

Closure Stage Quality Assurance Report

Form Status: Approved	
Overall Rating:	Needs Improvement
Decision:	
Portfolio/Project Number:	00097191
Portfolio/Project Title:	Managing risks associated with the Gold Ridge Mine TSF
Portfolio/Project Date:	2016-06-01 / 2019-06-30

Strategic**Quality Rating: Satisfactory**

1. Did the project pro-actively identified changes to the external environment and incorporated them into the project strategy?

- 3: The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives, assumptions were tested to determine if the project's strategy was valid. There is some evidence that the project board considered the implications, and documented the changes needed to the project in response. (all must be true)
- 2: *The project team identified relevant changes in the external environment that may present new opportunities or threats to the project's ability to achieve its objectives. There is some evidence that the project board discussed this, but relevant changes did not fully integrate in the project. (both must be true)*
- 1: The project team considered relevant changes in the external environment since implementation began, but there is no evidence that the project team considered these changes to the project as a result.

Evidence:

Changes in the external environment during the project period were identified by the project team. For example, after conducting the three technical assessments, which were hydrological, geotechnical and physical environmental, the board decided to conduct an integrated analysis of the three and a catastrophic dam break scenario (See Board meeting minutes #4 attached). However, the board decided not to carry out integrated analysis and the dam break scenario which were highly technical and involve risks. Also, since the company was on board, the board decided that risk related issues in the gold mine should be addressed and managed by the company.

The budget for integrated analysis and dam break scenario was then re-programmed for NDMO's activities such as producing evacuation maps, one-pager flood response plan, etc at community level (See minutes of meeting with Under Secretary and his response email attached).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Meetingminutes4_245_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Meetingminutes4_245_301.pdf)	jiye.suh@undp.org	7/31/2019 12:04:00 AM
2	ReGoldRidgeprojectMinutesofmeetingon9thOct2018-Under_Secretary_245_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ReGoldRidgeprojectMinutesofmeetingon9thOct2018-Under_Secretary_245_301.msg)	jiye.suh@undp.org	7/31/2019 12:04:00 AM
3	20181009-Meetingminutes-USTIp_final_245_301 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20181009-Meetingminutes-USTIp_final_245_301.docx)	jiye.suh@undp.org	7/31/2019 12:05:00 AM

2. Was the project aligned with the thematic focus of the Strategic Plan?

- 3: The project responded to at least one of the development settings as specified in the Strategic Plan (SP) and adopted at least one Signature Solution .The project’s RRF included all the relevant SP output indicators. (all must be true)
- 2: *The project responded to at least one of the developments settings1 as specified in the Strategic Plan. The project’s RRF included at least one SP output indicator, if relevant. (both must be true)*
- 1: While the project may have responded to a partner’s identified need, this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

The project is in line with UNDP Strategic Plan Environment and Sustainable Development Primary Outcome (3). See attached the Project Document page 1.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	SignedGoldridgeProjectDocument-FINAL_245_302 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/SignedGoldridgeProjectDocument-FINAL_245_302.pdf)	jiye.suh@undp.org	7/31/2019 12:44:00 AM

Relevant

Quality Rating: Needs Improvement

3. Were the project’s targeted groups systematically identified and engaged, with a priority focus on the discriminated and marginalized, to ensure the project remained relevant for them?

- 3: Systematic and structured feedback was collected over the project duration from a representative sample of beneficiaries, with a priority focus on the discriminated and marginalized, as part of the project’s monitoring system. Representatives from the targeted groups were active members of the project’s governance mechanism (i.e., the project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all must be true)
- 2: Targeted groups were engaged in implementation and monitoring, with a priority focus on the discriminated and marginalized. Beneficiary feedback, which may be anecdotal, was collected regularly to ensure the project addressed local priorities. This information was used to inform project decision making. (all must be true to select this option)
- 1: Some beneficiary feedback may have been collected, but this information did not inform project decision making. This option should also be selected if no beneficiary feedback was collected
- Not Applicable

Evidence:

In the community profile which was part of the community contingency plans that were produced under this project, the populations were segregated by gender, age, people with disabilities. Although the discriminated and marginalized were not explicitly addressed in the community contingency plans, the project provided the basis to improve the contingency plans to focus on those targeted groups. Please see attached the Komporo community contingency plan for example.

