United Nations Development Programme Country: The Republic of Azerbaijan Project Document

Project Title	Better coordination of protection of the land border between Azerbaijan and Georgia
UNDAF Outcome(s):	By 2015, non-oil development policies result in better economic status, decent work opportunities and a healthier environment in all regions and across all social groups
Expected CP Outcome(s): (Those linked to the project and extracted from the CP)	National policies and institutions strengthened to increase private sector competitiveness, remove trade barriers, especially for exports, while reducing vulnerability of the economy and population to external shocks
Expected Output(s): (Those that will result from the project)	Operational capacities of the border agencies of Azerbaijan and Georgia are improved
Responsible party:	United Nations Development Programme
Project Beneficiary:	State Border Service of the Republic of Azerbaijan

Brief Description

Overall Objective:

The overall objective of the action is to improve operational capacities of the border agencies of Azerbaijan and Georgia by organising and carrying out coordinated operations at green border with eventual positive impact on overall security in the region and whole European Neighbourhood

Programme Period: 2020	2011-2015,	2016
Key Result Area (Strategic Plan	n)	
Atlas Award ID:	:00082207	
Start date:	28.11.2014	
End Date	28.11.2017	
PAC Meeting Date:	13 October 2	014

Total resou	rces required	3 405 337 USD
Total alloca	ted resources:	3 405 337 USD
RegulaOther:	r	
0	UNDP	133 419 USD
0	Government	412 960 USD
0	EU	2 858 958 USD
Jnfunded b	oudget:	n/a
In-kind Con	tributions	n/a
GMS: 22	2,778 USD	

Agreed by State Border Service the Republic of Azerbaijan

/ Mr. Elchin Guliyev

Head of State Border Service of the Republic of Azerbaijan

Agreed by (UNDP)

Mr. Antonius Broek

UNDP Resident Representative

Date of Signature: 28 November, 2014

1. Situation Analysis

Past and ongoing cooperation

The history of cooperation between SBS and UNDP goes back to 2010, when the Government of Azerbaijan demonstrated its firm commitment to advance IBM system in a ministerial declaration supporting ongoing SCIBM programme on 10 October 2007.

Thus and proceeding from the benefits of the introduction of IBM model in EU members' states, Azerbaijan expressed an interest to advance domestic integration among government agencies, as well as to make bilateral integration efforts with Georgia in partnership with counterparts from EU Member States (EUMS). Implementation of the EU funded IBM project entitled "Supporting integrated border management systems in the South Caucasus countries (SCIBM)" officially started in September 2008.

The main focus of the SCIBM project was improvement of the operation at the Border Crossing Points between two countries not giving much attention to the issues of the security at the green Border between them. At the same time, statistics obtained by relevant services of both countries indicate that upgrading the capacity of the BCPs has left trans-border organized crime with only change to continue its illegal operations through weak segments of the green border. Out of three main components of the IBM concept within above project, priority was given to modernization of the intra-agency command within the relevant authorities of the Republic of Azerbaijan and strengthening of the Cooperation among border agencies. In general, the overall outcome of the project implementation was quite impressive and resulted in serious improvements of the entire border management policy in the state.

Thus special chapter on the Border Security was included in National Security Concept of Azerbaijan in 2007. On November 11, 2008 President of Azerbaijan Republic signed Decree on "Application of 'one window' principle of the control of goods and transport means passing the state border check-points of the Republic of Azerbaijan". Decree assigns the State Customs Committee of Azerbaijan Republic with a leading role in implementation of a single window concept. According to Decree, Phyto - sanitary and veterinary services, medical and transport functions in the BCPs are handed over to the State Customs Committee.

Based on successful experience of SCIBM project, both countries authorities decided to develop and implement the new joint project that would assist governments of two countries to look into possibilities of the introduction of the IBM concept also at the green borders.

Problem Statement

Azerbaijani – Georgian border has five border crossing points. These border-crossing points at the border – two international motor-vehicle border crossing points, two bilateral motor-vehicle border crossing points and one international railway crossing point. The green border section is mixed in geographical character. It runs in valleys, desert, on the lake and a small section of the border lies in the high mountainous area of the Caucasus. Currently, the green border segments are protected by 2 Border Detachments operating at 20 border posts from Azerbaijani side and by three Border Police Regional Offices and 20 border sectors from Georgian side.

