

## OFFLINE RISK LOG

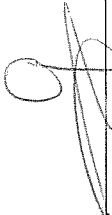
(see Deliverable Description for the Risk Log regarding its purpose and use)

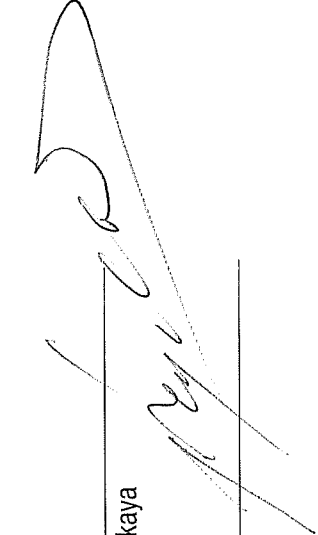


**Project Title:** Initial Implementation of Accelerated HCFC Phase Out in the CEIT Region | **Award ID:** 00070086 | **Date:** 15.07.2014

#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Mngt response	Owner	Submitted, updated by	Last Update	Status
1.	Actual Project Implementation period is significantly shorter, i. e. 27 months, than the period specified in the work plan implementation schedule of the project document, which amounts to 36 months.	September 2013	Organizational	Non-completion of planned project activities by the actual closing date of the project P = 4 I = 4	Ensuring that request for project extension is duly prepared and submitted before the project closing date so that there was no suspension in implementation of project activities.	Project Manager	Project Manager	July 2014	No change
2.	Low sustainability of Interagency coordination, key government actors/institutions are not fully engaged and committed to the project strategy	December 2013	Organizational Political	Impossibility to implement the project P=2 I=5	Ensuring that representatives from key government institutions participate in Project Board meetings.	Project Manager	Project Manager	July 2014	No change
3.	Funding gap for implementation of technological conversions on ODS for solvents and rigid foams	January 2014	Operational	Impossibility to achieve project targets P=3 I=4	Co-financing commitments from Maz-Kupava (foam product manufacture) and Atlant / David Gorodok (solvent users) were received at the project preparation stage and confirmed at the Project Inception Workshop. Discharge of obligations on co-financing (local design, construction and maintenance works) will be subject of rigorous monitoring	Project Manager	Project Manager	July 2014	No change
4.	Limited local companies' interest and/or capacity to co-finance and implement demonstration projects on the use of low GWP alternative technologies, modernization of HCFC-re-	January 2014	Operational	Impossibility to achieve project targets P = 3 I = 4	Provision of information to local companies on economic and environmental benefits of participation in demo projects is ensured through awareness-raising activities	Project Manager	Project Manager	July 2014	No change

#	Description	Date Identified	Type	Impact & Probability	Countermeasures / Mngt response	Owner	Submitted, updated by	Last Update	Status
5.	use scheme in the country and pilot small-scale ODS destruction Success of pilot projects on low GWP alternative cooling technologies is not maintained after the project termination and is not replicated to other sites	January 2014	Operational	Impossibility to achieve project targets P = 2 I = 3	Pilot projects selection for demonstration of natural cooling technologies will be based on: i) developing of design option in strategic areas of economy of the country, ii) working with the experienced local companies; and iii) ensuring there are economic and ecological benefits. Together, these elements should ensure the sustainability and reliability of the pilot projects	Project Manager	Project Manager	July 2014	No change
6.	Supplied equipment is not adequately maintained and used by the companies	January 2014	Operational	P = 2 I = 3	Use and maintenance of the equipment will be subject of rigorous monitoring	Project Manager	Project Manager	July 2014	No change
7.	Failure to ensure compatibility and effective work of the sandwich panel manufacturing line at MAZ-Kupava plant as a whole, because of separate contracting suppliers, one - of the foaming part (to be procured by UNDP) and the other - pressing part (to be procured by the plant).	June 2014	Operational	Impossibility to achieve project target of phasing HCFC-141b out of the manufacturing process at MAZ-Kupava plant P = 5 I = 5	Negotiations with the plant authorities on making arrangements to contract the Vendor to supply the pressing part by the plant directly based on the contract awarding results achieved by UNDP.	Project Manager	Project Manager	July 2014	Critical


  
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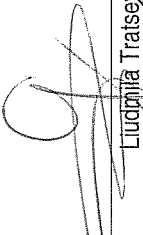
**OFFLINE ISSUES LOG**  
(see *Deliverable Description* for the Issues Log regarding its purpose and us)

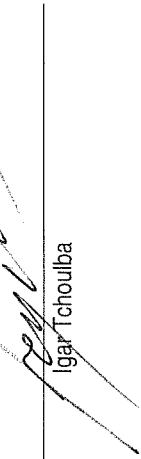


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#	Description	Date Identified	Type	Impact & Priority	Countermeasures / Mitig response	Owner	Submitted, updated by	Last Update	Status
1	Budget allocated for PIU staff salaries is not enough to cover salaries of 4 positions till the end of Project duration period.	August 2013	Request for Change	Underperformance of PIU. Priority = 4	Salary of a Procurement Assistant in 2014 is to be paid under funds allocated to Local Consultants under Activity 2. Project Budget for 2015 is to be revised taking into account savings under Activity 4.	Project Manager	Project Manager	July 2014	Pending
2	State Customs Committee request to procure two chromatography spectrometers instead of the analytical and office equipment stipulated in the Project Document.	November 2013	Request for Change	Budget overrun for this activity, underperformance of Project targets Priority = 5	Project Steering Committee approved procurement of 1 gas chromatography spectrometer. Funds are re-allocated to this procurement due to the budget savings resulting from the obligations undertaken by the Customs Committee to implement training activities at their own costs with no risk to intended project outputs.	Project Manager	Project Manager	July 2014	Solved
3.	MNREP does not specify the design of the Registry of Refrigeration Equipment, Air Conditioning Systems, Technological Processes Using HCFC	15 January 2014	Problem	It might lead to delays in duly development of the Register by PIU Priority = 5	Provide project projections on the design of the Register	Project Manager	Project Manager	July 2014	Pending
4.	UNDP Legal Office did not approve tender document including the part of the manufacturing line to be paid by MAZ-Kupava	June 2014	Problem	Separate procurement procedures could result in contracting different Vendors. In such case risk arises to fail to ensure compatibility and effective operation of both	Amend tender document as appropriate in order to procure the part of the equipment to be paid under the project funds. Negotiate the plant	Project Manager	Project Manager	July 2014	Pending

	plant. Implementing procurement activities on behalf of the plant was considered to be not within UNDP common rules and policies.			parts. Priority = 5	authorities on further actions to be undertaken by their party to achieve the best result.				
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