Activities in the communities such as consultations, awareness programmes, evacuation simulation exercises were briefed in the board meetings (Board meeting minutes attached under Question 1, 4 & 11, and presentation slides for the board meetings are attached under Q10.)

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Komporo_VDP_Completed_245_303 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Komporo_VDP_Completed_245_303.pdf)	jiye.suh@undp.org	7/31/2019 9:14:00 AM

4. Did the project generate knowledge, and lessons learned (i.e., what has worked and what has not) and has this knowledge informed management decisions to ensure the continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After Action Reviews or Lessons Learned Workshops) backed by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring were discussed in project board meetings and reflected in the minutes. There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be true)
- 2: *Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, were considered by the project team. There is some evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)*
- 1: There is limited or no evidence that knowledge and lessons learned were collected by the project team. There is little or no evidence that this informed project decision making.

Evidence:

Knowledge products such as evacuation maps, contingency plans, 1 pager flood response to the 31 communities at risk were produced (See below attached documents). Based on these, table top Simulation Exercise (SIMEX) was conducted, and through lessons learned from the table top simulation exercise, another SIMEX (Simulation exercise) was conducted.

Room for improvement in joint environmental monitoring and risk management by the government ministries were recommended by the terminal evaluator and the management also responded to the recommendations (See attached the Final Board Meeting Minutes #5).

As for changes in the project, please see the answer and attached documents to Question 1.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Meetingminutes5_245_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Meetingminutes5_245_304.pdf)	jiye.suh@undp.org	7/31/2019 1:32:00 AM
2	20190305-Billboardswithroofingin31communities_245_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20190305-Billboardswithroofingin31communities_245_304.pdf)	jiye.suh@undp.org	7/31/2019 1:11:00 AM
3	Flood_Response_poster-2018_245_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Flood_Response_poster-2018_245_304.pdf)	jiye.suh@undp.org	7/31/2019 1:15:00 AM
4	Komidi_VDP_Completed_245_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Komidi_VDP_Completed_245_304.pdf)	jiye.suh@undp.org	7/31/2019 1:24:00 AM
5	20170215GRInstitutionalContingencyPlanv.2.1_245_304 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20170215GRInstitutionalContingencyPlanv.2.1_245_304.docx)	jiye.suh@undp.org	7/31/2019 1:27:00 AM

5. Was the project sufficiently at scale, or is there potential to scale up in the future, to meaningfully contribute to development change?

- 3: There was credible evidence that the project reached sufficient number of beneficiaries (either directly through significant coverage of target groups, or indirectly, through policy change) to meaningfully contribute to development change.
- 2: While the project was not considered at scale, there are explicit plans in place to scale up the project in the future (e.g. by extending its coverage or using project results to advocate for policy change).
- 1: *The project was not at scale, and there are no plans to scale up the project in the future.*

Evidence:

While the project was not considered at scale, there was potential for scale up. However, due to sensitivity and the fact that the gold mining company is now on board, scale up has not been discussed with the board members.

List of Uploaded Documents

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No documents available.			

Principled

Quality Rating: Needs Improvement

6. Were the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women relevant and produced the intended effect? If not, evidence-based adjustments and changes were made.

- 3: The project team gathered data and evidence through project monitoring on the relevance of the measures to address gender inequalities and empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (both must be true)
- 2: The project team had some data and evidence on the relevance of the measures to address gender inequalities and empower women. There is evidence that at least some adjustments were made, as appropriate. (both must be true)
- 1: *The project team had limited or no evidence on the relevance of measures to address gender inequalities and empowering women. No evidence of adjustments and/or changes made. This option should also be selected if the project has no measures to address gender inequalities and empower women relevant to the project results and activities.*

Evidence:

Many women participated in the activities at community levels and gender-segregated populations of the thirty-one communities at risk were also collected, but there were no adequate measures to address gender inequalities, empower women, or make use of the gender-segregated data collected for the community profiles (See attached Community Contingency Plans for Komporo and Komidi communities under Question 3 and 4).

