

## Strengthening Parliamentarian Capacities and Key Institutions Mandated with Fighting Corruption in Bosnia and Herzegovina Project

LOGICAL FRAMEWORK FOR THE PROJECT			
OVERALL OBJECTIVE	OBJECTIVELY VERIFIABLE INDICATORS	SOURCES OF VERIFICATION	
To enhance capacities of institutions in Bosnia and Herzegovina to govern and tackle corruption	<p><u>Indicator:</u> Level of corruption in Bosnia and Herzegovina in 2016 (as compared to 2014).  <u>Baseline:</u> 80<sup>st</sup> place out of 175 countries in 2014.  <u>Target:</u> 76<sup>th</sup> place out of 175 countries in 2016.</p>	<ul style="list-style-type: none"> <li>➤ Corruption Perceptions Index, Transparency International (<a href="http://www.transparency.org/cpi2014/results">http://www.transparency.org/cpi2014/results</a>).</li> </ul>	
SPECIFIC OBJECTIVE	OBJECTIVELY VERIFIABLE INDICATORS	SOURCES OF VERIFICATION	ASSUMPTIONS
To improve governance of anticorruption initiatives in Bosnia and Herzegovina focusing on parliamentarians, the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, and other relevant institutions defined in the Anti-Corruption Strategy of Bosnia and Herzegovina.	<p><u>Indicator:</u> % of respondents who felt key institutions in Bosnia and Herzegovina were corrupt/extremely corrupt in 2016 (as compared to 2013).  <u>Baseline:</u> in 2013 67 % of respondents in Bosnia and Herzegovina felt that Parliament and Public Officials and Civil Servants are corrupt/extremely corrupt.  <u>Target:</u> Decreased % of respondents in Bosnia and Herzegovina who perceive Parliament and Public Officials and Civil Servants are corrupt/extremely corrupt in 2016 (in comparison with 2013).</p> <p><u>Indicator:</u> Level of implementation of the Anti-Corruption Strategy of Bosnia and Herzegovina by 2016.  <u>Baseline:</u> Strategy has been adopted in May, 2015.  <u>Target:</u> At least 15 % of the measures identified within the Strategy Action Plan are effectively implemented, including related to its strategic programmes 1.1, 1.3, 1.4, 1.12, 2.4, 3.2, 3.4, 3.9, 4.1, 4.3, 5.2, 5.5, and the Strategy part 9.2.7.</p>	<ul style="list-style-type: none"> <li>➤ Global Corruption Barometer (National Report for Bosnia and Herzegovina to be conducted in 2016), Transparency International (<a href="http://www.transparency.org/gc_b2013/country//?country=bosnia_and_herzegovina">http://www.transparency.org/gc_b2013/country//?country=bosnia_and_herzegovina</a>).</li> <li>➤ Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, Progress Report on Implementation of the Strategy (<a href="http://www.apik.ba">www.apik.ba</a>).</li> <li>➤ Reports on performance of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina adopted by the Parliamentary Committee for the Election and Monitoring of the Work of the for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina (<a href="https://www.parlament.ba/sadzaj/komisije/ostalo/komisija-korupcija/default.aspx?=&amp;id=41628&amp;mid=2&amp;langTag=bs-BA&amp;pril=b">https://www.parlament.ba/sadzaj/komisije/ostalo/komisija-korupcija/default.aspx?=&amp;id=41628&amp;mid=2&amp;langTag=bs-BA&amp;pril=b</a>).</li> </ul>	Participating institutions have political will to embrace and apply effective mechanisms to combat corruption.

