,000	5,000	0	0	UNV	TFD	10,000
Activity 3.2 Promotion of the CIS youth volunteer infrastructure and network	0	7,912	7,912	3,250	AVC UNDP	TFD AVC	19,074
Round Tables (3 round tables - venue, facilities)	0	500	500	0	AVC	TFD	1,000
	0	5,000	5,000	3,250	UNDP	AVC	13,250
Working Group Meetings, DSA + tickets (3 people x 2 days)	0	2,412	2,412	0	UNDP	TFD	4,824
	27,550	25,050	25,050	25,050		Total	102,700
Project Management and Technical Support	25,750	23,250	23,250	23,250	UNDP	TFD	95,500
	1,800	1,800	1,800	1,800		UNDP	7,200
Project Manager	23,250	23,250	23,250	23,250	UNDP	TFD	93,000
Equipment	2,500	0	0	0	UNDP	TFD	2,500
Office Rent & Utilities	1,800	1,800	1,800	1,800	UNDP	UNDP	7,200
	145,946	430,010	136,986	34,466		Total	747,408
	85,146	149,210	80,186	25,666	UNDP	TFD	340,208
SUB-TOTAL:	1,800	1,800	1,800	1,800	UNV	UNDP	7,200
	9,000	179,000	5,000	7,000	AVC	AVC	200,000
	50,000	100,000	50,000	0		Other	200,000
General Management Services (8%)	11,676	34,400	10,959	2,757	UNDP	TFD	59,792
	157,622	464,410	147,945	37,223		Total	807,200
	96,822	183,610	91,145	28,423		TFD	400,000
TOTAL:	1,800	1,800	1,800	1,800	UNDP UNV	UNDP	7,200
	9,000	179,000	5,000	7,000	) AVC	AVC	200,000
	50,000	100,000	50,000	0		Other	200,000

## Annex 2. Offline Project Risk Analysis and Register

#	Description	Date Identified	Risk Category	Impact & Likelihood = Risk Level	Risk Treatment / Management measures	Risk Owner
1	Lack of local expertise to implement the youth volunteer innovation lab	October 2018	Operational	L = 3 I = 3	AVC in cooperation with the Project Manager will provide capacity building and online consultations to ensure involvement of wide target audience and efficient knowledge transfer	Project Manager
2	National administrative barriers for Innovation Labs implementation	October 2018	Strategic	L = 1   = 4	AVC in consultation with the Project Manager will conduct preliminary research and engage with national governments and partners to identify and to guide on the most applicable arrangements	Project Manager
3	Delays with implementation of the project activities	October 2018	Operational	L = 2 I = 3	The Project Manager will develop a project work plan and identify clear milestones to monitor timely performance of the project activities and reporting. For any potential challenges with implementation of the project activities, alternative options will be explored in advance to minimize delays with the project implementation.	UNDP
4	Reluctance of decision makers to adopt the project recommendations	October 2018	Strategic	L= 3 I = 5	AVC in consultation with the Project Steering Committee and Project Manager will outreach to decision makers for sensitization about youth volunteerism. The decision makers and beneficiaries will be involved in discussion of the project recommendations at early stages to secure ownership.	UNDP

#### Annex [3]. Social and Environmental Screening

Pr	oject Information	
1.	Project Title	Strengthening youth volunteerism in the Commonwealth of Independent States (CIS) through partnerships for development – Youth, Unite and Volunteer!
2.	Project Number	00117101
3.	Location (Global/Region/Country)	Regional / Europe and the CIS

# Part A. Integrating Overarching Principles to Strengthen Social and Environmental Sustainability

# QUESTION 1: How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the Project mainstreams the human-rights based approach

Volunteering is one of the instruments to support aspirations of the youth, empower them with relevant skills and experience as well as equal engagement in societal processes for the productive employment and self-fulfillment. In general, volunteerism is a crosscutting tool to contribute to the transformational delivery of the Global Goals in diverse ways that address one or more goals at once, leaving no one behind.