Management Response:

Gender -segregated data collection would be used in future programming to promote measures to address gender inequalities and empower women.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

7. Were social and environmental impacts and risks successfully managed and monitored?

- 3: Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effectively managed or mitigated. If there is a substantive change to the project or change in context that affects risk levels, the SESP was updated to reflect these changes. (all must be true)
- 2: *Social and environmental risks were tracked in the risk log. Appropriate assessments conducted where required (i.e., Environmental and Social Impact Assessment (ESIA) for Substantial and High risk projects and some level of social and environmental assessment for Moderate risk projects as identified through SESP). Relevant management plan(s) developed, implemented and monitored for identified risks. OR project was categorized as Low risk through the SESP.*
- 1: Social and environmental risks were tracked in the risk log. For projects categorized as High, Substantial, or Moderate Risk, there was no evidence that social and environmental assessments completed and/or management plans or measures development, implemented or monitored. There are substantive changes to the project or changes in the context but SESP was not updated. (any may be true)

Evidence:

There is a risk log in the project document addressing risks concerning partnership, perception, communication between key stakeholders, security of properties and personnel. However, as the project progressed, the risks shifted from above issues focused more on the risks to the beneficiaries/ communities, and risks associated with the technical assessments/ studies and especially the results with high uncertainty from the geotechnical studies which was based on limited data. This became more of responsibility issues and these issues were addressed by the project board (Please see Board meeting minutes #4, minutes of meeting with Under Secretary and his response email attached under Question 1.).

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

8. Were grievance mechanisms available to project-affected people and were grievances (if any) addressed to ensure any perceived harm was effectively mitigated?

- 3: Project-affected people actively informed of UNDP's Corporate Accountability Mechanism (SRM/SECU) and how to access it. If the project was categorized as High, Substantial, or Moderate Risk through the SESP, a project-level grievance mechanism was in place and project affected people informed. If grievances were received, they were effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: *Project-affected people informed of UNDP's Corporate Accountability Mechanism and how to access it. If the project was categorized as Substantial or High Risk through the SESP, a project -level grievance mechanism was in place and project affected people informed. If grievances were received, they were responded to but faced challenges in arriving at a resolution.*
- 1: Project-affected people was not informed of UNDP's Corporate Accountability Mechanism. If grievances were received, they were not responded to. (any may be true)

Evidence:

Some of the downstream communities around the gold mine were concerned about spillover, dam break, and/or other possible risks. However, NDMO took the ownership and handled grievances in the communities at risk by providing knowledge products, running awareness programmes, conducting simulation exercises (drills) and involving them in the contingency planning (See knowledge products attached under Question 4).

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Management & Monitoring

Quality Rating: Needs Improvement

9. Was the project's M&E Plan adequately implemented?

- 3: The project had a comprehensive and costed M&E plan. Baselines, targets and milestones were fully populated. Progress data against indicators in the project's RRF was reported regularly using credible data sources and collected according to the frequency stated in the Plan, including sex disaggregated data as relevant. Any evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. Lessons learned, included during evaluations and/or After-Action Reviews, were used to take corrective actions when necessary. (all must be true)
- 2: *The project costed M&E Plan, and most baselines and targets were populated. Progress data against indicators in the project's RRF was collected on a regular basis, although there was may be some slippage in following the frequency stated in the Plan and data sources was not always reliable. Any evaluations conducted, if relevant, met most decentralized evaluation standards. Lessons learned were captured but were used to take corrective actions. (all must be true)*
- 1: The project had M&E Plan, but costs were not clearly planned and budgeted for, or were unrealistic. Progress data was not regularly collected against the indicators in the project's RRF. Evaluations did not meet decentralized evaluation standards. Lessons learned were rarely captured and used. Select this option also if the project did not have an M&E plan.

Evidence:

