

Social and Environmental Screening Template (2021 SESP Template, Version 1)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

Project Information	
1. Project Title	Projet d'Appui au renforcement de la riposte nationale au VIH et à la Tuberculose
2. Project Number (i.e. Atlas project ID, PIMS+)	Project ID : 00129730/ Output ID : 00123299
3. Location (Global/Region/Country)	République du Congo
4. Project stage (Design or Implementation)	Implemenation
5. Date	06 janvier 2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

Le projet a une couverture nationale et cible au tant la population générale que des groupes spécifiques pour chacune des maladies. Toutes les couches de la population rurale comme urbaine, hommes, femme et enfants, sans aucune distinction ni discrimination liée à l'âge, au sexe, à la croyance, à l'appartenance géographique ou à un groupe ethnique ou tous autres considérations sont concernées. Il faut préciser que pour les deux maladies, les populations clés et les groupes vulnérables sont spécifiquement ciblés. Les interventions du projet respectent les considérations d'éthique et garantissent un accès équitable à des soins non discriminatifs avec des schémas conformes aux recommandations nationales et internationales. Les produits de santé sont pré qualifiés par l'OMS. L'accès aux soins préventifs et curatifs sont offerts aussi bien en milieu urbain qu'au niveau rural.

Des interventions spécifiques sont prévues en vue de lever les barrières et réduire les obstacles liés aux droits humains qui entravent l'accès aux services de lutte contre le VIH et la Tuberculose.

Le Comité de Coordination Nationale qui est le comité de pilotage du projet, intègre les personnes affectées par les maladies, des organisations membres de la société civile permettant ainsi la prise en compte des préoccupations des communautés concernées. Par ailleurs les départements ministériels clés sont représentés dans ledit comité.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

Le projet comprend des activités spécifiques de protection de la femme qui favorisent l'égalité des sexes. Il s'agit notamment de :

- La réduction les obstacles liés au genre qui entravent l'accès aux services de lutte contre le VIH et la tuberculose ;
- La lutte contre la stigmatisation, la discrimination et la violence envers les populations clés qui inclue les professionnelles du sexe,
- La prévention et prise en charge des violences sexuelles ;
- La prévention de l'infection VIH chez les femmes en âge de procréer ;
- La prévention des grossesses non désirées chez les femmes vivant avec le VIH.

Par ailleurs, des interventions en lien avec la transmission du VIH de la mère à l'enfant visent les femmes en âge de procréer, adolescentes et jeunes filles, femmes enceintes et vulnérables y compris les PS, femmes allaitantes, femmes enceintes vivant avec le VIH et leurs conjoints et les nouveau-nés de mères séropositives et leur fratrie.

Briefly describe in the space below how the project mainstreams sustainability and resilience

Le programme intègre la dimension de préservation de l'environnement.

Le projet génère au niveau hospitalier de nombreux déchets biomédicaux qui sont collectés, triés et traités en dehors du circuit des déchets domestiques et détruits selon des normes qui respectent l'environnement. La gestion des déchets générés par les interventions du projet, prend en compte la limitation des suremballages plastiques, mais particulier les déchets infectieux ou hautement infectieux qui sont incinérés selon les normes en vigueur dans les milieux médicalisés.

Les produits de santé périmés ou endommagés sont détruits selon les procédures en vigueur dans le pays mises en place par la Direction du médicament de la pharmacie qui tiennent compte de la sauvegarde de l'environnement. En fin il faut signaler que le projet a un programme de promotion de l'utilisation des préservatifs et des lubrifiants et la gestion de ces derniers après utilisation est prise en compte dans la formation des pairs éducateurs et la sensibilisation des bénéficiaires.

Briefly describe in the space below how the project strengthens accountability to stakeholders

Le projet prévoit de renforcer l'engagement des parties prenantes clés à travers entre autres l'animation efficace des cadres de concertation et de suivi inclusifs et performants. Par ailleurs, dans le cadre de la gestion du projet, les parties prenantes feront partie du Comité de Pilotage mis en place afin de d'échanger autour de la mise en œuvre du projet. Elles seront informées du mécanisme de responsabilisation et y auront accès

Part B. Identifying and Managing Social and Environmental Risks

QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i>	QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i>			QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High
Risk Description (broken down by event, cause, impact)	Impact and Likelihood (1-5)	Significance (Low, Moderate, Substantial, High)	Comments (optional)	Description of assessment and management measures for risks rated as Moderate, Substantial or High
Risque 1 : Gestion des déchets biomédicaux	I = 3 L = 1	Modérée	Bien que modéré, le risque est contrôlé par le mécanisme mis en place pour leur gestion.	A priori, Les déchets biomédicaux sont collectés, triés et traités en dehors du circuit des déchets domestiques et détruits selon des normes qui respectent l'environnement
Risque 2 : Gestion des déchets issus de l'Utilisation des préservatifs et lubrifiants.	I = 3 L = 1	Modérée	Bien que modéré, le risque est contrôlé par la formations des pairs éducateurs et des utilisateurs sur les modalités de de leur gestion.	Au cours des interventions pour la promotion de l'utilisation des préservatifs, un accent sur la gestion des déchets de manière à ne pas polluer dans l'environnement.
Risque 3 : Gestion des produits périmés ou endommagés		Modérée	Bien que modéré, le risque est contrôlé par les mécanismes mis en place	Les produits périmés ou endommagés sont détruits selon des normes et procédures en vigueur dans le pays et qui respectent l'environnement.

