UN
DP

Empowered lives.
Resilient nations.

Project Title: Paving the Road to the SDGs through Good Local Governance (2018) Annual Work Plan

Implementing Partner: UNDP

Project Description

Description of project, expected outcomes, planned outputs, including partnerships and approaches.

Efficient, resilient, and well-planned road networks ensure that no one is left behind in the drive for inclusive growth. This is why roads are considered as an important foundation for the Sustainable Development Goals (SDGs), and a prerequisite for bringing communities together.

At present, only 24.6% of all provincial roads in the Philippines are of acceptable quality. Of the 12,726km of provincial core roads, 57.2% are unpaved and in need of upgrading , while 20.2% are in poor condition and in need of rehabilitation. The situation is similarly dire for the 19,098 km of non-core roads, with 67% needing upgrading and 7% in need of rehabilitation. To address this situation, the Department of the Interior and Local Government has launched the Conditional Matching Grant to Provinces (CMGP) initiative to improve the quality of the local road network across the country, by providing both financial investment for capital outlay, as well as strengthening the governance processes so that provincial governments are themselves able to effectively plan, design, implement and maintain their local road networks.

In partnership with DILG, UNDP will provide support to the governance reform and quality assurance components of CMGP through the Roads2SDGs framework. This framework will anchor the prioritisation, planning, design, implementation, and maintenance of infrastructure projects to the achievement of the SDGs, incorporating the elements of partnership building, climate change and disaster risk reduction, gender mainstreaming, and citizen participation for transparency and accountability.

Programme Period: 2012-2018	2018 AWP budget: $3,920,150.55 |Php378.8M
Atlas Award/Project ID: 00104536 / 00106047	Total resources required Php378,728,883.24
Start Date: December 29, 2018 End Date : June 21, 2019	Total allocated resources: _____ • Regular _____ • Other:
PAC Meeting Date _____	o Donor _____ o Government _____ Unfunded budget: _____ In-kind Contributions: UNDP Php2.5M

Agreed by:

Date:

(Implementing Partner):

Agreed by   :

Date:

EMMANUEL E. BUENDIA, Governance Team Leader

Agreed by UNDP:

Date:

TITON MITRA, Country Director

OCT 01 2018

PROGRAMME ALIGNMENT

a.1 Strategic Plan Outcome and Output

Strategic Plan 2018-2019 Outcome and Output Statements & Indicators									
Outcome Indicator			**Baseline**		**Milestone**			**End of Project**	
Code	**Indicator Description**		**Year**	**Quantity / Points /Rating**	**Y1**	**Y2**	**Y3**	**Target**	**Actual**
SP Outcome 2: Accelerate structural transformations for sustainable development									
SP Output #2	SP Output Statement **Strengthen effective, accountable and inclusive governance**								
	2.2.1 Use of digital technologies and big data enabled for improved public services and other government functions using frameworks[1] that leverage digital technologies and big data for: o Delivery and monitoring of services o Public engagement o Access to and protection of information o Urban development using smart technologies o Other critical public services (e.g. public procurement)								

a.2 UNDAF/CPD Outcome

UNDAF/CPD Outcome Statement/s & Indicators
PFSD/CPD 2019-2023 OUTCOME 1: *The most marginalized, vulnerable, and at-risk people and groups benefit from inclusive and quality services and live in a supportive environment wherein their nutrition, food security, and health are ensured/protected.*
CPD Output 1.3. Existing platforms for citizen engagement strengthened to build strong local constituencies for democracy and governance reforms

Outcome Indicator Description	Baseline		Milestone		Target	
	Year	Quantity/Points/Rating	2018	2019	Year	Qty/Points/Rating
1.3.1 Number of individuals and institutions engaged in NGAs and LGUs through UNDP-supported civic engagement mechanisms	2017	Women: 0 Men: 0 CSOs: 0 Academe: 0	Women: 0 Men: 0 CSOs: 12	Women: 0 Men: 0 CSOs: 16	2019	Women: 0 Men: 0 CSOs: 16

a.3 Project Document Outcome

Project Document Outcome Statement/s & Indicators						
Project Document {XX}:{Outcome Statement} *Empowered citizens and businesses benefitted from increased connectivity to basic services and increased commerce through effective and inclusive road governance.*						
Outcome Indicator Description	Baseline		Milestone		Target	
	Year	Quantity/Points/Rating	2018	2019	Year	Qty/Points/Rating

---

[1] Includes policy, legal and regulatory frameworks and funded programmes/initiatives.

