

Closure Stage Quality Assurance Report

Form Status: Approved

Overall Rating:	Highly Satisfactory
Decision:	
Portfolio/Project Number:	00092245
Portfolio/Project Title:	Rule of Law and Constitutional Democracy (RLCD)
Portfolio/Project Date:	2016-01-01 / 2022-06-30

Strategic

Quality Rating: Satisfactory

1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?

- 3: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)
- 2: *The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)*
- 1: The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

Evidence:

Programme Technical Team gained knowledge & skills and got to understand and apply principles of the Transformational Development Approach framework which included the South – South Cooperation aspects in relation to promoting rule of law and constitutional democracy. Please see activity 2 the attached 2017 progress report and activity 1 in the attached 2018 progress report. The programme was also flexible to respond to the surge in refugee influx during 2017 and 2018, which made the need for an assessment on gaps with regard to access to justice and rule of law in refugee hosting districts evident. The programme adapted to the changes in the external environment and commissioned a joint study with UNHCR to respond to the same, see activity 25 in the attached 2018 progress report. The programme did also re-programme some of its activities due to the COVID-19

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	2017RLCDAnnualProgressReport_6488_301_8751_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017RLCDAnnualProgressReport_6488_301_8751_301.doc)	daniel.luba@undp.org	6/25/2021 12:53:00 PM
2	2018RLCDAnnualProgressReport_6488_301_8751_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2018RLCDAnnualProgressReport_6488_301_8751_301.docx)	daniel.luba@undp.org	6/25/2021 12:55:00 PM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- 3: *The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution .The project’s RRF included all the relevant SP output indicators. (all must be true)*
- 2: The project responded to at least one of the developments settings¹ as specified in the Strategic Plan. The project’s RRF included at least one SP output indicator, if relevant. (both must be true)
- 1: While the project may have responded to a partner’s identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

The RLCD programme has been aligned to key national and international development frameworks. It addresses the foundational components of Good Governance, which are defined by the Uganda Vision 2040, the National Development Plan (NDP II) and the UNDAF 2016-2020 as the Backbone of Uganda's Transformation. During the initial phase of the programme (2016-2017), the RLCD programme was aligned to UNDP Strategic Plan (2014-2017), notably to Area of Work 1 on Sustainable development pathways and Area of Work 2 on 'Inclusive and effective democratic governance. Subsequent to the transition to the UNDP Strategic Plan (2018-2021), the RLCD programme ensured that it was aligned to the new strategic plan and objectives and adopted Signature solution 2: Strengthen effective, inclusive and accountable governance and integrated Signature solution 6: Strengthen gender equality and the empowerment of women and girls. Please see page 7 of the Programme Document attached, and minute 14 in the 6th RLCD board meeting minutes document attached for reference.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	RLCDProdoc2016-2020_6488_302_8751_302 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/RLCDProdoc2016-2020_6488_302_8751_302.pdf)	daniel.luba@undp.org	6/25/2021 1:19:00 PM
2	190130Minutes6thboardmeetingRuleofLawandConstitutionalDemocracyJanuary2019_6488_302_8751_302 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/190130Minutes6thboardmeetingRuleofLawandConstitutionalDemocracyJanuary2019_6488_302_8751_302.pdf)	daniel.luba@undp.org	6/25/2021 1:20:00 PM

Relevant**Quality Rating: Highly Satisfactory**

3. Were the project's targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- 3: Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project's monitoring system. Representatives from the targeted groups were active members of the project's governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- 2: *Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)*
- 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- Not Applicable

Evidence:

Please see the attached Annual progress report 2016, 2017, 2018, 2019 which specifies how target groups were engaged in implementation and monitoring, and in which beneficiary feedback was collected.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	2016RLCDAnnualProgressReport_6488_3031_8751_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2016RLCDAnnualProgressReport_6488_3031_8751_303.docx)	daniel.luba@undp.org	6/25/2021 1:24:00 PM
2	2017RLCDAnnualProgressReport_6488_301_8751_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017RLCDAnnualProgressReport_6488_301_8751_303.doc)	daniel.luba@undp.org	6/25/2021 1:24:00 PM
3	2018RLCDAnnualProgressReport_6488_301_8751_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2018RLCDAnnualProgressReport_6488_301_8751_303.docx)	daniel.luba@undp.org	6/25/2021 1:25:00 PM
4	2019RLCDAnnualProgressReport_6488_303_8751_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2019RLCDAnnualProgressReport_6488_303_8751_303.docx)	daniel.luba@undp.org	6/25/2021 1:25:00 PM

4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: *Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)*
- 2: Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)
- 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

Evidence:

The project has generated a number of Knowledge products ranging from training workshop reports, South-South Corporation visit to Mauritius and Ghana, and consultancies such as the JLOS anti-corruption assessment and risk mapping, assessment of rule of law, access to justice and security needs in refugee hosting districts, as well as several research papers from Makerere University and other reports. All these have, or are expected to inform policies and strategic priorities. Knowledge products and lessons learned has also been discussed during board meetings, see for example board meeting 5, page 4, meeting minute 18, point 2. Please see the Annual Progress reports previously attached.

