United Nations Development Programme –
Proyecto "El Niño Marco de Monitoreo Regional"

Fourth Quarterly Report – December 2016

Project Title	El Nino Response and Recovery in Latin America and the Caribbean
Type of QPR	Q4 – September - December 2016
Submission to PNUD	Dec – 2016
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Award ID	
Project ID	
RPD Outcome(s):	Outcome 4. Countries are able to reduce the likelihood of conflict and lower the risk of natural disasters, including from climate change (SP outcome 5).
Expected RPD Output(s):	Output 4.3. Effective institutional, legislative and policy frameworks in place to enhance the implementation of disaster and climate risk management measures at national and sub-national levels (SP output 5.2)
Expected Output(s):	Output 1.: Enhanced regional monitoring mechanism [for early recovery interventions] Output 2: Strengthened national and regional capacities to respond to El Niño Output 3: improved regional coordination mechanisms
Implementing Partner:	UNDP CO in Guatemala, Honduras, El Salvador, Paraguay
Responsible Parties:	UNDP Regional HUB Panama
Award Start Date	March 30 2016
Award End Date	December 31 2016
Total Award Amount	USD 150,000.00

Brief description of the project
The project *El Nino Response and Recovery in Latin America and the Caribbean* aims to improve response capacity and ensure that regional coordination and monitoring mechanisms are complemented by effective national level coordination and monitoring. The project enables UNDP in LAC to support efforts to articulate and bridge humanitarian actions into resilient development practices, ensuring the capacity, information access and analysis are strengthened for better risk-informed decision-making, planning and actions. The project will respond to outcome 4 of the RHLAC Regional Program Document: *Countries are able to reduce the likelihood of conflict, and lower the risks of natural disasters, including from climate change*. This outcome will be achieved through 3 expected outputs: *Output 1: Enhanced regional monitoring mechanism (for early recovery interventions)* *Output 2: Strengthened national and regional (early recovery) capacities to respond to El Niño* *Output 3: Improved regional coordination mechanisms (for early recovery)* The project will be implemented by UNDP (Regional Hub Panama) under the Direct Implementation Modality (DIM) by the Resilient and Sustainable Development team. The duration of this project is March 2016 – December 2016. The proposed budget is USD$150,000

I) Main project achievements

Description of the main project achievements for the period under consideration

1. Output 1: *Enhanced regional monitoring mechanism (for early recovery interventions)*
   a. Development of the Regional Monitoring Framework for Early Recovery (RMF-ER) interventions in the response to the 2015-2016 El Niño. The document was prepared in consultation with REDLAC members that are part of the regional recovery cluster (see annex 1)
   b. 2 reports were produced highlighting gaps and progress in the implementation of early recovery actions considered in the HRP/SRP. Key findings are: Early recovery is integrated in the response planning; funding for the implementation of SRP/HRP in the prioritized countries has been low (below 20% in average); progress in the implementation of early recovery actions has been low (see annexes 2a y 2b)
   c. A Regional Early Recovery Needs Profile was developed based on the review of secondary information. This includes information on the interventions by Humanitarian organizations and the Governments in the prioritized countries (see annex 3)
   d. Preparation of two "Fact Sheet" with information about interventions on early recovery in the prioritized countries (see annexes 4a and 4b)
   e. Preparation of 2 guidelines on early recovery. The first one refers to the role of UNDP as Early Recovery Cluster coordinator. The second one describes basic early recovery concepts and principles. Both documents were prepared using as reference existing materials published by the Global Cluster on Early Recovery (see annexes 5a and 5b)
2. Output 2: Strengthened national and regional (early recovery) capacities to respond to El Niño
   a. Identification of early recovery capacity gaps and strengths of CO staff and Regional Early Recovery Clusters members (see annexes 6a to 6e)

    b.   Organization of two national workshops on recovery in coordination with CO: September 6-7 in Asuncion Paraguay; 29 September in San Salvador (see Annexes 7a and 7b for the reports)

    c.   Design and delivery on the module "risk management and the SDGs" as part of the Diploma course "Risk management and disaster risk reduction in Paraguay" (see annex 8)