Percent of communities with increased access to basic services through the implementation of CMGP road projects	2017	3				
Percent of LGU road plans and designs with enhanced features that measurably contribute to the achievement of the SDGs	2017					

I. 2018 ANNUAL WORK PLAN

Project Title: Paving the Road to the SDGs through Good Local Governance
Award ID:
Project ID: 00106047
Implementing Partner: UNDP

EXPECTED OUTPUTS Output 1. 16 Governance HUBS Strengthened to Provide Continuous Technical Support to Provincial Governments, Citizens, and DILG in the Implementation of CMGP				
Project Output Indicator/s of Output No. 1	Baseline		Milestone this Year (2018)	End of Project Target (2019)
	Year	Quantity/ Quality		
1.1 Extent to which non-state local institutions are able to establish a consortium to provide support to local governments on Roads QA, governance reform, and citizen engagement	2018	Not adequately – No consortiums established	Largely – 12 consortiums or G-hubs established through MOU among non-state local institutions	Largely – 16 consortiums or G-hubs established through MOU among non-state local institutions
1.2 Number of provinces provided with technical support from Governance HUBS on Quality Assurance and Governance Reform	2017	0	0	78
1.3 Number of citizens trained by Governance HUBS to conduct citizen monitoring for ROADS	2017	0	0	200

PLANNED ACTIVITIES (for Output No.1)						PLANNED BUDGET (for Output No.1)				
Activity/Sub-Activity Description	Activity/Sub-Activity Deliverables	TIMEFRAME				RESPONSIBLE PARTY	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4			Code	Description	US$ (1=53)
1.1 Preparation and signing of MOA/contract with 16 Governance HUBS to provide technical assistance in regards to capacity building and quality assurance of CMGP	1.1.1 Pre-GHUBS engagement (Orientation-Workshops; Formation of GHUBS) 1.1.2 Contract with Governance HUBS UNDP and DILG			X	X	UNDP/DILG	DILG	71300	MOA/Contract Local Consultant	377,358.50 56,603.77
1.2 Orientation for GHUBS and other stakeholders and support the development of professional roster of experts and coordination mechanisms	1.2.1 Orientation-training-workshops on PGRR, QA & citizen engagement (PGRR training, provincial champions, QA,			X	X	UNDP/DILG	DILG	75700 71600 71300	Learning Cost Travel Local Consultant	75471.70 26,226.41 14,622.64

PLANNED ACTIVITIES (for Output No.1)							PLANNED BUDGET (for Output No.1)				
Activity/Sub-Activity Description	Activity/Sub-Activity Deliverables	\multicolumn{4}{l}{TIMEFRAME}	RESPONSIBLE PARTY	Funding Source/Donor	\multicolumn{2}{l}{Budget}		Amount	
		Q1	Q2	Q3	Q4			Code	Description	US$ (1=53)	
1.3 Business model developed to establish long term sustainability of the HUBS	1.3.1 Formulation of framework for the development of business model for G-HUBS 1.3.2 G-HUBS Orientation workshop on the framework of business model; drafting of individual G-HUBS sustainability business plans 1.3.3 Finalization/Institutionalization of individual G-HUBS sustainability business plan				X	UNDP	DILG	71300 75700 71600	Local Consultant Learning Cost Travel	4,716.98 27,169.81 15094.33	
								OUTPUT 1 Sub TOTAL		$1,500,724.27	

EXPECTED OUTPUTS Output No. 2: Capacity of Provincial Governments and DILG Built to Plan, Design, Implement, and Maintain Quality Roads Networks through Effective Governance Processes