The border represents the old soviet administrative boundary line and is not delimited yet. The negotiations between the two countries are ongoing and only 2/3 of the border is agreed upon. Hence, the border is not demarcated either.

I. STRATEGY

Objective: The main objective of the project is development of the operational capacities of the border authorities in Azerbaijan and Georgia to create and apply mechanism of Coordinated Operations at

green border between the countries with eventual positive impact on overall security situation in the region and whole European Neighbourhood.

The output will have four components:

- Cooperation between Azerbaijani State Border Service and Georgian Border Police is strengthened.
 Operational capacities of the beneficiaries to implement coordinated activities such as observation,
 exchange of information and training is enhanced. EU best practices in the field of land border
 protection are introduced
- 2. Improved coordination of operations at selected part of the border and improved surveillance of the border
- 3. Training programs for the border guard agencies of two countries are in place and use; Pool of Trainers to conduct training according to the new training program is created.
- 4. Modern infrastructure and equipment is provided based on prior detailed needs assessment; specialised equipment is installed and operational; Border service staff in Azerbaijan is trained in the use and maintenance of the new equipment.

Project Area:

Border intersection from Kapanakhchi settlement of Zaqatala region through Qindirga settlement of Qakh region on Azerbaijani side that comes under responsibility of Shamkir Border Detachment of the State Border Service of Azerbaijan Republic and Pirosmani and Sabatlo border sectors of the Dedoplistsqaro Border Police Regional Office on Georgian side.

II. RESULTS AND RESOURCES FRAMEWORK

PROJECT RESULTS AND RESOURCES FRAMEWORK

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gramme Results and Resource Framework:	private sector competitiveness, remove trade barriers, especially for exports, while reducing
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Intended Outcome as stated in the Country/ Regional/ Global Program	1.1 National policies and institutions strengthened to increase privulnerability of the economy and population to external shocks

Outcome Indicators: Indicator: Volume of non-oil sector exports Partnership Strategy: State Border Service Baseline: 2008 - \$47.756 billion Target: \$150 billion

Project Title and ID: Better	coordination of protection of the lar	Project Title and ID: Better coordination of protection of the land border between Averhainand Commis-		
Intended Outputs	Output Targets for Years	Indicative Activities	Responsible Parties	Inputs
- store			C 01 10 1	(In USD)
Operational capacities of			***************************************	
the border agencies of	***************************************			
Azerbaijan and Georgia	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
are improved through			***************************************	
organising and carrying				
out coordinated	•••••			
operations at green			*************	
border with eventual	••••			
positive impact on overall	***************************************			
security in the region and	***************************************			
whole European	•			

Neighbourhood.			
VALUE AND	Result 1	UNDP,SBS	· ~
	Activity 1: Establishment of coordination		International and local
	mechanism and awareness raising		Por dipms - 151 207.12
	1.1 Inception Phase/Drafting Needs Assessment		Local (staff assigned to
	Report as reflected in 3.1 and 4.1/ initiation		
	and completion of staffing/ setting up Project		Conferences, Seminars,
	coordination office/ Opening Conterence		trainings - 63,532.40
	1.2 Creation and Support to the work of bilateral		Transportation - 4,574.33
	cooperation mechanism;		Office rent - 12,808.13
	1.3 Development and delivery of the specific		Office equipment and
	training programs for staff of the relevant		furniture – 10,292.25
	units;		Other services - 32,020.33
	1.4 Organizing study visits to EU MSs for the		Consumables-32,020.33
	members of the relevant units involved in the		Purchase of vehicle and
	project in order to get acquainted with the best		vehicle costs - 70,139.77
	practices of EU member States.		Visibility actions -
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1.5 Final Assessment &Closing Conference		13,510.17
			GMS-31,418.40
			Total: 480,252.58
			THE REPORT OF THE PARTY OF THE
THE PROPERTY OF THE PROPERTY O	Result 2		EU: 296,495.96
t particular de la constanta d	Activity 2: Coordinated operations at the		UNDP: 133,418.05
	selected part of the land border. Improvement of		-
	land border protection and control procedures		/Fina
	2.1 Risk assessment and development of a joint		185.717.92
	approach in strengthening the control in		Supporting Staff (ICMPD)
	identified areas;		- Project assistant -
	2.2.Implementation of coordinated approach		20,467.32
	between the relevant services on investigation of		Staffing process
HERENTAL AND THE STATE OF THE S	trans-border crimes at the state border between		(merinamana)

