

United Nations  Nations Unies

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REFERENCE: 15-RR-UDP-004

27 April 2015


Dear Mr. Toure,

Thank you for your request on 23 March 2015 for funding from the Rapid Response window of the Central Emergency Response Fund (CERF). I have the pleasure to inform you that the United Nations Development Programme (UNDP) project titled "*UNDSS Security Support for Humanitarian Operations*" has been approved. The total amount of funding granted toward life-saving activities within the project is US\$199,837. This amount includes any indirect programme support costs, which should not exceed 7% of the direct project amount.

In order to proceed with the disbursement of the grant, I would be grateful if your office would counter-sign below this letter and return it to the CERF secretariat ([cerf@un.org](mailto:cerf@un.org)) to ensure prompt disbursement of funds to the bank account referred to in the Letter of Understanding (LoU) concluded by the United Nations Office for the Coordination of Humanitarian Affairs (OCHA) and UNDP on 6 June 2011.

By signing below, UNDP accepts these funds and accountability for the use of these funds as described in the project application and under the terms set out in the above-mentioned LoU.

Yours sincerely,



Valerie Amos

Under-Secretary-General for Humanitarian Affairs  
and Emergency Relief Coordinator

Mr. Daouda Toure  
Resident Representative  
United Nations Development Programme  
Nigeria

Name and Title of Agency Designated Representative: \_\_\_\_\_

Signature of Agency Designated Representative: \_\_\_\_\_

Date: \_\_\_\_\_

Agencies should submit **individual project proposals** per sector/cluster. For example, one agency would submit two separate individual proposals for WASH and for health. **Joint sector/cluster proposals** are acceptable only when agencies are jointly implementing the activities for the same caseload in the same location. Otherwise, please submit separate agency proposals.

**III. AGENCY PROJECT PROPOSALS**

1. Requesting agency or agencies	UNDSS
2. Project title	UNDSS Security Support for Humanitarian Operations
3. Cluster/sector	Security
4. Geographic areas of implementation targeted with CERF funding (by first and second level administrative divisions – please be specific) <sup>1</sup>	Borno and Adamawa States.

5. Implementation start date of CERF-funded activities (rapid response projects only)  
For rapid response projects the implementation deadline is six months from the date of disbursement or, where specified, from the start date provided below (which must not be earlier than six weeks prior to the disbursement date).

a. Will implementation of the CERF-funded activities start prior to disbursement of funds?  Yes  No

b. If "Yes" please provide start date (day/month/year):

Funding (USD)	6a. Total requirement for agency's sector response to current emergency For <u>rapid response</u> requests, this refers to the funding requirements of the requesting agency in the prioritized sector for this specific emergency and the new emergency response phase only. For <u>underfunded emergency</u> requests, this refers to the agency's funding requirements for the corresponding activities in the SRP. If SRP project exists, use the project requirement. Where no SRP exists, 'total project requirement' should reflect the funding requirements of the requesting agency for its humanitarian programme in the prioritized sector for the targeted geographical location. For <u>joint projects</u> , please break down by agency this and the following amounts (6b and 7).	US\$ 2,409,684
	6b. Total project funding received so far Indicate the amount received against the total indicated in 6a above.	US\$ 0
	7. Total amount of CERF funding requested The total requested from the CERF should not be 100% of the total budget for this project, as CERF funding should be complemented by other funding sources. Normally, CERF contributes to a limited amount of the total project requirement to jump-start an emergency response.	US\$ 199,837

8a. Total number of individuals (girls, boys, women and men) <u>directly</u> targeted with CERF funding <sup>2</sup>  Indirect support to vulnerable populations through the enabling of humanitarian operations.		<b>Female</b>	<b>Male</b>	<b>Total</b>
	< 18 years			
	≥ 18 years			
	<b>Total</b>			

<sup>1</sup> Please be specific with the target areas of this project. First administrative level can be provinces, states, governorates or regions. Second administrative level can be districts, prefectures, municipalities, cantons or townships.

<sup>2</sup> Please count only the individuals benefitting directly from this CERF grant. The population benefitting indirectly from this CERF funding should not be included, although the country team may choose to describe the additional benefits of the project in section 10 of the proposal.