List of Uploaded Documents

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No documents available.			

5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: *There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.*
- 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- 1: The project was not at scale, and there are no plans to scale up the project in the future.

Evidence:

The scaling up strategy for the RLCD Programme included: promotion of national ownership and financial commitment; resource leveraging through strategic partnership; and enhancement of social demand and engagement. This has been achieved through policy and legislative reforms and capacity-building of institutions, so that these changes lead to a reasonably high probability of yielding a large-scale of benefits for all citizens of Uganda, including the excluded communities or groups e.g. women, youth and PWDs. In addition, learning (through research and SSC/Tri), and overall experience documentation has been supported to inform future policies and strategies as well as the adoption of identified good practices in the areas such as electoral reform and access to justice and anti-corruption. Please see Rule of law and Constitutional Democracy programme document attached page 15. (attached on question 2 above).

List of Uploaded Documents

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No documents available.			

Principled

Quality Rating: Highly Satisfactory

6. Were the project’s measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.

- 3: The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- 2: *The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)*
- 1: The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.

Evidence:

Based on monitoring visits and progress reports from partners, the project team could assess the appropriateness of activities to address gender inequalities and empower women. However, some of the indicators in the result framework may not have adequately captured the progress made in terms of gender equality (such as percentage of bills that have certificate of gender compliance may not adequately measure progress made as it does not cover whether the budget and plans of MDAs are gender compliant) and should therefore be taken into consideration under the next programming cycle.

List of Uploaded Documents

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No documents available.			

7. Were social and environmental impacts and risks successfully managed and monitored?

- 3: *Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)*
- 2: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.
- 1: Social and environmental risks were tracked in the risk log. For projects categorized as High or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

Evidence:

Please see page 61 of the Programme Document

List of Uploaded Documents

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No documents available.			

8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- 3: Project-affected people actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High or Moderate Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: Project-affected people informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project was categorized as High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.
- 1: Project-affected people was not informed of UNDP's Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)

Evidence:

Please see page 61-62 of the Programme Document and the 2017 work plan

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Approved2017AWPforRLCD_6488_308_8751_308 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Approved2017AWPforRLCD_6488_308_8751_308.pdf)	daniel.luba@undp.org	6/25/2021 1:41:00 PM

Management & Monitoring**Quality Rating: Satisfactory**

9. Was the project's M&E Plan adequately implemented?

- 3: The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project’s RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)
- 2: *The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project’s RRF was collected on a regular basis, although there was may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)*
- 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project’s RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.

Evidence:

Please see page 35 - 36 of the programme Document. Progress data on indicators in the projects RRF was collected on a regular basis however given that some data sources were external to UNDP, the reliance on data was not stable and thus, some indicators could not be sufficiently reported towards due to lack of data.

List of Uploaded Documents			
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No documents available.			

10. Was the project’s governance mechanism (i.e., the project board or equivalent) function as intended?

- 3: *The project’s governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)*
- 2: The project’s governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)
- 1: The project’s governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

Evidence:

The governance mechanism of the Rule of Law Programme has been functioning as intended as regular annual and bi-annual meetings are held and minutes are on file. Please see the Board Meeting minutes attached. Last concluding board meeting is yet to be held (as of 1 December 2020).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	160805RLCDboardmeetingminutes_August2016_6488_310_8751_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/160805RLCDboardmeetingminutes_August2016_6488_310_8751_310.pdf)	daniel.luba@undp.org	6/25/2021 1:47:00 PM
2	170726RuleofLawBoardmeeting_July2017_6488_310_8751_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/170726RuleofLawBoardmeeting_July2017_6488_310_8751_310.pdf)	daniel.luba@undp.org	6/25/2021 1:48:00 PM
3	171218RuleofLawboardmeeting_December2017_6488_310_8751_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/171218RuleofLawboardmeeting_December2017_6488_310_8751_310.pdf)	daniel.luba@undp.org	6/25/2021 1:48:00 PM
4	190130Minutes6thboardmeetingRuleofLawandConstitutionalDemocracyJanuary2019_6488_302_8751_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/190130Minutes6thboardmeetingRuleofLawandConstitutionalDemocracyJanuary2019_6488_302_8751_310.pdf)	daniel.luba@undp.org	6/25/2021 1:49:00 PM
5	RuleoflawboardmeetingJuly2018_6488_305_8751_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/RuleoflawboardmeetingJuly2018_6488_305_8751_310.pdf)	daniel.luba@undp.org	6/25/2021 1:50:00 PM

11. Were risks to the project adequately monitored and managed?

- 3: The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)
- 2: *The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.*
- 1: The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.