According to the statistics, there are more than 900 volunteer organizations in eight countries of the CIS region, and they engage more than 360,000 people in voluntary activities.<sup>12</sup>

As the project is aimed at improving interaction between youth volunteer organizations for implementation of the Concept for Development of Cooperation on Youth Volunteerism in CIS and Action Plan, it will promote equality and inclusiveness through the volunteer movement in the region. Partnering and networking with each other, youth volunteer organizations as the main stakeholders of the project will address such issues as inequalities and exclusion not only at country, but at regional level as well. In result, the project will make a significant contribution to human rights through the voice of youth to be heard and acknowledged.

#### Briefly describe in the space below how the Project is likely to improve gender equality and women's empowerment

As articulated in the State of the latest World's Volunteerism Report, more than 50% of volunteers are women globally and in the region. Volunteering is not only the way for disadvantaged and marginalized groups of population to improve their skills and ensure professional development, but also an efficient mechanism for equal participation in the society life. The project will pay a specific attention at gender indicators and encourage empowerment of women, especially young women from marginalized and underrepresented groups of the society through support to volunteer actions and regional partnerships between volunteer organizations.

Briefly describe in the space below how the Project mainstreams environmental sustainability

The project will make a focus at support on social and environmental initiatives to address the challenges of inequalities and climate change in the region. Promotion of environmental sustainability through the project activities will be included into assessment criteria of the project support to volunteer organizations. At least, 50% of the initiatives under the project support will fall under thematic areas of social inclusion and environmental sustainability.

<sup>&</sup>lt;sup>12</sup> The research was conducted by UNDP grantee organization National Training Foundation under the UNDP Project "Knowledge Management and Capacity Building in Russia-UNDP Partnership, Phase I" in 8 countries of CIS region (Armenia, Azerbaijan, Belarus, Kazakhstan, Kyrgyzstan, Moldova, the Russian Federation, Tajikistan).

# Part B. Identifying and Managing Social and Environmental <u>Risks</u>

QUESTION 2: What are the Potential Social and Environmental Risks? Note: Describe briefly potential social and environmental risks identified in Attachment 1 – Risk Screening Checklist (based on any "Yes" responses). If no risks have been identified in Attachment 1 then note "No Risks Identified" and skip to Question 4 and Select "Low Risk". Questions 5 and 6 not required for Low Risk Projects.	significar environm Note: Resp	nce of the ental risks	at is the level of potential social an ? tions 4 and 5 below b	QUESTION 6: What social and environmental assessment and management measures have been conducted and/or are required to address potential risks (for Risks with Moderate and High Significance)?	
Risk Description	Impact and Probabilit y (1-5)	Significan ce (Low, Moderate, High)	Comments		Description of assessment and management measures as reflected in the Project design. If ESIA or SESA is required note that the assessment should consider all potential impacts and risks.
No Risks Identified	l = P =				
	QUESTIO	N 4: What i	s the overall Project	risk	categorization?
	S	elect one (see	SESP for guidance)		Comments
			Low Risk	X	
			Moderate Risk		
			High Risk		
	and risk o		d on the identified r on, what requiremen ?		
		Check	all that apply		Comments
	Principle 1:	Human Right	ts		
	Principle 2 Empowe		quality and Women's		

1. Biodiversity Conservation and Natural Resource Management	
2. Climate Change Mitigation and Adaptation	
3. Community Health, Safety and Working Conditions	
4. Cultural Heritage	
5. Displacement and Resettlement	
6. Indigenous Peoples	
7. Pollution Prevention and Resource Efficiency	

# Final Sign Off

Name, Title and signature	Date	Description
Ivan Zverzhanovski, Regional Partnerships Advisor & Team Leader, Partnerships Team, QA Assessor		UNDP staff member responsible for the Project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
Ekaterina Paniklova, Senior Programme Coordinator/Integrated Country Office Support Team Leader, QA Approver		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
DRD or IRH Manager, PAC Chair		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