Project Output Indicator/s of Output No. 2	\multicolumn{2}{l}{Baseline}	Milestone this Year (2018)	End of Project Target (2019)
	Year	Quantity/ Quality		
2.1 Number of Provincial Governance Reforms Roadmaps (PGRR) for formulated	2017	0	60	78
2.2 Percent of 2017 provincial road projects with quality assurance assessments and recommendations	2017	0%	100%	100%
2.3 Percent of provincial governments with established QA units	2017	30%	30%	80%
2.4 Percent of ROs and PLGUs that utilize electronic systems for quality assurance and governance reform for provincial road projects	2017	0%	0%	90%
2.5 Percent of PLGUs and DILG functionaries that received training on modules and systems from GHUBS mentors and UNDP deployed experts	2018	0%	0% (5 modules)	50% (5 modules)
2.7 Percent of PGRRs with Sustainable Development Goals indicator alignment	2018	0%	80%	90%

PLANNED ACTIVITIES (for Output No.2)							PLANNED BUDGET (for Output No.2)			
Activity/Sub-Activity Description	Activity/Sub-Activity Deliverables	TIMEFRAME				RESPONSIBLE PARTY	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4			Code	Description	US$ (1=53)
2.1 Formulation of PGRRs	2.1.1 Governance specialists as mentors to provincial governments in the formulation of the PGRR deployed 2.1.2 Workshops on the formulation of PGRR conducted 2.1.3 PGRRs of 78 provinces approved 2.1.4 Multi-stakeholder's conference on PGRR		X		X X			75700 71600 71300	Learning Cost Travel Local Consultant ITB	396,132.08 30,535.85 342,264.15 75,471.70
2.2 Road Quality Assurance Management	2.2.1 QA Manual enhanced 2.2.2 QA Engineers trained 2.2.3 Actual QA conducted 2.2.4 Capacity of provincial governments in QA enhanced		X X X	X X X				71300 71600 75700	MCGA Local Consultant Coring test Travel Learning cost	116.116.98 345,987.55 33,962.26 96,981.09 86,792.45
2.3 Modules and software Development	2.3.1 Project management, procurement, monitoring, reporting platform, and asset management platform manual enhanced and developed			X	X			71300 75700 71600	Local Consultant Learning Cost Travel	398,114,95 5,283.02 1,415.09
2.4 Technology support for effective implementation of road governance processes	2.4.1 Technological capacities of DILG Regional Offices and select PLGUs augmented								Equipment	283,01887
2.5 Conduct of TOT for 16 HUBS to be able to roll out capacity building activities to provinces, and regional DILG offices (for next yr)	• TOT conducted			X	X					

6

PLANNED ACTIVITIES (for Output No.2)							PLANNED BUDGET (for Output No.2)			
Activity/Sub-Activity Description	Activity/Sub-Activity Deliverables	**TIMEFRAME**				RESPONSIBLE PARTY	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4			Code	Description	US$ (1=53)
2.6 Continuous coaching and mentoring of 78 provinces on roads Quality Assurance and governance reforms (for next year)	• Coaching and mentoring for provincial LGUs conducted • Follow-up trainings for QA Engineers conducted			X	X					
2.7 Localizing SDGs in governance process	2.7.1 Roads2SDGs data laboratory established 2.7.2 Roads2SDGs tool and dashboard established 2.7.3 TOT for SDG localization conducted (add 2 columns for next year) • Research and studies • Training/for a in SDGs and local governance • SDG Faces module rolled out • Communications product			X	X			71300 72500 75700 71600	Local Consultant Supplies Learning Cost Travel	183,962.26 2,794.27 47,169.81 18,867.92
									OUTPUT 2 Sub TOTAL	**$1,735,365.24**