<p><b>8b. Beneficiary profile</b></p> <p>In addition to the breakdown by girls, boys, women and men above, please provide a beneficiary profile by category. Totals must match. Please do not change the categories and do not add additional lines.</p> <p>"Other affected people" includes people affected by natural disaster or conflict who have not been displaced and are not hosting refugees or IDPs, or people affected in any other way.</p>	<b>Category</b>		<b>Number of people</b>
	Refugees		
	IDPs <sup>3</sup>		
	Host population		
	Other affected people		
	<b>Total (same as in 8a)</b>		
<p><b>9. Humanitarian Response Plan project code, ranking, and objectives</b></p> <p><b>Mandatory to provide where an appeal with projects exists</b></p> <p>For countries with an HRP: If applicable, please list the HRP cluster objectives and strategic objectives to which this CERF project will contribute.</p> <p>Where more than one HRP project code applies to a single CERF project proposal, please also specify the amount of CERF funding requested against each HRP project code (add lines as required).</p> <p>For <u>joint projects</u>, please indicate the agency for each HRP project.</p>	HRP Project Code	HRP Priority Ranking	CERF funding for project
	NGA-15/S/78121/5139		US\$
			US\$
	<p>HRP Cluster Objectives (if applicable, please specify the cluster):</p> <ul style="list-style-type: none"> <li>•</li> <li>•</li> </ul> <p>HRP Strategic Objectives (if applicable):</p> <ul style="list-style-type: none"> <li>• Track and analyse risk and vulnerability, integrating findings into humanitarian and development programming.</li> <li>• Support vulnerable populations to better cope with shocks by responding earlier to warning signals, by reducing post-crisis recovery times and by building capacity of national actors.</li> <li>• Deliver coordinated and integrated life-saving assistance to people affected by emergencies</li> </ul>		

**10. Priority humanitarian needs in the sector:** What are the time-critical needs in the sector? For rapid response, what are the new or increased humanitarian needs? Why is this sector prioritized in the CERF application?

The escalation of the armed conflict in the North East is leading to massive displacement of the population fleeing the combat zones to other parts of Nigeria and to neighboring countries. Boko Haram atrocities have led to dire humanitarian conditions within and beyond Nigeria necessitating lifesaving humanitarian interventions. Due to the volatile security conditions on the ground, humanitarian access is limited. The additional and dedicated UNDSS security capacity is required to improve the safety and security of humanitarian operations and enable critical life-saving deliveries to reach populations at risk.

**11. Overall sectoral response:** How is your agency (or the sector/cluster) responding to the needs? What is the target population? What are the overall outputs?

The expanded humanitarian operations in the targeted states are taking place in areas prone to security challenges attributed to the Boko Haram insurgency that is also restricting humanitarian access. Under the proposed project, UNDSS will be able to provide additional security management support with the objective to increase the humanitarian access to areas where lifesaving interventions are required. UNDSS coordinated security arrangements will be targeted to minimize risk to program delivery of UN humanitarian organisations, as well as INGO partners under the 'Saving Lives Together' framework.

<sup>3</sup> IDPs are defined as "persons [...] who have been forced or obliged to flee or to leave their homes or places of habitual residence, in particular as a result of or in order to avoid the effects of armed conflict, situations of generalized violence, violations of human rights or natural or human-made disasters, and who have not crossed an internationally recognized state border" (UN Guiding Principles on Internal Displacement).

12. CERF project (1 page text plus results framework): What will your agency accomplish with CERF funds? Who is the target population? What are the specific outputs?

**12a. Summary of CERF project**

The proposed CERF project will enhance the UNDSS security capacity in North East Nigeria through the establishment of UNDSS offices in Yola and Maiduguri. The offices will be staffed through 'surge' deployments of Field Security Coordination Officers and temporary missions of local UNDSS personnel. The project aims to expand security support for humanitarian operations under high-risk conditions in North East Nigeria with the objective to increase humanitarian access to areas where lifesaving interventions are required. The additional UNDSS presence will develop security awareness, identify security threats, analyze such threats in a timely manner, recommend security measures and procedures, and provide operational security support. The overall objective is to increase the access of humanitarian personnel to vulnerable populations.