3.   Output 3: Improved regional coordination mechanisms (for early recovery)

    a.   Establishment of the REDLAC early recovery cluster. The terms of reference defining roles and responsibilities as well as the cluster annual coordination action plan prepared and assessed (see annexes 9a and 9b)

    b.   Publication of discussion paper on El Niño (see annex 10). The document was prepared by Dr. Allan Lavell.

    c.   Organization of two webinars on El Niño

    d.   Preparation of two blogs on El Niño (see annex 11a and 11b). The blog presented in annex 11a was published on the UNDP blog website

II) PROJECT PERFORMANCE

Progress of planned activities in the Prodoc

	Results	Baseline	Goals	Indicators
1.	**Enhanced regional monitoring mechanism for El Niño crisis**	Baseline 1.1: 0 non-agriculture or non-health recovery targets in monitoring mechanisms for El Niño	Target 1.1: at least three early recovery gender-sensitive indicators and targets	Results Indicator 1.1: # of early recovery indicators and targets developed for regional monitoring recovery framework for El Niño
		Baseline 1.2 : 0 national monitoring frameworks	Target 1.2: at least 2 countries adapt monitoring framework to national level	Results Indicator 1.2: # of monitoring frameworks adapted at national level
		Baseline 1.3 : 0 regional recovery profiles	Target 1.3: 1 regional recovery needs profile developed, disaggregated by country and sex	Results Indicator 1.3: # of regional recovery needs profiles on El Niño , including gender needs
		Baseline 1.4: 0% of information bulletins with early recovery information	Target 1.4: 100% of information bulletins with early recovery updates	Results Indicator 1.4: % of REDLAC regional information bulletins on El Niño with early recovery information

Results Activity 1.1	Goal/s indicator/s qualitative assessment	Method/s Qualitative assessment	Assessment of progress	Period 2016			
				Q1	Q2	Q3	Q4
1.1 Inter-agency Regional Monitoring Mechanism for El Niño includes robust early recovery sector analysis and tracking 1.1.1 Define gender sensitive indicators, targets and data field gaps specific to early recovery 1.1.2 Develop monitoring framework for early recovery cluster 1.1.3 Integrate gender sensitive sector-specific recovery information and data variables 1.1.4 Regional inter-agency early recovery report produced	Meta: At least three early recovery gender-sensitive indicators and targets	Monitoring framework List of indicators	**Chronogram (AWP)**	X	X		
			Indicator value	1	2		
			% of achievement				70%
Comments	- The project aimed at defining gender sensitive indicators at the output and outcome level - At the output level, the focus was on selecting indicators from the existing ones included in the HRP/SRP of the prioritized countries. In all cases, suggested indicators are based on the level of participation of women and girls in the planned activities. The indicators were expressed in terms of % women in relation to the total number of participants - At the outcome level, the HRP/SRP do not include specific gender sensitive indicators. It is assumed that gender issues should be integrated into the general objectives, which refer to "increased resilience". No specific indicators were defined and/or monitored at this level. However, the UNDP´s gender marker framework was used as reference to monitor the level of integration of the gender approach in the design and implementation of early recovery initiatives. Results indicate that most members of the regional Early Recovery cluster integrate gender as the main or as a significant objective of their early recovery interventions regarding El Niño 2015-16. - Though not yet discussed, more specific indicators at outcome level could include: ✓ Reduced gender gaps in technical, vocational, and high level DRR education expressed as ratio female/male graduated; ✓ Reduced gender gap in DRR management positions expressed as variation in the number of female compared to number of men in management positions for the period under consideration						

Results Activity 1.2	Goal/s and indicator/s Qualitative assessment	Qualitative assessment methods	Progress	Period 2016			
				Q1	Q2	Q3	Q4

