

Social and Environmental Screening Template (2021 SESP)

Project Information

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1. Project Title	Leveraging Viet Nam's Social Impact Business Ecosystem in Response to COVID-19 (ISEE-COVID)
2. Project Number (i.e. Atlas project ID, PIMS+)	00116470
3. Location (Global/Region/Country)	Viet Nam
4. Project stage (Design or Implementation)	Design
5. Date	25 October 2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

The project follows a Human Rights Based Approach aiming to improve the resilience of Social Impact Businesses (SIBs) and supporting their capacity to continue to deliver essential services to vulnerable groups, which is in turn to contribute to the improvement of living standards for such groups. The main Articles under international human rights law that are applied within this project include Article 6, on the right to work, Article 11, on ensuring an adequate standard of living, including the right to a safe environment, Article 12, on the right to health, and Article 13, on the right to education, under the [International Covenant on Economic, Social and Cultural Rights \(ICESCR\)](#).

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

The project sets out to improve gender equality and women's empowerment by a) improving the capacity of SIBs, especially those led by women and vulnerable groups, on sustainable business development and financing b) Improving ecosystem of intermediaries supporting SIBs, especially those led by women and vulnerable groups c) Strengthening capacity of government policymaking that enables SIBs to be gender-responsive, inclusive and transparent. The project will start of by undertaking an in-depth analysis of gender aspects relevant to SIBs, covering the number of women-led SIBs, number of women in the SIB workforce, women with disabilities, ethnic minority women, etc. This will also serve as be as a baseline study to better design detailed activities and set targets to promote women's empowerment in the project. As a project funded by the Government of Canada, the project follows the guidelines of Canada's Feminist International Assistance Policy (FIAP). The implementation of the project will be guided by the UNDP Gender and Social Inclusion (GESI) taskforce, who will advise on strengthening gender equality under the project. The taskforce will provide technical support on the design and implementation of the project. Furthermore as the chair of the UN Governance and Justice Result Group, and an active member of the One UN Gender Thematic Group, UNDP will ensure that the project follows the UN system-wide Action Plan on Gender Equality and the Empowerment of Women, including by adopting the gender markers system to monitor the impact of the project on gender equality and women's empowerment.

Briefly describe in the space below how the project mainstreams sustainability and resilience

The project aims to enhance the resilience of SIBs in Viet Nam and contribute to reducing the impact of COVID-19 on vulnerable groups, especially women and girls. To achieve this, the project has two primary objectives: (i) improving the effectiveness of SIBs, especially those led by women and vulnerable groups, to address the social and gendered impacts of COVID-19 and to accelerate the achievement of the SDGs; and (ii) strengthening the regulatory environment for SIBs to be more gender-responsive, inclusive and transparent, thereby supporting the resilience of SIBs to COVID-19 impacts, with a particular focus on SIBs that are led by women

and vulnerable groups. The capacity building for network members and 1-on-1 coaching programs are especially designed to help intermediaries become more resilient.

The project advocates for a SIB-ecosystem supporting policy in line with the above and aims to support those intermediaries and SIBs with the most potential to grow, by helping to improve their business models and sales, as well as improving their access to finance to ensure sustainability after the project ends. As a result, the SIBs and vulnerable groups employed by them are to become more resilient to COVID-19 and other future external threats. The experimental activities to build the SIB ecosystem in selected potential provinces also aims to strengthen government capacity to create replicable, scalable, and sustainable models for successful SIB ecosystems for other locations and with other stakeholders beyond the project itself.

To ensure the sustainability of the SIB Hub and SIB intermediaries' network, the project will establish it with leading national organizations for SIB support. Experts will be engaged to help the network's management board to build their exit strategy, as well as test different business models to determine the services provided by SIB Hub that are helpful to the SIB's ecosystem members. By that, financial sustainability for the Hub and the network will be ensured.

Briefly describe in the space below how the project strengthens accountability to stakeholders

The principles of equality and inclusivity underpin all planned activities under the project. In observance of the principles of participation, inclusivity, and transparency, the project design is based on consultation with different beneficiary groups. This has been done through in-person surveys for reports, such as the [Rapid Assessment of the Socio-economic impact of COVID-19 on persons with disabilities in Viet Nam](#), the gender sensitive assessment of [COVID-19 Socio-economic Impact on Vulnerable Households and Enterprises](#), and [The Viet Nam Provincial Governance and Public Administration Performance Index \(PAPI\)](#), which gauges people's perception of issues and quality in public services. In addition, one of the main outputs of this project is to create a sustainable network of SIB intermediaries, who can represent their voices in dialogues with the government to promote SIB ecosystem development. This will also help with increasing participation, inclusivity, transparency, and accountability of the project.