EXPECTED OUTPUTS Output 3. Citizens Organized to Instill Transparency and Accountability in the Implementation of Road Projects in 78 Provinces

| Project Output Indicator/s of Output No. 3	Baseline		Milestone this Year (2018)	End of Project Target
	Year	Quantity/ Quality		
3.1 Number of citizen volunteers using the ROADS module of DevLive (citizen feedback platform) to monitor the implementation of provincial road projects	2017	0	0	200
3.2 Percent of provincial road projects monitored by citizen volunteers	2017	0%	50%	100%

PLANNED ACTIVITIES (for Output No.3)							PLANNED BUDGET (for Output No.3)			
Activity/Sub-Activity Description	Activity/Sub-Activity Deliverables	**TIMEFRAME**				RESPONSIBLE PARTY	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4			Code	Description	US$ (1=53)
3.1 Development of CMGP module for DevLive (citizen feedback platform)	3.1.1 CMGP module for DevLive developed 3.1.2 TOT on DevLive			X	X			71300	Local Consultant Printing	15,094.34 9,433.96

PLANNED ACTIVITIES (for Output No.3)							PLANNED BUDGET (for Output No.3)				
Activity/Sub-Activity Description	Activity/Sub-Activity Deliverables	Q1	Q2	Q3	Q4	RESPONSIBLE PARTY	Funding Source/Donor	Code	Description	Amount US$ (1=53)	
3.2 Roll-out of DevLive for CMGP Capacity building modules developed and design citizen feedback platform	3.2.1 Trainings of citizens for use of DevLive for CMGP conducted 3.2.2 DevLive for CMGP rolled-out				X			75700 71300 71600	Learning Cost Local Consultant Travel	1,886.79 1,283.01 16,981.1321	
3.3 Mentoring of citizens organisations	3.3.1 Citizens organizations trained by GHUBS (next year)							75700 71300 71600	Learning Cost Local Consultant Travel	72,169.81 1,288.02 16,981.13	
3.4 Monitoring activities carried out	3.3.1 Monitoring reports from citizens generated (next year)										
3.5 Feedback and policy forums	3.4.1 Citizens engagement forum conducted (November this year) 3.4.2 Policy forums conducted (for next year)			X							
									OUTPUT 3 Sub TOTAL	**$169,133.18**	

	PLANNED ACTIVITIES	TIMEFRAME				Responsible Party	Donor	PLANNED BUDGET			
		2018						Budget		Amount	
Activity Description	Sub-Activity Deliverables	Q1	Q2	Q3	Q4			Code	Description	PHP	US
Personnel cost										27,606,375.00	520,875.00
Miscellaneous										5,829,000.00	109,981.13
Personnel and Miscellaneous Sub-Total										33,435,375.00	630,856.13
TOTAL										P 203,155,501.80	$ 3,833,122.68

II.   MANAGEMENT ARRANGEMENTS

Explain the roles and responsibilities of the parties involved in managing the project.

Use the diagram below for the composition of the Project Board – as deemed necessary and confirmed during the Project Appraisal Committee meeting.