AR 1.2 Support application of monitoring framework model at national level for El Niño	Goal/s and indicator/s Qualitative assessment	Qualitative assessment methods	Progress assessment	Q1	Q2	Q3	Q4
1.2.1 Adapt monitoring framework to national level in at least 2 countries 1.2.2 Provide training and technical assistance on use and coordination of monitoring tool for El Niño 1.2.3 Pilot monitoring tool in at least 2 countries	Meta: - 1.2: at least 2 countries adapt El Nino monitoring framework to national level		**Chronogram (AWP)**		X	X	
			Indicator value		2	2	
			% of achievement				100

Comments	- Four (4) national CO implemented the Monitoring Framework: El Salvador, Guatemala, Honduras and Paraguay - 2 reports were produced highlighting gaps and progress in the implementation of early recovery actions considered in the HRP/SRP. Key findings are: Early recovery is integrated in the response planning; funding for the implementation of SRP/HRP in the prioritized countries has been low (below 20% in average); progress in the implementation of early recovery actions has been low (see annex 2) - Technical assistance provided to the 4 CO on the use and coordination of the monitoring

Results Activity 1.3	**Goal/s and indicator/s** **Qualitative assessment**	**Qualitative assessment methods**	**Progress assessment**	**Period 2016**			
				Q1	**Q2**	**Q3**	**Q4**
AR 1.3 Regional Recovery Needs Profile developed 1.3.1 Use Desinventar to calculate national level disaster losses and impact of El Niño , taking into account gender 1.3.2 Analysis and mapping of hazard, exposure and impact at regional level of extreme climate events, with national data aggregated and including sex-disaggregated information 1.3.2 Proposed short & medium-term recovery priorities for El Niño 1.3.4 Recovery Needs Profile produced and disseminated	Meta: - 1 regional El Nino recovery needs profile developed, disaggregated by country and sex		**Chronogram (AWP)**	X	X	X	
			Indicator value		1 report	1 report	
			% of achievement				100

Comments	- A Regional Recovery Needs Profile was developed based on the review of secondary information (including OCHA reports, Country briefs, News reports). This includes information about the interventions of the Humanitarian organizations and the Governments in the prioritized countries. The report was reviewed by the members of the regional recovery cluster and UNDP Country offices. - The recovery needs profile does not disaggregate information by sex

Results Activity 1.4	**Goal/s and indicator/s** **Qualitative assessment**	**Qualitative assessment methods**	**Progress assessment**	**Period 2016**			
				Q1	**Q2**	**Q3**	**Q4**
AR 1.4 Information Disseminated 1.4.1 El Niño Early Recovery fact sheets developed and shared with REDLAC members and national offices 1.4.2 Regular contribution to REDLAC El Niño information bulletins and humanitarian **dashboard tool**	Meta: - 100% of information bulletins with early recovery updates		**Chronogram (AWP)**	X	X	X	
			Indicator value		1 Bulletin	1 Bulletin	

			% of achievement				100

Comments	• Two "Fact Sheet" documents prepared and published, the documents were presented during the regional forum on El Niño held on October 26-27 in Panama City, Panama (see annex YY):
	     o One with information about the interventions on early recovery in the prioritized countries in the context of the HPC (annex 4)
	     o One with information about actions of some government in the region to address El Niño effects and impacts (annex 5)
	 • Two information brochures on ER were prepared and published: one on ER and the role of UNDP and the other on ER concepts and principles (see annex TT)

Result 2	**Baseline**	**Goals**	**Indicators**
Strengthened national and regional capacities to respond to El Niño	- 2.1: 0 national level trainings in recovery coordination for extreme climate events	- At least 3 workshops on recovery coordination and preparation for extreme climate events	- 2.1: # of stakeholders trained in recovery coordination for extreme climatic events