OUTPUTS	OBJECTIVELY VERIFIABLE INDICATORS	SOURCES OF VERIFICATION	ASSUMPTIONS
<p><b>Output 1:</b> Governance of anticorruption initiatives in Bosnia and Herzegovina is improved.</p> <p><b>Output 2:</b> Anticorruption tools and practices in selected institutions in Bosnia and Herzegovina are introduced and affirmed.</p> <p><b>Output 3:</b> Audit reports are effectively used in parliamentary and prosecutorial practices.</p>	<p><u>Indicator 1.1:</u> Number of effective Anti-Corruption Strategy oversight mechanisms established and functional (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> such oversight mechanisms are non-existent (2015).</p> <p><u>Target:</u> 1 effective Anti-Corruption Strategy oversight mechanism comprising both the legislative (Parliamentary Committee) and the executive (the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina) is formally established and functional by the end of 2015.</p> <p><u>Indicator 1.2:</u> Number of Strategy oversight meetings organized annually with joint participation of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina and the relevant Parliamentary Committee (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> No such meetings were held after the establishment of the new relevant Parliamentary Committee in 2015.</p> <p><u>Target:</u> At least 2 Strategy oversight meetings/annually by the end of 2016.</p> <p><u>Indicator 1.3:</u> Number of effective cross-institutional coordination mechanisms in the area of anti-corruption led by the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina established and functional (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> Such a coordination mechanism is non-existent.</p> <p><u>Target:</u> 1 effective coordination mechanism comprising representatives from state,</p>	<ul style="list-style-type: none"> <li>➤ Reports on performance of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina adopted by the Parliamentary Committee for the Election and Monitoring of the Work of the for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina (<a href="https://www.parlament.ba/sadrazaj/komisije/ostalo/komisija-korupcija/default.aspx?=&amp;id=41628&amp;mid=2&amp;langTag=bs-BA&amp;pril=b">https://www.parlament.ba/sadrazaj/komisije/ostalo/komisija-korupcija/default.aspx?=&amp;id=41628&amp;mid=2&amp;langTag=bs-BA&amp;pril=b</a>) (for indicators 1.1, 1.2, 1.4).</li> <li>➤ Minutes from the meetings of the Parliamentary Committee (<a href="https://www.parlament.ba/sadrazaj/komisije/ostalo/komisija-korupcija/default.aspx?=&amp;id=41628&amp;mid=2&amp;langTag=bs-BA&amp;pril=b">https://www.parlament.ba/sadrazaj/komisije/ostalo/komisija-korupcija/default.aspx?=&amp;id=41628&amp;mid=2&amp;langTag=bs-BA&amp;pril=b</a>) (for indicators 1.8, 1.9 and 1.10).</li> <li>➤ Regular information and reports prepared by the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, Progress Report on Implementation of the Strategy (<a href="http://www.apik.ba">www.apik.ba</a>) (for indicators 1.1, 1.2, 1.3, 1.4, 1.6 and 1.7).</li> <li>➤ Project progress/final reports, photos and documentation (<u>all indicators</u>).</li> <li>➤ Results from a repeated capacity assessment for some segments conducted in the Agency for Prevention of Corruption and Coordination of the Fight Against</li> </ul>	<p>Parliament of Bosnia and Herzegovina is committed to membership in GOPAC.</p> <p>Political interests prevent the anchoring of new anti-corruption tools and mechanisms.</p> <p>Lengthy process of instituting new procedures/tools within institutions.</p>

	<p>entity and cantonal government levels is initiated by the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, and brings together at least 5 relevant institutions by the end of 2015. <i>Reference: Capacity Assessment Report of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, point 4.1 – Assessment Findings and Recommendations for Specific Functions.</i></p> <p><u>Indicator 1.4:</u> Number of cross-institutional coordination meetings (led by the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina and engaging other relevant law-enforcement institutions) organised (<i>linked to Output 1</i>). <u>Baseline:</u> Such coordination meetings are sporadic, while engagement of all relevant institutions is weak. <u>Target:</u> At least 3 coordination meetings by the end of 2016.</p> <p><u>Indicator 1.5:</u> Level of capacity and specific expertise of employees of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina (<i>linked to Output 1</i>). <u>Baseline:</u> Insufficient capacity of staff. <i>Reference: Capacity Assessment Report of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, point 3.2.3.</i> <u>Target:</u> Increased capacity of staff to perform specific subject-area assignments by the end of 2016.</p> <p><u>Indicator 1.6:</u> Number of staff from the Agency for Prevention of Corruption and Coordination of the Fight Against</p>	<p>Corruption of Bosnia and Herzegovina (<u>for indicators 1.5, 1.6, 1.7</u>).