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TO THE PARTY OF TH	+ha castation	ICMPD)- 123,252.86
History I	בום הסמונו ובס'	Day Diam for International
***************************************	2.3. Conducting coordinated activities in the sphere	Experts and ICMPD in
***************************************	of observation and experience exchange among	
	relevant units of the border agencies of Azerbaljan	International travel for
	and deorgia.	International experts and
		ICMPD - 33,036.85
		GMS - 28,125,22
		Total - 429,914.01
THE OFFICE AND ADDRESS OF THE PROPERTY OF THE	Result 3	EU: 351,092.19
	Activity 3. Development and delivery of the	Staffing process (local
	comprehensive training program	experts)-219,060,64
	3.1 Needs analysis in Azerbaijan on current	Publications – 12,960.61
	training needs of relevant services;	Translation - 19,059.72
	3.2 Development of the Training Program (TP);	Conferences, Seminars,
	3.3 Training of Trainers (ToT) organised based on	trainings - 63,532.40
	ТР	Visibility actions -
	3.4 Bilateral and National Trainings	13,510.17
		GMS-22,968.68
		Total - 351,092.19
1997)	Result 4	SBS: 412,960.61
	Activity 4: Infrastructure and Equipment	EU: 1,731,118.17
	4.1 Needs assessment of the required additional	Border Surveillance
	infrastructure, analysis of the current equipment	equipment for green
	needs of selected land border segment;	border sectors in
	Development of the Technical Specifications for	Azerbaijan – 1,524,777.64
	the construction of the additional infrastructure;	Modernization of
	4.2 Procurement, delivery and installation of	Infrastructure at Border
	specialized equipment for border management, in	sectors - 479,034.31
	line with needs assessment and upgrade of	GMS - 140,266.84
	specialized infrastructure.	Total - 2,144,078.78
	4.3 Delivery of the training programs on use of the	
	procured equipment	

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. IV. MANAGEMENT ARRANGEMENTS

- A. <u>National Execution (NEX)</u>. The project will be nationally executed by the State Border Service (SBS). Implementation support will be provided by the UNDP Country Office (see Project Governance Arrangements below). In its capacity as Implementing Partner, the SBS will be responsible for overall project management. Besides, the SBS will be responsible for the facilitation of all project activities such as trainings for respective staff, ensuring appropriate access to project sites, relevant data, records, agencies and authorities. UNDP will provide support and services including procurement and contracting in accordance with the relevant UNDP Rules and Procedures for procurement and human resources management and RBM guidelines.
- B. <u>Project Governance Arrangements</u>. The project will have a governance structure, aligned with UNDP's new rules for Results Based Management (see Figure: Project Governance Arrangements below).
- I. <u>Project Executive Group.</u> The Project Executive Group will be the executive decision making body for the project, providing guidance to the Project Manager, and approving project revisions. It will be responsible for reviewing and updating the project risk log, issue log, lessons learnt log and the project monitoring and communication plan. The Project Executive Group will consist of three members:
- The Executive (Implementing Partner) will convene the Project Executive Group. This position will be held by the representative of the SBS;
- The Senior Supplier. This position will be held by the UNDP DRR, or a designated UNDP Development Advisor.
- The Senior Beneficiary. This position will be held by the representative of the SBS.
- ii. <u>Project Management.</u> A Project Manager will be selected through competitive process. He/she will be tasked with the day-to-day management of project activities, as well as with financial and administrative reporting. The Project Manager will be responsible for project implementation and will be guided by Annual and Quarterly Work Plans and follow the RBM standards.
- iii. <u>Project Assurance.</u> UNDP will designate a Development Advisor to provide independent project oversight and monitoring functions, to ensure that that project activities are managed and milestones accomplished.
- iv. <u>Project Support.</u> UNDP will provide financial and administrative support to the project including procurement, contracting, payments, and travel arrangements.