**12b. CERF project results framework**

CERF Project Results Framework			
<b>Project objective</b>	To provide dedicated security support for the expanded lifesaving humanitarian operations in North Eastern Nigeria for a period of four months.		
<b>Outcome statement</b>	Security support provided to UN humanitarian workers and their implementing partners to fulfil their mandates in a more safe and secure fashion.		
<b>Output 1</b>	Increased security information sharing and awareness in support of humanitarian operations in north-eastern Nigeria		
Output 1 Indicators	Description	Baseline	Target
Indicator 1.1	Enable effective delivery of humanitarian aid through provision of quality security risk assessments (SRA) and analytical reports and advisories	0	8 SRAs to be conducted and 16 analytical reports and advisories issues
Indicator 1.2	Increase security information sharing and cooperation on security issues through regular security briefings at UN Area Security Management Team (ASMT) and INGOs meetings	0	40 briefings provided at ASMT (10) and INGO meetings (30)
Indicator 1.3	Ensure situational awareness and effective operational planning through provisions of security reports (daily, weekly, alerts)	0	88 daily situation reports & 28 weekly security reports to be issued + alerts in a timely manner when required
Output 1 Activities	Description	Implemented by <sup>4</sup>	
Activity 1.1	Conduct Security Risk Assessments and security analysis - compile and distribute respective documents	UNDSS FSCO	
Activity 1.2	Establish and hold regular security briefings at UN and INGO meetings – built effective security cooperation through networking	UNDSS FSCO	
Activity 1.3	Establish effective security information collection and reporting mechanisms. Compile Daily Sitreps, Weekly reports and alerts - and share these effectively.	UNDSS FSCO	

<sup>4</sup> For joint projects, please indicate for each activity, which agency is responsible, even if the activity is to be implemented by a partner.

<b>Output 2</b>		Security training and operational support to humanitarian organizations operating in north-eastern Nigeria	
Output 2 Indicators	Description	Baseline	Target
Indicator 2.1	Improve security coordination and management in Yola and Maiduguri through UNDSS presence & increased security capacity		Establishment of UNDSS offices and cooperation with UN and INGOs operating in the area
Indicator 2.2	Increase security awareness of personnel of humanitarian organisations through targeted training	0	160 humanitarian staff trained
Indicator 2.3	Provision of timely operational security support to humanitarian organisations in Borno and Adamawa States	0	32 field support missions scheduled and conducted as per requirements of humanitarian organisations (priorities to be coordinated by OCHA)
Output 2 Activities	Description	Implemented by	
Activity 2.1	Establish UNDSS office in Yola and Maiduguri	UNDSS	
Activity 2.2	Conduct of 8 Security Awareness Training (SAT) courses	UNDSS FSCO	
Activity 2.3	Conduct 32 field security support and assessment missions	UNDSS FSCO	

<b>Gender Marker (as in HRP)</b>	<b>Description and Justification</b>
<input checked="" type="checkbox"/> NA – Not applicable <input type="checkbox"/> 0 – Gender Not Reflected <input type="checkbox"/> 1 – Limited Gender Consideration <input type="checkbox"/> 2a – Gender Mainstreaming <input type="checkbox"/> 2b – Targeted Gender Action	

<b>Gender-based violence</b>	<b>Description and Justification</b>
Does this project consider gender-based violence (GBV) in its design? <input type="checkbox"/> Yes, its main objective focuses on GBV <input type="checkbox"/> Yes, it has a GBV component <input checked="" type="checkbox"/> No	

12. Implementation Plan: When will the activities be carried out? By whom? How will the project be coordinated with other projects or sector/clusters? How will the activities be monitored?

Surge deployments of international DSS FSCOs will be coordinated by DSS HQ – deployment on several rotations as operationally required. All efforts will be made to provide continued and sustained security support to humanitarian activities and to use the funds in optimum manner.

Office space, road transport and other logistics requirements for the project personnel will be procured through UNDP Nigeria as per established UNDSS-UNDP MOU.

Project personnel will be integrated in the existing DSS and UN Security Management System structure and supervised by current DSS staff.

12a. Implementation timeframe

<b>CERF Project Implementation Plan</b>									
	Project duration								
	May 2015	June 2015	July 2015	August 2015	Month 5	Month 6	Month 7	Month 8	Month 9
	Rapid Response Implementation Period								
	Underfunded Implementation Period								
<b>Output 1</b>									
Activity 1.1									
Activity 1.2									
Activity 1.3									
<b>Output 2</b>									
Activity 2.1									
Activity 2.2									
Activity 2.3									

12b. What are the implementation arrangements? Who are the implementing partners? What is the coordination structure?

Surge deployments of International DSS FSCOs will be coordinated by UNDSS HQ. Deployment on several rotations as operationally required. All efforts will be made to provide continued and sustained security support to the expanded lifesaving humanitarian intervention in the targeted north-eastern states.

The surge personnel will be integrated into the existing DSS and UN Security Management System structure and supervised by the CSA assisted by the DSA.

12c. How will implementation be monitored, evaluated and reported on?