</p> <ul style="list-style-type: none"> <li>➤ Entry-point and post-training self-evaluation of participants (<u>for indicator 2.1, 3.5</u>).</li> <li>➤ The specialized training programmes developed under the project (<u>for indicators 2.2, 3.3, 3.4</u>).</li> <li>➤ Web-sites of the selected 5 institutions (<a href="http://www.javnenabavke.ba">www.javnenabavke.ba</a>; <a href="http://www.dkpt.ba">www.dkpt.ba</a>; <a href="http://www.granpol.gov.ba">www.granpol.gov.ba</a>; <a href="http://www.sipa.gov.ba">www.sipa.gov.ba</a>) (<u>for indicators 2.3, 2.4</u>);</li> <li>➤ Procedures to protect whistle-blowers designed, as well as relevant formal documentation (Decisions, Internal Procedures of selected 5 institutions) (<u>for indicator 2.5</u>);</li> <li>➤ Assessments of corruption-prone functions conducted for the selected institutions with the project support (<u>for indicator 2.6</u>).</li> <li>➤ Public media coverage; information posted on websites of participating institutions and other public media sources in Bosnia and Herzegovina (<u>for all indicators</u>).</li> <li>➤ Specific survey conducted by the Project in partner Prosecutor's and Audit institutions (<u>for indicators 3.1 and 3.2</u>).</li> </ul>	
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	<p>Corruption of Bosnia and Herzegovina trained and able to conduct corruption-related capacity and risks assessments in any institution (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> Employees of the Agency did not receive any specialised training on relevant subject-matters. <i>Reference: Capacity Assessment Report of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, points 3.2.3 and 3.2.10.</i></p> <p><u>Target:</u> At least 50 % of the Agency staff increased their capacity to perform assignments related to corruption-related capacity and risks assessments by the end of 2016.</p> <p><u>Indicator 1.7:</u> Number of specialised training programmes in the area of anti-corruption designed and delivered to target groups from the Agency (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> none. <i>Reference: Capacity Assessment Report of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, points 3.2.3 and 3.2.10.</i></p> <p><u>Target:</u> At least 2 specialised training programmes, each comprising a 3 5-day thematic modules are designed and delivered to the target groups by the end of 2016.</p> <p><u>Indicator 1.8:</u> Number of members of the newly-established Parliamentary Committee for the Election and Monitoring of the Work of the Prevention of Corruption and Coordination of the Fight Against Corruption having general knowledge in the area of anti-corruption (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> the general awareness and knowledge of the newly-set Parliamentary</p>		
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	<p>Commission is very limited (2015).  <i>Reference: Capacity Assessment Report of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, point 3.1.2 and 3.1.6 – General Assessment Findings and Recommendations.</i></p> <p><u>Target:</u> All members of the newly-established Parliamentary Committee have basic understanding and knowledge in the area of anti-corruption as a result of 2 thematic trainings held by the end of 2016.</p> <p><u>Indicator 1.9:</u> Number of regular meetings of the Parliamentary Committee for the Election and Monitoring of the Work of the Prevention of Corruption and Coordination of the Fight Against Corruption held annually (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> The Committee had 3 annual meetings in 2014. Since then, no meetings were held.</p> <p><u>Target:</u> At least 4 meetings held by the end of 2016.</p> <p><u>Indicator 1.10:</u> Formal membership of the Parliament of Bosnia and Herzegovina in GOPAC (<i>linked to Output 1</i>).</p> <p><u>Baseline:</u> The Parliament of Bosnia and Herzegovina is currently not a member of GOPAC.</p> <p><u>Target:</u> The Parliament of Bosnia and Herzegovina becomes a member of GOPAC by the end of 2016.</p> <p><u>Indicator 2.1:</u> Level of knowledge and capacities of selected institutions mandated to combat corruption (<i>linked to Output 2</i>).</p> <p><u>Baseline:</u> Insufficient, particularly for specialised areas. <i>Reference: Capacity Appraisal Reports of the Public Review Board, Public Procurement Agency of Bosnia</i></p>		