Summary of inputs to be provided by State Border Service of Azerbaijan and UNDP

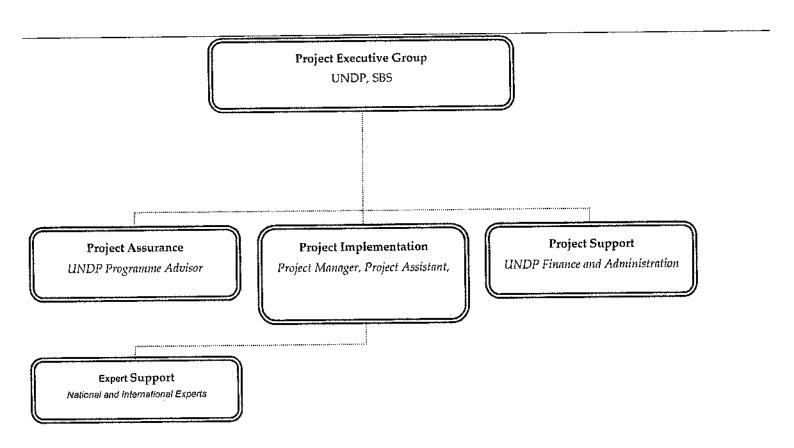
State Border Service inputs:

- 325,000 EUR will be contributed to the project by the State Border Service;
- Participation in selection of project personnel, consultants and equipment suppliers;

UNDP inputs:

- 105,000 EUR will be contributed to the project by the UNDP
- Support services in selecting international/local training consultants and staff to be recruited under the project;
- Support services in procurement and financial management;
- Participation in the organization of training activities, selection of equipment suppliers and organization of training courses:
- Access to UNDP-managed global information systems, the network of UNDP country offices and specialized systems
 containing operations information, including rosters of consultants.
- Quality control over the project outputs.

- One UNDP Programme Officer responsible for monitoring of project activities
- One UNDP Finance Officer for coordination of all financial transactions and information related to the project
- One Procurement Officer responsible for coordination of procurement of services and goods
- One HR officer responsible for recruitment of project staff



V. Monitoring Framework and Evaluation

In accordance with the programming policies and procedures outlined in the UNDP User Guide, the project will be monitored through the following:

- At least once a year, a quality assessment shall record progress towards the completion of key results, based on quality criteria and methods captured in the Quality Management table below.
- > An Issue Log shall be activated in Atlas and updated by the Project Manager to facilitate tracking and resolution of potential problems or requests for change.
- A risk log shall be activated in Atlas and regularly updated by reviewing the external environment that may affect the project implementation.
- > A Monitoring Schedule Plan shall be activated in Atlas and updated to track key management actions/events.
- Annual Review Report. An Annual Review Report shall be prepared by the Project Manager and shared with the Project Board and the Outcome Board. As minimum requirement, the Annual Review Report shall consist of the Atlas standard format for the QPR covering the whole year with updated information for each above element of the QPR as well as a summary of results achieved against pre-defined annual targets at the output level.
- Annual Project Review. Based on the above report, an annual project review shall be conducted during the fourth quarter of the year or soon after, to assess the performance of the project and appraise the Annual Work Plan (AWP) for the following year. In the last year, this review will be a final assessment. This review is driven by the Project Board and may involve other stakeholders as required. It shall focus on the extent to which progress is being made towards outputs, and that these remain aligned to appropriate outcomes.

VI. LEGAL CONTEXT

This project document shall be the instrument referred to as such in Article 1 of the SBAA between the Government of Azerbaijan and UNDP.

Consistent with the Article III of the Standard Basic Assistance Agreement, the responsibility for the safety and security of the executing agency and its personnel and property, and of UNDP's property in the executing agency's custody, rests with the executing agency.

The executing agency shall:

- a) put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
- b) assume all risks and liabilities related to the executing agency's security, and the full implementation of the security plan.

UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this agreement.

The executing agency agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm. This provision must be included in all sub-contracts or subagreements entered into under this Project Document.