# SESP Attachment 1. Social and Environmental Risk Screening Checklist

Che	cklist Potential Social and Environmental <u>Risks</u>	
Princ	ciples 1: Human Rights	Answer (Yes/No
1.	Could the Project lead to adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
2.	Is there a likelihood that the Project would have inequitable or discriminatory adverse impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups? <sup>13</sup>	No
3.	Could the Project potentially restrict availability, quality of and access to resources or basic services, in particular to marginalized individuals or groups?	No
4.	Is there a likelihood that the Project would exclude any potentially affected stakeholders, in particular marginalized groups, from fully participating in decisions that may affect them?	No
5.	Is there a risk that duty-bearers do not have the capacity to meet their obligations in the Project?	No
6.	Is there a risk that rights-holders do not have the capacity to claim their rights?	No
7.	Have local communities or individuals, given the opportunity, raised human rights concerns regarding the Project during the stakeholder engagement process?	No
8.	Is there a risk that the Project would exacerbate conflicts among and/or the risk of violence to project- affected communities and individuals?	No
Princ	ciple 2: Gender Equality and Women's Empowerment	
1.	Is there a likelihood that the proposed Project would have adverse impacts on gender equality and/or the situation of women and girls?	No
2.	Would the Project potentially reproduce discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
3.	Have women's groups/leaders raised gender equality concerns regarding the Project during the stakeholder engagement process and has this been included in the overall Project proposal and in the risk assessment?	No
4.	Would the Project potentially limit women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?	No
	For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being	
	<b>Siple 3: Environmental Sustainability:</b> Screening questions regarding environmental risks are mpassed by the specific Standard-related questions below	
Ston	dard 1: Biodiversity Conservation and Sustainable Natural Resource Management	
1.1	Would the Project potentially cause adverse impacts to habitats (e.g. modified, natural, and critical habitats)         and/or         ecosystems         and         ecosystem         services?	No
	For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes	
1.2	Are any Project activities proposed within or adjacent to critical habitats and/or environmentally sensitive areas, including legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3	Does the Project involve changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4	Would Project activities pose risks to endangered species?	No
1.5	Would the Project pose a risk of introducing invasive alien species?	No
1.6	Does the Project involve harvesting of natural forests, plantation development, or reforestation?	No
1.7	Does the Project involve the production and/or harvesting of fish populations or other aquatic species?	No

<sup>&</sup>lt;sup>13</sup> Prohibited grounds of discrimination include race, ethnicity, gender, age, language, disability, sexual orientation, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender people and transsexuals.

Does the Project involve significant extraction, diversion or containment of surface or ground water? For example, construction of dams, reservoirs, river basin developments, groundwater extraction	No
Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development)	No
Would the Project generate potential adverse transboundary or global environmental concerns?	No
Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area?	No
For example, a new road through forested lands will generate direct environmental and social impacts (e.g. felling of trees, earthworks, potential relocation of inhabitants). The new road may also facilitate encroachment on lands by illegal settlers or generate unplanned commercial development along the route, potentially in sensitive areas. These are indirect, secondary, or induced impacts that need to be considered. Also, if similar developments in the same forested area are planned, then cumulative impacts of multiple activities (even if not part of the same Project) need to be considered.	
Jard 2: Climate Change Mitigation and Adaptation	
Will the proposed Project result in significant <sup>14</sup> greenhouse gas emissions or may exacerbate climate change?	No
Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change?	No
Is the proposed Project likely to directly or indirectly increase social and environmental vulnerability to climate change now or in the future (also known as maladaptive practices)?	No
For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding	
ard 3: Community Health, Safety and Working Conditions	
Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities?	No
Would the Project pose potential risks to community health and safety due to the transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other	No
chemicals during construction and operation)?	
chemicals during construction and operation)? Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?	No
	No No
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)? Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of	
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?         Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)         Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes,	No
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?         Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)         Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?         Would the Project result in potential increased health risks (e.g. from water-borne or other vector-	No No
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?         Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)         Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?         Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?         Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction,	No No No
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?         Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)         Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?         Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?         Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?         Does the Project involve support for employment or livelihoods that may fail to comply with national	No No No
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?         Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)         Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?         Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?         Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?         Does the Project involve support for employment or livelihoods that may fail to comply with national and international labor standards (i.e. principles and standards of ILO fundamental conventions)?         Does the Project engage security personnel that may pose a potential risk to health and safety of	No No No No
Does the Project involve large-scale infrastructure development (e.g. dams, roads, buildings)?         Would failure of structural elements of the Project pose risks to communities? (e.g. collapse of buildings or infrastructure)         Would the proposed Project be susceptible to or lead to increased vulnerability to earthquakes, subsidence, landslides, erosion, flooding or extreme climatic conditions?         Would the Project result in potential increased health risks (e.g. from water-borne or other vector-borne diseases or communicable infections such as HIV/AIDS)?         Does the Project pose potential risks and vulnerabilities related to occupational health and safety due to physical, chemical, biological, and radiological hazards during Project construction, operation, or decommissioning?         Does the Project involve support for employment or livelihoods that may fail to comply with national and international labor standards (i.e. principles and standards of ILO fundamental conventions)?         Does the Project engage security personnel that may pose a potential risk to health and safety of communities and/or individuals (e.g. due to a lack of adequate training or accountability)?	No No No No
	Does the Project involve utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) Would the Project generate potential adverse transboundary or global environmental concerns? Would the Project result in secondary or consequential development activities which could lead to adverse social and environmental effects, or would it generate cumulative impacts with other known existing or planned activities in the area? For example, a new road through forested lands will generate direct environmental and social impacts (e.g. felling of trees, earthworks, potential relocation of inhabitants). The new road may also facilitate encroachment on lands by illegal settlers or generate unplanned commercial development along the route, potentially in sensitive areas. These are indirect, secondary, or induced impacts that need to be considered. Also, if similar developments in the same forested area are planned, then cumulative impacts of multiple activities (even if not part of the same Project) need to be considered. Also, if similar developments or the same Project) need to be considered. <b>dard 2: Climate Change Mitigation and Adaptation</b> Will the proposed Project result in significant <sup>14</sup> greenhouse gas emissions or may exacerbate climate change? Would the potential outcomes of the Project be sensitive or vulnerable to potential impacts of climate change? For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding <b>dard 3: Community Health, Safety and Working Conditions</b> Would elements of Project construction, operation, or decommissioning pose potential safety risks to local communities?