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	<p>and Herzegovina, Directorate for Coordination of Police Bodies of Bosnia and Herzegovina, Border Police of Bosnia and Herzegovina, State Investigation and Protection Agency.</p> <p><u>Target:</u> Level of knowledge and capacities of selected institutions mandated to combat corruption is increased by the end of 2016.</p> <p><u>Indicator 2.2:</u> Number of specialised training programmes in the area of anti-corruption designed and delivered, and number of trainees from selected institutions (<i>linked to Output 2</i>).</p> <p><u>Baseline:</u> none.</p> <p><u>Target:</u> At least 4 specialised training programmes are designed and delivered to at least 50 employees from selected 5 institutions by the end of 2016.</p> <p><u>Indicator 2.3:</u> Number of new mechanisms for reporting corruption by citizens, as well as by institution employees set in place and promoted (<i>linked to Output 2</i>).</p> <p><u>Baseline:</u> in the selected 5 institutions such are either inexistent, or non-functional.</p> <p><u>Target:</u> At least 8 new mechanisms (at least 4 for reporting corruption by citizens and at least 4 for reporting corruption by institutions' employees) are established within the 5 select relevant institutions and promoted by the end of 2016.</p> <p><u>Indicator 2.4:</u> Number of corruption signals submitted by citizens or institutions' employees within the selected 5 institutions and addressed (<i>linked to Output 2</i>).</p> <p><u>Baseline:</u> not available.</p> <p><u>Target:</u> At least 10 corruption signals submitted by citizens or institutions'</p>		
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	<p>employees within the selected 5 institutions are addressed by the end of 2016.</p> <p><u>Indicator 2.5:</u> Number of procedures to protect whistle-blowers designed and formalised within the selected institutions (<i>linked to Output 2</i>).</p> <p><u>Baseline:</u> no such procedures exist in present within the selected institutions.</p> <p><u>Target:</u> At least 4 relevant institutions design and adopt procedures to protect whistle-blowers by the end of 2016.</p> <p><u>Indicator 2.6:</u> Number of assessments of corruption-prone functions within relevant institutions conducted by APIK (<i>linked to Output 2</i>).</p> <p><u>Baseline:</u> no such assessments were conducted by APIK to date.</p> <p><u>Target:</u> 5 assessments of corruption-prone functions within the selected 5 institutions conducted jointly with the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina by the end of 2016.</p> <p><u>Indicator 3.1:</u> Number of cases where Prosecutors used Formal Audit Reports to perform their function (<i>linked to Output 3</i>).</p> <p><u>Baseline:</u> Very limited, if any. <i>Reference: Interim report, Project Phase I, Guidelines for prosecuting corruption offenses.</i></p> <p><u>Target:</u> At least 10 by the end of 2016.</p> <p><u>Indicator 3.2:</u> Number of cases when Public Audit employees submitted reports on the commission of a criminal offence (<i>linked to Output 3</i>).</p> <p><u>Baseline:</u> Very limited, if any. <i>Reference: Interim report, Project Phase I, Guidelines for prosecuting corruption offenses.</i></p>		
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	<p><u>Target:</u> At least 10 by the end of 2016.</p> <p><u>Indicator 3.3:</u> Number of specialised training programmes for Prosecutors and Auditors designed and delivered, and number of trainees from selected institutions (<i>linked to Output 3</i>).</p> <p><u>Baseline:</u> none.</p> <p><u>Target:</u> At least 6 specialised training programmes are designed and delivered to at least 30 employees from relevant institutions by the end of 2016.</p> <p><u>Indicator 3.4:</u> Number of specialised training programmes for future criminal investigators on the use of audit reports designed and delivered, and number of trainees (<i>linked to Output 3</i>).</p> <p><u>Baseline:</u> none.</p> <p><u>Target:</u> At least 5 specialised training programmes are designed and delivered to at least 30 future criminal investigators by the end of 2016.</p> <p><u>Indicator 3.5:</u> Number of members of the Committee on Finance and Budget of the House of Representatives who increase their understanding on audit reports and improve their skills to identify indicators of corruption (<i>linked to Output 3</i>).</p> <p><u>Baseline:</u> Limited knowledge by all members of the Committee. <i>Reference: Interim report, Project Phase I, Guidelines for prosecuting corruption offenses.</i></p> <p><u>Target:</u> All members of the Parliamentary Committee increase their understanding on audit reports and can identify indicators of corruption as a result of 3 thematic training sessions delivered by the end of 2016.</p>		
<b>ACTIVITIES</b>	<b>MEANS</b>	<b>OVERALL COST</b>	<b>ASSUMPTIONS</b>
<u>Activities related to Output 1:</u>			



<p><b>Activity 1.1. Foster cooperation among key institutions mandated to tackle corruption</b></p> <p>1.1.1. Organize 3 Anti-Corruption Strategy oversight meetings with representatives of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina and the Parliamentary Committee for the Election and Monitoring of the Work of the for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina.</p> <p>1.1.2. Organize 3 round-table sessions for representatives of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, prosecutors' offices and relevant law enforcement agencies.</p> <p>1.1.3. Hold 3 Anti-Corruption Strategy monitoring sessions with representatives of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina and entity/ cantonal anti-corruption bodies</p> <p><b>Activity 1.2. Strengthen capacities of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina to adopt evidence-based decisions and to conduct relevant anticorruption assessments</b></p> <p>1.2.1. Design anti-corruption capacity assessment and corruption risk assessment training programmes.