Evidence:

The RLCD programme has monitored risks annually in relation to annual evaluation and work planning. During the COVID-19 pandemic and elections season, the team has monitored risks on a very high frequency and consulted with relevant stakeholders in government, security advisors and senior management. Refer to Atlas risk log.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
No documents available.			

Efficient

Quality Rating: Highly Satisfactory

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
- No

Evidence:

Adequate resources were mobilized under the present circumstances that allowed for effective programme implementation and it was adequate to meet the objectives as envisaged in the programme document. See attached programme document above.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

13. Were project inputs procured and delivered on time to efficiently contribute to results?

- 3: The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 2: *The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)*
- 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

Evidence:

The programme had yearly procurement plans that were updated according to the annual workplan

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	2017ProcurementRLCDplan_6488_313_8751_313 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017ProcurementRLCDplan_6488_313_8751_313.xlsx)	daniel.luba@undp.org	6/25/2021 1:54:00 PM
2	RLCDProcurementplan-2018_6488_313_8751_313 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/RLCDProcurementplan-2018_6488_313_8751_313.xlsx)	daniel.luba@undp.org	6/25/2021 1:55:00 PM
3	ProcurementPlan-2019_6488_313_8751_313 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ProcurementPlan-2019_6488_313_8751_313.xlsx)	daniel.luba@undp.org	6/25/2021 1:55:00 PM
4	ProcurementPlan-2020_6488_313_8751_313 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ProcurementPlan-2020_6488_313_8751_313.xlsx)	daniel.luba@undp.org	6/25/2021 1:55:00 PM

14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- 3: *There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)*
- 2: The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.
- 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

Evidence:

There has been regular monitoring and recording of costs efficiencies. This is primarily done through joint activities for complementarity, and in close collaboration with government entities and development partners. Please see the previously attached annual Progress reports.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Effective

Quality Rating: Highly Satisfactory

15. Was the project on track and delivered its expected outputs?

- Yes
- No

Evidence:

The project has been on track and delivered on its expected outputs as a whole, however some activities were not included due to shift in programmatic priorities. Please see previously attached progress reports.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?

- 3: Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)
- 2: There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

Evidence:

The programme has had regular quarterly progress reviews with the Technical Committee members to review the work plan and make plans for the project. During 2020, due to less partners involved in activity implementation and the COVID-19 pandemic, there has been no technical committee meeting as of yet. The latest technical committee meeting was held in December 2019, please see attached minutes. Please see the attached

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Technicalreviewmeeting-11thOctober2019_6488_316_8751_316 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Technicalreviewmeeting-11thOctober2019_6488_316_8751_316.pdf)	daniel.luba@undp.org	6/25/2021 2:00:00 PM

17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

- 3: The project targeted specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project’s area of work. There is clear evidence that the targeted groups were reached as intended. The project engaged regularly with targeted groups over the past year to assess whether they benefited as expected and adjustments were made if necessary, to refine targeting. (all must be true)
- 2: *The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project’s area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)*
- 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- Not Applicable

Evidence:

Please see previously attached annual progress reports which give account of beneficiary selection and involvement of target groups under interventions

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Sustainability & National Ownership

Quality Rating: Highly Satisfactory

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

Evidence:

For the first phase of the RLCD programme, only national systems were used to fully implement and monitor the programme. However, since 2018, the programme has been implemented by UNDP but in very close collaboration with government partners and stakeholders who play an active and engaged role in the process. See 3rd board meeting minutes (July 2017), page 6 issue 1 for reference.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	170726RuleofLawBoardmeeting_July2017_6488_310_8751_318 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/170726RuleofLawBoardmeeting_July2017_6488_310_8751_318 .pdf)	daniel.luba@undp.org	6/25/2021 2:05:00 PM

19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation [arrangements](#)⁸ adjusted according to changes in partner capacities?

- 3: *Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)*
- 2: Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.
- Not Applicable

Evidence:

For the first phase of the RLCD programme, it was under NIM modality. However, due to delays in procurement processes, implementation etc, the programme has been implemented by UNDP under a DIM modality, but in very close collaboration with government partners and stakeholders who play an active and engaged role in the process. See 3rd board meeting minutes, page 3, and page 6 issue 1 for reference, previously attached.

List of Uploaded Documents			
#	File Name	Modified By	Modified On
No documents available.			

20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).

- 3: *The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)*
- 2: There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.
- 1: The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.

Evidence:

Through the regular project board meetings there has been continuous review of the sustainability plan of the project to ensure proper tracking.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

QA Summary/Final Project Board Comments

Project operationally closed.