			pour une gestion correcte des stocks de manière à éviter les péremptions et les dommages.	
QUESTION 4: What is the overall project risk categorization?				
	Low Risk	<input type="checkbox"/>		
	Moderate Risk	<input type="checkbox"/>	Risques potentiels identifiés et adressés à priori	
	Substantial Risk	<input type="checkbox"/>		
	High Risk	<input type="checkbox"/>		
QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)				
Question only required for Moderate, Substantial and High Risk projects				
	<u>Is assessment required? (check if "yes")</u>	<input type="checkbox"/>		Status? (completed, planned)
	<i>if yes, indicate overall type and status</i>		<input type="checkbox"/>	Targeted assessment(s)
			<input type="checkbox"/>	ESIA (Environmental and Social Impact Assessment)
			<input type="checkbox"/>	SESA (Strategic Environmental and Social Assessment)
	<u>Are management plans required? (check if "yes")</u>	<input type="checkbox"/>		
	<i>if yes, indicate overall type</i>		<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)
			<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)
			<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)
	<u>Based on identified risks, which Principles/Project-level Standards triggered?</u>		Comments (not required)	
	<i>Overarching Principle: Leave No One Behind</i>			
	<i>Human Rights</i>	<input type="checkbox"/>	Les mesures prises par le projet sont conformes aux normes en vigueur dans le pays et respectent les droits de l'homme.	
	<i>Gender Equality and Women's Empowerment</i>	<input type="checkbox"/>	Le projet a planifié plusieurs interventions en faveur des femmes, des jeunes filles et des adolescentes aussi bien en milieu urbain qu'en milieu rural de manière à leur faciliter l'accès aux services de prévention et de prise en charge du VIH et de la tuberculose.	

	Accountability	<input type="checkbox"/>	
	1. Biodiversity Conservation and Sustainable Natural Resource Management	<input type="checkbox"/>	Le projet ne constitue pas un risque ni pour la biodiversité ni pour les ressources naturelles. Les procédures de gestion des déchets en place respectent l'environnement.
	2. Climate Change and Disaster Risks	<input type="checkbox"/>	
	3. Community Health, Safety and Security	<input type="checkbox"/>	
	4. Cultural Heritage	<input type="checkbox"/>	
	5. Displacement and Resettlement	<input type="checkbox"/>	
	6. Indigenous Peoples	<input type="checkbox"/>	
	7. Labour and Working Conditions	<input type="checkbox"/>	
	8. Pollution Prevention and Resource Efficiency	<input type="checkbox"/>	La prévention de la pollution est prise en compte par le projet qui intègre la récupération et la destruction des déchets biomédicaux dans les structures de santé équipées équipés à cet effet.

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
QA Assessor		UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have "checked" to ensure that the SESP is adequately conducted.
QA Approver		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have "cleared" the SESP prior to submittal to the PAC.
PAC Chair		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
<p>INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.</p>		
Overarching Principle: Leave No One Behind		Answer (Yes/No)
Human Rights		
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	Non
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	Non
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	Non
<i>Would the project potentially involve or lead to:</i>		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	Non
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹	Non
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	Non
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	Non
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	Non
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	Non
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	Non
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	Non
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	Non
Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		

¹ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Accountability		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	Non
P.14	grievances or objections from potentially affected stakeholders?	Non
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	Non
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	Non
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	Oui
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	Non
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	Non
1.5	exacerbation of illegal wildlife trade?	Non
1.6	introduction of invasive alien species?	Non
1.7	adverse impacts on soils?	Non
1.8	harvesting of natural forests, plantation development, or reforestation?	Non
1.9	significant agricultural production?	Non
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	Non
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	Non
1.12	handling or utilization of genetically modified organisms/living modified organisms? ²	Non
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ³	Non
1.14	adverse transboundary or global environmental concerns?	Non
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		
2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	Non
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters?	Non

² See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

³ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

	<i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	Non
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	Non
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	Oui
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	Oui
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	Non
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	Non
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	Oui
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	Oui
3.7	influx of project workers to project areas?	Oui
3.8	engagement of security personnel to protect facilities and property or to support project activities?	Non
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	Non
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	Non
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	Non
4.4	alterations to landscapes and natural features with cultural significance?	Non
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	Non
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	Non
5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	Non

5.3	risk of forced evictions? ⁴	Non
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	Non
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)?	Oui
6.2	activities located on lands and territories claimed by indigenous peoples?	Non
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is “yes”, then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i>	Non
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	Non
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	Non
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	Non
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	Non
6.8	risks to the physical and cultural survival of indigenous peoples?	Non
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	Non
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	Non
7.2	working conditions that may deny freedom of association and collective bargaining?	Non
7.3	use of child labour?	Non
7.4	use of forced labour?	Non
7.5	discriminatory working conditions and/or lack of equal opportunity?	Non
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	Non
Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		

⁴ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	Non
8.2	the generation of waste (both hazardous and non-hazardous)?	Oui
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	Non
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	Non
8.5	the application of pesticides that may have a negative effect on the environment or human health?	Non
8.6	significant consumption of raw materials, energy, and/or water?	Non