The project regularly monitored the progress against the indicators through the quarterly reports, and the terminal evaluation was conducted under the UNDP evaluation standards (Attached below).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	2017ANNUALREPORT_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017ANNUALREPORT_245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:37:00 AM
2	2017Q1GoldridgeTSFPProgressReport__245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017Q1GoldridgeTSFPProgressReport__245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:37:00 AM
3	2017Q2GoldridgeTSFPProgressReport__245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017Q2GoldridgeTSFPProgressReport__245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:37:00 AM
4	2017Q4GoldridgeTSFPProgressReport_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2017Q4GoldridgeTSFPProgressReport_245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:38:00 AM
5	2018Annualreport_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2018Annualreport_245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:38:00 AM
6	2018Q1GoldridgeTSFPProgressReport_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2018Q1GoldridgeTSFPProgressReport_245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:38:00 AM
7	2018Q2GoldridgeTSFPProgressReport_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2018Q2GoldridgeTSFPProgressReport_245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:39:00 AM
8	2018Q3GoldridgeTSFPProgressReport_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/2018Q3GoldridgeTSFPProgressReport_245_309.pdf)	jiye.suh@undp.org	7/31/2019 2:39:00 AM
9	FinalReport_TSFFinalEvaluation_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/FinalReport_TSFFinalEvaluation_245_309.pdf)	jiye.suh@undp.org	8/1/2019 2:12:00 AM
10	ANNEX2_Inceptionreport_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ANNEX2_Inceptionreport_245_309.pdf)	jiye.suh@undp.org	8/1/2019 2:12:00 AM
11	ANNEX3_EvaluationMatrix_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ANNEX3_EvaluationMatrix_245_309.pdf)	jiye.suh@undp.org	8/1/2019 2:12:00 AM

12	ANNEX4__GenericQs_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ANNEX4__GenericQs_245_309.pdf)	jiye.suh@undp.org	8/1/2019 2:13:00 AM
13	ANNEX5_IntervieweeList_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ANNEX5_IntervieweeList_245_309.pdf)	jiye.suh@undp.org	8/1/2019 2:13:00 AM
14	ANNEX6_LISTOFKEYDOCUMENTSSTUDIED_245_309 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ANNEX6_LISTOFKEYDOCUMENTSSTUDIED_245_309.pdf)	jiye.suh@undp.org	8/1/2019 2:13:00 AM

10. Was the project's governance mechanism (i.e., the project board or equivalent) function as intended?

- 3: The project's governance mechanism operated well, and was a model for other projects. It met in the agreed frequency stated in the project document and the minutes of the meetings were all on file. There was regular (at least annual) progress reporting to the project board or equivalent on results, risks and opportunities. It is clear that the project board explicitly reviewed and used evidence, including progress data, knowledge, lessons and evaluations, as the basis for informing management decisions (e.g., change in strategy, approach, work plan.) (all must be true to select this option)
- 2: *The project's governance mechanism met in the agreed frequency and minutes of the meeting are on file. A project progress report was submitted to the project board or equivalent at least once per year, covering results, risks and opportunities. (both must be true to select this option)*
- 1: The project's governance mechanism did not meet in the frequency stated in the project document over the past year and/or the project board or equivalent was not functioning as a decision-making body for the project as intended.

Evidence:

There were five board meetings in the entire project period (approx. one board meeting a year) and the progress was shared and discussed with the board whenever required. The project progress reports were prepared based on the presentation slides shared in the board meetings (See attached slides below and board meeting minutes attached under Question 1, 4 & 11).

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	20190117-GoldRidgeTSFProject_245_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20190117-GoldRidgeTSFProject_245_310.pptx)	jiye.suh@undp.org	7/31/2019 2:52:00 AM
2	20180711-GoldRidgeTSFProject-final_245_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20180711-GoldRidgeTSFProject-final_245_310.pptx)	jiye.suh@undp.org	7/31/2019 2:55:00 AM
3	20180306-GoldRidgeTSFProject_245_310 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/20180306-GoldRidgeTSFProject_245_310.pptx)	jiye.suh@undp.org	7/31/2019 2:55:00 AM

11. Were risks to the project adequately monitored and managed?

- 3: The project monitored risks every quarter and consulted with the key stakeholders, security advisors, to identify continuing and emerging risks to assess if the main assumptions remained valid. There is clear evidence that relevant management plans and mitigating measures were fully implemented to address each key project risk and were updated to reflect the latest risk assessment. (all must be true)
- 2: The project monitored risks every year, as evidenced by an updated risk log. Some updates were made to management plans and mitigation measures.
- 1: *The risk log was not updated as required. There was may be some evidence that the project monitored risks that may affected the project's achievement of results, but there is no explicit evidence that management actions were taken to mitigate risks.*

Evidence:

Risks were monitored as this was a highly sensitive project, but the risk log was not updated. However, risks were addressed in the board meetings with suggestions on necessary measures. For example, a further study on the geotechnical assessment was recommended by the geotech expert due to unreliable results due to lack of data. However, this included drilling the dam wall so the board decided not to conduct the further studies (Please see board meeting minutes #3 attached below, Board meeting minutes #5 (Final board meeting) attached under Q4, Board meeting minutes #4 attached under Q1.).