United Nations Development Programme
Philippines
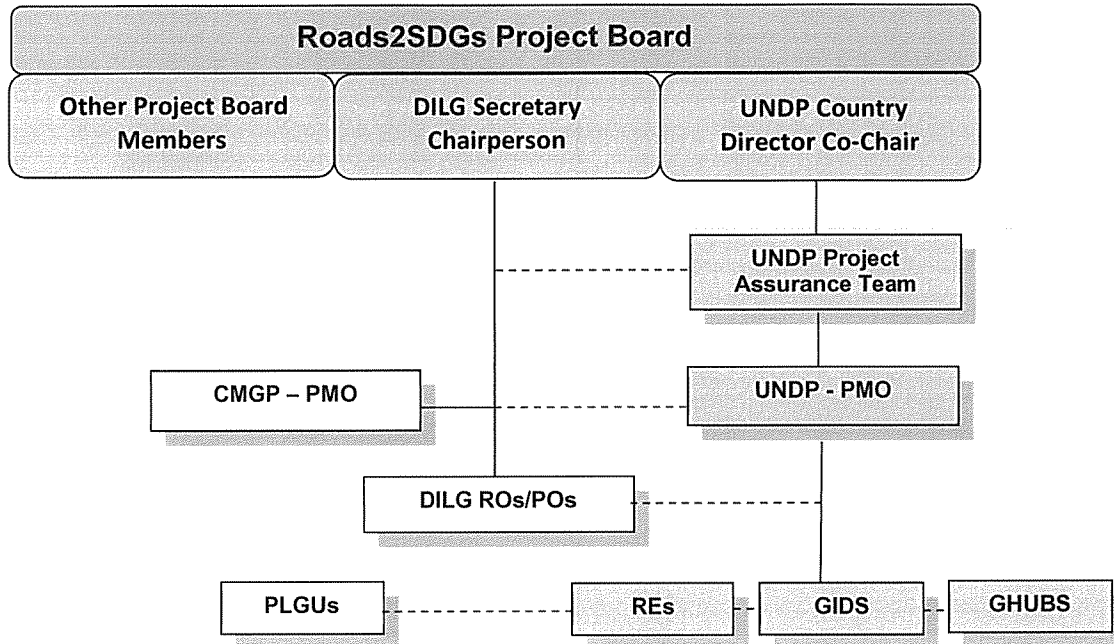
Empowered lives.
Resilient nations.

III. MONITORING AND EVALUATION PLAN

Expected Results (Outcome & Output) Obtained from AWP results framework	Indicators With what indicators the quality of the result will be measured? With Baselines and indicative targets	Data Collection Methods How will the data be obtained?	Time or Schedule and Frequency	Means of Verification: Data Source and Type What method will be used to determine if the indicator target has been met?	Responsibilities Who is responsible for organizing the data collection and verifying data quality and source?	Resources What are the resources required and committed for carrying out planned monitoring activities:	Risks and assumptions What are the risks and assumptions? How will it affect the planned monitoring events and quality of data?
Outcome: Empowered citizens and businesses benefitted from increased connectivity to basic services and increased commerce through effective and inclusive road governance							
Proportion of population living in households with access to basic services		SDG-FACES M&E; data from officials (e.g., school records, census data, health data) and unofficial (e.g., Community Based Monitoring System)	Quarterly Annually	Admin Data Annual Reports	Verifying/triangulating data quality: Project Manager; M&E Specialist, and Citizen Monitors	Data for selected indicators will be made available under the SDG-FACES Project; other data to be sourced from secondary sources	Stakeholders willing to share practices and build practical solutions; management mechanism in place to ensure scale up of practices for the most disadvantaged

Average income of small-scale food producers, by sex and indigenous status
Proportion of births attended by skilled health personnel
Proportion of women of reproductive age (aged 15-49 years) who have their need for family planning satisfied (provided) with modern methods
Enrolment rate in primary and secondary education
Proportion of women who use contraceptives
Access to formal bank accounts, financial services and microfinance institutions, by sex
Proportion of population using safely managed drinking water services
Proportion of population using safely managed sanitation services, including a hand-washing facility with soap and water
Proportion of population with access to electricity

Unemployment rate by sex, age and persons with disabilities					
Proportion of youth (aged 15-24 years) not in education, employment or training					
Number of jobs in tourism industries as a proportion of total jobs and growth rate of jobs, by sex					
Proportion of the rural population who live within 2 km of an all-season road					
Growth rates of household expenditure or income per capita among the bottom 40% of the population and the total population					
Proportion of population that has convenient access to public transport, by sex, age and persons with disabilities					
Proportion of cities with a direct participation structure of civil society in urban planning, and management that operate regularly democratically					
Proportion of population of individuals using the internet					