Result Activity 2.1	**Goal/s and indicator/s Qualitative assessment**	**Qualitative assessment methods**	**Progress assessment**	**Period 2016**			
				Q1	**Q2**	**Q3**	**Q4**
AR 2.1 National teams capable of engaging in coordination processes 2.1.1 Assess capacity of country teams and national partners to establish and support coordination and early recovery processes 2.1.2 Adapt training material targeted at country level to guide the formation and conduct of recovery cluster 2.1.3 National level workshops on early recovery coordination, needs assessment process, the development of recovery frameworks for El Niño, and preparation for extreme climate events	Meta: - At least 3 workshops on recovery coordination and preparation for extreme climate events		**Chronogram (AWP)**		X	X	
			Indicator value		3		
			% of achievement				100

Comments	• Two workshops on recovery coordination, concepts and principles carried out at country level. September 6-7 in Asuncion Paraguay in coordination with CO; 29 September in San Salvador, in coordination with CO. • Two PDNA workshops were organized as part of the implementation of El Niño project

Result 3	Baseline	Goals	Indicators
Improved regional coordination mechanisms	- 3.1: No cluster action plan - 3.2: 0 documented discussion - 3.3: No webinar directed at COs in early recovery -	- 3.1 1 regional recovery coordination action plan - 3.2: 1 discussion paper on El Niño Early Recovery - Targets - 3.2: At least 2 webinars	- 3.1: # of early recovery action plans - 3.2: # of dialogues regarding El Niño Early Recovery approaches - 3.3: # of webinars offered to CO

Result Activity 3.1	Goal/s and indicator/s Qualitative assessment	Qualitative assessment methods	Progress assessment	Period 2016			
				Q1	Q2	Q3	Q4
AR 3.1 REDLAC recovery cluster has capacity to collect, process and analyse information in a coordinated manner 3.1.1 Gap analysis of existing regional early recovery coordination mechanism 3.1.2 Produce a regional recovery coordination action plan 3.1.3 Sharing Practices series coordinated for cluster, including practices that address gender-differential	- Regional recovery coordination action plan	.	**Chronogram (AWP)**	X	X	X	
			Indicator value	1			
			% of achievement				100
Comments	- Report of the implementation of the action plan will be prepared in coordination with cluster members -						

Result Activity 3.2	Goal/s and indicator/s Qualitative assessment	Qualitative assessment methods	Progress assessment	Period 2016			
				Q1	Q2	Q3	Q4
AR 3.2 Coordination forum organized to enhance inter-agency planning efforts 3.2.1 Regional Forum on early recovery organized 3.2.2 Recovery coordination discussion paper prepared.	Meta: - Discussion paper on El Niño Early Recovery		**Chronogram (AWP)**			X	
			Indicator value				
			% of achievement				100
Comments	• Discussion paper prepared by Dr. Allan Lavell (consultant hired for that purpose) prepared and published. Document was used as reference for discussion during the Regional Forum on El Niño held on October 26-27						

Result Activity 3.3	Goal/s and indicator/s Qualitative assessment	Qualitative assessment methods	Progress assessment	Period 2016			
				Q1	Q2	Q3	Q4

AR 3.3 Knowledge products disseminated 3.3.1 Coordination and recovery webinar series conducted 3.3.2 Social media and virtual space utilized for dissemination of project knowledge products.	Meta: - At least 2 webinars		Chronogram (AWP)	X	X	X	
			Indicator value	1	1	1	
			% of achievement				100
Comments	- Two webinars on El Niño were organized: - Two blogs were prepared -						

%total achievement Result 1	**Q1**	**Q2**	**Q3**	**Q4**
Average % achievement activities for the period under consideration			67%	

%total achievement Result 2	**Q1**	**Q2**	**Q3**	**Q4**
Average % achievement activities for the period under consideration			60%	

%total achievement Result 3	**Q1**	**Q2**	**Q3**	**Q4**
Average % achievement activities for the period under consideration				96%

Total achievement of results programmed in the Prodoc				
% total achievement results	**Period 2016**			
	Q1	**Q2**	**Q3**	**Q4**
				96%

III) RISK REGISTER

Type of risk	Identification date	Description	Comments/ MGT Response
Financial			
Strategic			
Political			

IV) ISSUES REGISTER

Type	Identification date	Description	Comments / MGT Response	Date solution
Financial				
Organizational				