Management Response:

Looking forward, activities such as updating the risk log on regular intervals should be part and parcel of responsible officers of monitoring risks as we support the national government to address environmental issues.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	Meetingminutes3_245_311 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/Meetingminutes3_245_311.pdf)	jjye.suh@undp.org	7/31/2019 3:08:00 AM

Efficient**Quality Rating: Satisfactory**

12. Adequate resources were mobilized to achieve intended results. If not, management decisions were taken to adjust expected results in the project's results framework.

- Yes
 No

Evidence:

As for financial resources, the project team managed to work within the budget despite many external changes (See Question 1) through evidence-based decision made by the project board. See AWP's attached.

List of Uploaded Documents

#	File Name	Modified By	Modified On
1	20181212-Signed_budget_revision_245_312 (https://intranet.undp.org/apps/ProjectQA/QA/FormDocuments/20181212-Signed_budget_revision_245_312.pdf)	jiye.suh@undp.org	7/31/2019 7:38:00 AM
2	20180812-Signed_budget_revision_245_312 (https://intranet.undp.org/apps/ProjectQA/QA/FormDocuments/20180812-Signed_budget_revision_245_312.pdf)	jiye.suh@undp.org	7/31/2019 7:39:00 AM
3	20180418-Signed_budget_revision_245_312 (https://intranet.undp.org/apps/ProjectQA/QA/FormDocuments/20180418-Signed_budget_revision_245_312.pdf)	jiye.suh@undp.org	7/31/2019 7:43:00 AM
4	20171103-Signed_budget_revision_245_312 (https://intranet.undp.org/apps/ProjectQA/QA/FormDocuments/20171103-Signed_budget_revision_245_312.pdf)	jiye.suh@undp.org	7/31/2019 7:51:00 AM
5	20161010-Signed_budget_revision_245_312 (https://intranet.undp.org/apps/ProjectQA/QA/FormDocuments/20161010-Signed_budget_revision_245_312.pdf)	jiye.suh@undp.org	7/31/2019 7:57:00 AM
6	20160718-Signed_budget_revision_245_312 (https://intranet.undp.org/apps/ProjectQA/QA/FormDocuments/20160718-Signed_budget_revision_245_312.pdf)	jiye.suh@undp.org	7/31/2019 7:57:00 AM

13. Were project inputs procured and delivered on time to efficiently contribute to results?

- 3: The project had a procurement plan and kept it updated. The project quarterly reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)
- 2: *The project had updated procurement plan. The project annually reviewed operational bottlenecks to procuring inputs in a timely manner and addressed them through appropriate management actions. (all must be true)*
- 1: The project did not have an updated procurement plan. The project team may or may not have reviewed operational bottlenecks to procuring inputs regularly, however management actions were not taken to address them.

Evidence:

The project had procurement plan in the planning/monitoring tool PROMPT (<https://apps.aprc.undp.org/prompt/SitePages/Main.aspx>) and the project reviewed operational bottlenecks. For instance, finding a capable geotech expert/company required much time and efforts to get someone capable on board. However, with the help from the procurement team and their guidance on UNDP procurement policy, we were able to have the right geotech expert on board.

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No documents available.			

14. Was there regular monitoring and recording of cost efficiencies, taking into account the expected quality of results?

- 3: There is evidence that the project regularly reviewed costs against relevant comparators (e.g., other projects or country offices) or industry benchmarks to ensure the project maximized results delivered with given resources. The project actively coordinated with other relevant ongoing projects and initiatives (UNDP or other) to ensure complementarity and sought efficiencies wherever possible (e.g. joint activities.) (both must be true)
- 2: *The project monitored its own costs and gave anecdotal examples of cost efficiencies (e.g., spending less to get the same result,) but there was no systematic analysis of costs and no link to the expected quality of results delivered. The project coordinated activities with other projects to achieve cost efficiency gains.*
- 1: There is little or no evidence that the project monitored its own costs and considered ways to save money beyond following standard procurement rules.