</p>	<ul style="list-style-type: none"> <li>➤ Human resources and staffing;</li> <li>➤ Training and workshops;</li> <li>➤ Travel costs;</li> <li>➤ Technical assistance (consultancies and expertise);</li> <li>➤ Training design and delivery experts;</li> <li>➤ Venues and hospitality for conference, trainings;</li> <li>➤ Study visit;</li> <li>➤ Visibility;</li> <li>➤ Indirect costs.</li> </ul>	<p><b>Output 1: NOK 504,125</b></p>	<p>Open and collaborative relationships are established with all participating institutions.</p> <p>Strong and continued motivation and commitment for capacity development and introduction of new anti-corruption mechanisms on behalf of management of participating institutions.</p> <p>Continued interest and motivation for capacity development by public employees within participating institutions.</p> <p>Relevant specific subject-matter expertise is available in Bosnia and Herzegovina.</p>
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<p>1.2.2. Deliver the training to relevant representatives of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina.</p> <p>1.2.3. Conduct one capacity and risk assessment for at least 1 selected relevant institution, together with the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina.</p> <p><b>Activity 1.3. Improve capacities of Parliamentary Committee for the Election and Monitoring of the Work of the Agency for Prevention of Corruption and Coordination of the Fight Against Corruption of Bosnia and Herzegovina, through intensive anti-corruption training.</b></p> <p>1.3.1 Design the anti-corruption training programme.</p> <p>1.3.2. Deliver the anti-corruption training programme.</p> <p>1.3.3. Organise a study visit for members of the Parliamentary Committee.</p> <p><b>Activity 1.4. Instituting practices and cooperation mechanisms of the Global Organisation of Parliamentarians Against Corruption (GOPAC) within the Parliament of Bosnia and Herzegovina</b></p> <p>1.4.1. Submission of Membership Request by the Parliament to GOPAC.</p> <p>1.4.2. Organise thematic conference.</p> <p>1.4.3. Institutionalise relevant GOPAC practices and anti-corruption tools within the Parliament of Bosnia and Herzegovina.</p>			
<p><b>Activities related to Output 2:</b></p>			

<p><b>Activity 2.1 Enhance capacities of institutions mandated to combat corruption through training and capacity development.</b></p> <p>2.1.1. Design and deliver a two-day workshop for employees of selected relevant institutions on "Causes of Corruption, Corruption Manifestation and Consequences";</p> <p>2.1.2. Design and deliver one-day workshop for employees of selected relevant institutions on "Conflict of interest".</p> <p>2.1.3. Design and deliver a two-day workshop for selected institutions on "Application of Preventive Anti-Corruption Measures and Tools".</p> <p>2.1.4. Design and deliver a two-day workshop for selected institution on "Corruption Reporting".</p> <p><b>Activity 2.2 Institute anticorruption tools and measures in selected institutions (Public Review Board, Public Procurement Agency of Bosnia and Herzegovina, Directorate for Coordination of Police Bodies of Bosnia and Herzegovina, Border Police of Bosnia and Herzegovina, State Investigation and Protection Agency)</b></p> <p>2.2.1. Establish mechanisms for reporting corruption by citizens, as well as by institution employees.</p> <p>2.2.2. Design and anchor procedures to protect whistle-blowers within institutions.</p> <p>2.2.3. Conduct assessment of corruption-prone functions within relevant institutions (for 5 selected institutions).</p>		<p><b><u>Output 2: NOK 184,750</u></b></p>	
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<p><b>Activities related to Output 3:</b></p> <p><b>Activity 3.1. Organise training sessions for Prosecutors and Investigators on the use of audit reports.</b></p> <p>3.1.1. Design 3 thematic training programmes;</p> <p>3.1.2. Deliver the training programmes to the target groups.</p> <p><b>Activity 3.2. Organise training programmes for Auditors on understanding of (anti-corruption) criminal legislation</b></p> <p>3.2.1. Design the 3 thematic training programmes;</p> <p>3.2.1. Deliver the training programmes to the target groups.</p> <p><b>Activity 3.3 Organise training sessions for parliamentarians (members of Committee on Finance and Budget, House of Representatives) on Audit reports and corruption</b></p> <p>3.3.1. Design the 3 training programmes;</p> <p>3.3.2. Deliver the training programmes to the target groups.</p> <p><b>Activity 3.4 Training of future criminal investigators on the use of audit reports</b></p> <p>3.3.1. Design the 5 training programmes;</p> <p>3.3.2. Deliver the training programmes to the target groups.</p>		<p><b><u>Output 3: NOK 207,051</u></b></p>	
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