UNDSS Nigeria will provide monthly reports to DSS HQ and CERF on the:

- Security Risk Assessments conducted;
- Security Awareness Training provided (events, organisations attending, and number of participants);
- security briefings provided (and organisations attending);
- field security assessment missions conducted, listing locations;
- ASMT meetings held;
- Meetings with INGO forum;
- Analytical reports provided;
- Weekly security reports provided;
- Daily Situation Reports produced;
- Deployments of surge FSCOs to field locations in the targeted states.

The CSA will monitor and evaluate:

- Timeliness and quality of security assessments and document any delays and shortcomings.
- Feedback from the humanitarian partners on the quality of services provided.

Regular information sharing between the HCT, DSS and the I/NGOs will be channeled through the HCT and UNDSS monthly meeting with the INGOs.

Budget Lines	Cost Breakdown			
	Unit	Quantity	Unit Cost	Total (USD)
<b>A. Staff and Other Personnel Costs</b> (please itemize costs of staff, consultants and other personnel to be recruited directly by the agency for project implementation)				
2 Field Security Coordination Officers (FSCOs) at P-3 level on surge deployment (including entitlements and danger pay) <sup>5</sup> x 4 months	months	8	13,500.00	108,000
<b>Sub-Total A:</b>				<b>108,000</b>
<b>B. Supplies, Commodities, Materials</b> (please itemize direct and indirect costs of consumables to be purchased under the project, including associated transportation, freight, storage and distribution costs)				
<b>Sub-Total B:</b>				
<b>C. Equipment</b> (please itemize costs of non-consumables to be purchased under the project)				
Projector	each	2	1,000.00	2,000
Printer	each	2	300.00	600
<b>Sub-Total C:</b>				<b>2,600</b>
<b>D. Contractual Services</b> (please list works and services to be contracted under the project)				
<b>Sub-Total D:</b>				
<b>E. Travel</b> (please itemize travel costs of staff, consultants and other personnel for project implementation)				
Air tickets for FSCOs (2 x 2-month rotation) <sup>6</sup>	each	2	2,000.00	4,000
DSA @ Elsewhere rate (2 FSCOs x 120 days)	days	240	86.00	20,640
Terminal for FSCOs (2 x 2-month rotation)	each	4	152.00	608
Local tickets for 2 LSAs	each	8	400.00	3,200
DSA @ Elsewhere rate for 2 LSAs	days	240	86.00	20,640
DSA @ Elsewhere rate for 2 Drivers, 8 days each	days	16	86.00	1,376
<b>Sub-Total E:</b>				<b>50,464</b>
<b>F. Transfers and Grants to Counterparts</b> (please list transfers and sub-grants to project implementing partners)				
<b>Sub-Total F:</b>				
<b>G. General Operating and Other Direct Costs</b> (please include general operating expenses and other direct costs for project implementation)				
Office supplies	per location	2	250.00	500
Internet connectivity for 2 offices per month (Yola and Maiduguri)	month	4	400.00	1,600
Communications/mobile phones for 2 offices per month	month	4	500.00	2,000

<sup>5</sup> The proportion of staff is higher than the generally accepted 10%. Staff costs are high due as the entire UNDSS support to emergencies is through surge deployment of FSCO support. Replacement costs for UNDSS personnel must be paid.

<sup>6</sup> UNDSS support to emergencies is through surge deployment of FSCO support, thus international travel is required to deploy 2 x FSCOs

Rental of office space (Yola and Maiduguri)	month	4	1,500.00	6,000
Car hire services for two offices ( 2 x cars @ \$ 1,950 per car per month)	month	4	3,900.00	15,600
<i>Sub-Total G:</i>				<b>25,700</b>
<b>Subtotal Direct Project Costs</b>				
Subtotal direct project costs				<b>186,764</b>
<b>Indirect Programme Support Costs (PSC)</b>				
PSC rate (as percentage of the subtotal direct project costs)				7%
PSC amount				<b>13,073</b>
<b>Total CERF Loan Request</b>				<b>199,837</b>

**13b. Breakdown of CERF Budget by Implementing Partner Type**

Please provide the total amount planned for partner implementation and include an estimate of the planned breakdown of funds by implementing partner type. Total amounts should match budget Category F.

	Amount (USD)
a. Direct implementation by UN/IOM	186,764
b. Total International NGO partners	
c. Total National NGO partners	
d. Total Red Cross/Red Crescent partners	
f. Total Government partners	
g. PSC amount	13,073
<b>Total</b>	<b>199,837</b>