 $<sup>^{14}</sup>$  In regards to CO<sub>2,</sub> 'significant emissions' corresponds generally to more than 25,000 tons per year (from both direct and indirect sources). [The Guidance Note on Climate Change Mitigation and Adaptation provides additional information on GHG emissions.]

Stan	dard 5: Displacement and Resettlement	
5.1	Would the Project potentially involve temporary or permanent and full or partial physical displacement?	No
5.2	Would the Project possibly result in economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3	Is there a risk that the Project would lead to forced evictions? <sup>15</sup>	No
5.4	Would the proposed Project possibly affect land tenure arrangements and/or community-based property rights/customary rights to land, territories and/or resources?	
Stan	dard 6: Indigenous Peoples	
6.1	Are indigenous peoples present in the Project area (including Project area of influence)?	No
6.2	Is it likely that the Project or portions of the Project will be located on lands and territories claimed by indigenous peoples?	No
6.3	Would the proposed Project potentially affect the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the Project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? If the answer to the screening question 6.3 is "yes" the potential risk impacts are considered potentially severe and/or critical and the Project would be categorized as either Moderate or High Risk.	No
6.4	Has there been an absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	Does the proposed Project involve the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	Is there a potential for forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7	Would the Project adversely affect the development priorities of indigenous peoples as defined by them?	No
6.8	Would the Project potentially affect the physical and cultural survival of indigenous peoples?	No
6.9	Would the Project potentially affect the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No
Stan	dard 7: Pollution Prevention and Resource Efficiency	
7.1	Would the Project potentially result in the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
7.2	Would the proposed Project potentially result in the generation of waste (both hazardous and non-hazardous)?	No
7.3	Will the proposed Project potentially involve the manufacture, trade, release, and/or use of hazardous chemicals and/or materials? Does the Project propose use of chemicals or materials subject to international bans or phase-outs?	No
	For example, DDT, PCBs and other chemicals listed in international conventions such as the Stockholm Conventions on Persistent Organic Pollutants or the Montreal Protocol	
7.4	Will the proposed Project involve the application of pesticides that may have a negative effect on the environment or human health?	No
7.5	Does the Project include activities that require significant consumption of raw materials, energy, and/or water?	No

<sup>&</sup>lt;sup>15</sup> Forced evictions include acts and/or omissions involving the coerced or involuntary displacement of individuals, groups, or communities from homes and/or lands and common property resources that were occupied or depended upon, thus eliminating the ability of an individual, group, or community to reside or work in a particular dwelling, residence, or location without the provision of, and access to, appropriate forms of legal or other protections.