Part B. Identifying and Managing Social and Environmental Risks

QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i>	QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i>			QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High
Risk Description <i>(broken down by event, cause, impact)</i>	Impact and Likelihood (1-5)	Significance (Low, Moderate, Substantial, High)	Comments (optional)	Description of assessment and management measures for risks rated as Moderate, Substantial or High
Risk 1: Climate Change and Disaster Risks	I= 1 L= 1	Low	The project sets out to strengthen the resilience of SIBs and vulnerable people. These people may in the areas have the effects of earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions	N/A: Risk level for social and environmental impact is considered low.

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Risk 2: Indigenous people The project could be implemented in areas where indigenous peoples are present	I= 2 L= 2	Low	The project sets out to support the social impact businesses led by the vulnerable groups, including the ethnic minorities people. The activities of policy experiences could be implemented in the provinces which has majority population is ethnic minorities.	Mitigation Measures: At the inception of the project, a list of criteria will be established by the project team- UNDP and AED, to ensure that the SIBs who supported by the project only create positive impacts, in line with the SESP of UNDP A baseline assessment and end-line assessment of the project will provide detailed information on the impacts of SIBs in the project area. We will ensure that the impacts of selected SIBs will follow UNDP's global criteria and standards.																									
QUESTION 4: What is the overall project risk categorization?																													
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="text-align: right;">Low Risk</td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td></td> </tr> <tr> <td style="text-align: right;">Moderate Risk</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td style="text-align: right;">Substantial Risk</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> <tr> <td style="text-align: right;">High Risk</td> <td style="text-align: center;"><input type="checkbox"/></td> <td></td> </tr> </table>					Low Risk	<input checked="" type="checkbox"/>		Moderate Risk	<input type="checkbox"/>		Substantial Risk	<input type="checkbox"/>		High Risk	<input type="checkbox"/>														
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QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)																													
Question only required for Moderate, Substantial and High Risk projects																													
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			<i>If yes, indicate overall type</i>	<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)
				<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)
				<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)
			<i>Based on identified risks, which Principles/Project-level Standards triggered?</i>	Comments (not required)	
			<i>Overarching Principle: Leave No One Behind</i>		
			<i>Human Rights</i>	X	
			<i>Gender Equality and Women's Empowerment</i>	X	
			<i>Accountability</i>	X	
			<i>1. Biodiversity Conservation and Sustainable Natural Resource Management</i>	<input type="checkbox"/>	
			<i>2. Climate Change and Disaster Risks</i>	x	
			<i>3. Community Health, Safety and Security</i>	<input type="checkbox"/>	
			<i>4. Cultural Heritage</i>	<input type="checkbox"/>	
			<i>5. Displacement and Resettlement</i>	<input type="checkbox"/>	
			<i>6. Indigenous Peoples</i>	X	
			<i>7. Labour and Working Conditions</i>	<input type="checkbox"/>	
			<i>8. Pollution Prevention and Resource Efficiency</i>	<input type="checkbox"/>	

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
QA Assessor		UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
QA Approver		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
PAC Chair		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.		
Overarching Principle: Leave No One Behind		Answer (Yes/No)
Human Rights		
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	No
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	No
<i>Would the project potentially involve or lead to:</i>		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹	No
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	No
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household Nopower dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	No
Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		

¹ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Accountability		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	No
P.14	grievances or objections from potentially affected stakeholders?	No
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	No
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	No
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	No
1.5	exacerbation of illegal wildlife trade?	No
1.6	introduction of invasive alien species?	No
1.7	adverse impacts on soils?	No
1.8	harvesting of natural forests, plantation development, or reforestation?	No
1.9	significant agricultural production?	No
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	No
1.12	handling or utilization of genetically modified organisms/living modified organisms? ²	No
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ³	No
1.14	adverse transboundary or global environmental concerns?	No
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		
2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	Yes

² See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

³ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

<i>[The project sets out to strengthen the resilience of SIBs and vulnerable people, they may live in these areas]</i>		
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters? <i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	No
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	No
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	No
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7	influx of project workers to project areas?	No
3.8	engagement of security personnel to protect facilities and property or to support project activities?	No
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	No
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4	alterations to landscapes and natural features with cultural significance?	No
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No

5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3	risk of forced evictions? ⁴	No
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)? <i>[The project sets out to create positive environmental and social impact in Ethnic Minority areas]</i>	Yes
6.2	activities located on lands and territories claimed by indigenous peoples?	No
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is “yes”, then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i>	No
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	No
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8	risks to the physical and cultural survival of indigenous peoples?	No
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	No
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	No
7.2	working conditions that may deny freedom of association and collective bargaining?	No
7.3	use of child labour?	No
7.4	use of forced labour?	No
7.5	discriminatory working conditions and/or lack of equal opportunity?	No

⁴ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	No
Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		
8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2	the generation of waste (both hazardous and non-hazardous)?	No
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	No
8.5	the application of pesticides that may have a negative effect on the environment or human health?	No
8.6	significant consumption of raw materials, energy, and/or water?	No