Output	Indicators	Data Source	Frequency	Reports	Responsible for Data Collection	Resources	Risks/Assumptions
Output 1 16 Governance HUBS Strengthened to Provide Continuous Technical Support to Provincial Governments, Citizens, and DILG in the Implementation of CMGP	Number of GHUBS formally set up (ie., with signed MOAs/contracts) to provide continuous support to CMGP	Project Records Progress Reports Activity Reports	Monthly End Project	M&E Reports Progress Reports Annual Review	Coordinating data collection: M&E Officer; Data verification and analysis: Institutional Development Specialist; Regional Coordinators; Project Assistant	Resources for M&E Activities will be made available under the CMGP Project; dedicated skilled personnel ad staff time to be provided by UNDP	Low buy-in and commitment from stakeholders (e.g., provincial governments); current modality (GHUBS) and technical capacity may not be adequate to complete all project work
	Number of technical experts deployed to coach/mentor Provincial Engineering Offices (PEOs)						
	Number of visits of GHUBS experts						
	Number of PGRR produced						
	Proportion of GHUBS with sustainability plans crafted and in place to ensure long term financial viability						
	Number of policy notes crafted regarding quality of road networks, road governance and the impact they have on SDGs						
Output 2 Capacity of Provincial Governments	Number of training modules developed	Project Records Consultants' Reports	Quarterly End Project	M&E Reports Training Reports Consultants' Reports	Coordinating data collections: Project Manager; Data Collection:		Low participation and absorptive capacity on LRM
	Number of manuals developed						

and DILG Built to Plan, Design, Implement, and Maintain Quality Roads Networks thought Effective Governance Processes	Number of software developed		M&E Officer; Data Verification and Analysis: Institutional Development Specialist, Regional Coordinators, Project Assistant			
Output 3 Citizens organised to instill transparency and accountability in the implementation of road projects in 78 provinces	Number of training conducted for citizen monitors					
	Number of community volunteers engaged and deployed to conduct monitoring					
	Number of regions where volunteers are deployed					
	Number of CMGP road projects monitored					
	Number of Monitoring visits conducted by citizen monitors					
	Number of feedback and policy forums conducted					

IV. ANNUAL PROCUREMENT PLAN (SEE SEPARATE WORKSHEET)

United Nations Development Programme
Philippines

V. RISK LOG

#	Description	Date Identified	Type	Countermeasures / Mgt response	Owner	Submitted, updated by	Last Update	Status
1	Enter a brief description of the risk (In Atlas, use the Description field. **Note: This field cannot be modified after first data entry**)	When was the risk first identified (In Atlas, select date. Note: date cannot be modified after initial entry)	Subcategories for each risk type should be consulted to understand each risk type (see Deliverable Description for more information) (In Atlas, select from list)	What actions have been taken/will be taken to counter this risk (in Atlas, use the Management Response box. This field can be modified at any time. Create separate boxes as necessary using "+", for instance to record updates at different times)	Who has been appointed to keep an eye on this risk (in Atlas, use the Management Response box)	Who submitted the risk (In Atlas, automatically recorded)	When was the status of the risk last checked (In Atlas, automatically recorded)	e.g. dead, reducing, increasing, no change (in Atlas, use the Management Response box)
	Recruitment and other inception issues; delayed engagement and deployment of project personnel		Operational	Use special acceleration measures for hiring key personnel and expedite deployment				
2	Possible issues on integrity and reliability of field reports in status of projects		Operational	Establish reporting system with clear protocols				

#	Issue		Category	Action			
3	Road project implementation by provincial LGUs are already ongoing but Quality Assurance is midway		Operational	Catch-up QA audits through Experts-on-call, and validation with the citizen monitors			
4	Weak monitoring of QA and provision of appropriate technical assistance (TA)		Operational	Initiate capacity development program strategic to QA and technical assistance provision; expedite			
5	Limited capacity of Provincial Engineering Office (PEOs) on QA planning and execution		Strategic	deployment of staff and partner institution i.e., APSUC and GHUBS formation			
6	Poor observance of road safety measures		Strategic	Include in the training of engineers topics on road safety			
7	Limited compliance to environmental and social safeguards		Social and Environmental	management, environmental and social safeguard compliance			
8	Bulk of procurement of goods and services on the 4th quarter of 2018	September 29, 2018	Organizational	Hire additional 2 procurement ICs and 1 IC for finance on the 4th quarter	Abelardo Cea	September 29, 2018	No change