Evidence:

The project procurement were processed following the procurement processes under the guidance of the procurement team. For example, water quality equipment for the national public health lab was procured through GPU Health/UNDP based in Denmark in order to purchase the equipment for the best value for money.

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No documents available.			

Effective

Quality Rating: Satisfactory

15. Was the project on track and delivered its expected outputs?

- Yes
- No

Evidence:

The institutional and community contingency plans were developed during the project period, and development of monitoring tools for the TSF were initiated by the government counterparts with support from u s. However, due to changes of the circumstances, th e initial activities/output (eg. catastrophic dam break scenario) and budget that were planned were chang ed. See the answer to Question 1, and the AWP s att ached under Question 12.

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#	File Name	Modified By	Modified On
No documents available.			

16. Were there regular reviews of the work plan to ensure that the project was on track to achieve the desired results, and to inform course corrections if needed?

- 3: Quarterly progress data informed regular reviews of the project work plan to ensure that the activities implemented were most likely to achieve the desired results. There is evidence that data and lessons learned (including from evaluations /or After-Action Reviews) were used to inform course corrections, as needed. Any necessary budget revisions were made. (both must be true)
- 2: *There was at least one review of the work plan per year with a view to assessing if project activities were on track to achieving the desired development results (i.e., outputs.) There may or may not be evidence that data or lessons learned were used to inform the review(s). Any necessary budget revisions have been made.*
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs were delivered on time, no link was made to the delivery of desired development results. Select this option also if no review of the work plan by management took place.

Evidence:

There were a number of budget revisions done to make sure that the project was regularly updated to achieve the desired results and to inform course corrections. See signed budget revisions attached under Question 12.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

17. Were the targeted groups systematically identified and engaged, prioritizing the marginalized and excluded, to ensure results were achieved as expected?

- 3: The project targeted specific groups and/or geographic areas, identified by using credible data sources on their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups were reached as intended. The project engaged regularly with targeted groups over the past year to assess whether they benefited as expected and adjustments were made if necessary, to refine targeting. (all must be true)
- 2: *The project targeted specific groups and/or geographic areas, based on some evidence of their capacity needs, deprivation and/or exclusion from development opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries are members of the targeted groups. There was some engagement with beneficiaries in the past year to assess whether they were benefiting as expected. (all must be true)*
- 1: The project did not report on specific targeted groups. There is no evidence to confirm that project beneficiaries are populations have capacity needs or are deprived and/or excluded from development opportunities relevant to the project area of work. There is some engagement with beneficiaries to assess whether they benefited as expected, but it was limited or did not occurred in the past year.
- Not Applicable

Evidence:

The project communicated and interacted frequently with the 31 communities at risk and to inform them of the community contingency plans. The contingency plans and the evacuation plans were developed together with the community. Please see attached the maps and contingency plans under Question 4.

List of Uploaded Documents

#	File Name	Modified By	Modified On
No documents available.			

Sustainability & National Ownership**Quality Rating: Needs Improvement**

18. Were stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the project?

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) were used to fully implement and monitor the project. All relevant stakeholders and partners were fully and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring. (both must be true)
- 2: *National systems (i.e., procurement, monitoring, evaluation, etc.) were used to implement and monitor the project (such as country office support or project systems) were also used, if necessary. All relevant stakeholders and partners were actively engaged in the process, playing an active role in project decision-making, implementation and monitoring. (both must be true)*
- 1: There was relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and/or monitoring of the project.
- Not Applicable

Evidence:

It was a project under Nationally Implemented Modality (NIM) with full CO support. However, NDMO (National Disaster Management Office) was engaged in the procurement process by, for example, going through the competitive process by themselves to select a printing company.

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19. Were there regular monitoring of changes in capacities and performance of institutions and systems relevant to the project, as needed, and were the implementation [arrangements](#)⁸ adjusted according to changes in partner capacities?

- 3: Changes in capacities and performance of national institutions and systems were assessed/monitored using clear indicators, rigorous methods of data collection and credible data sources including relevant HACT assurance activities. Implementation arrangements were formally reviewed and adjusted, if needed, in agreement with partners according to changes in partner capacities. (all must be true)
- 2: Aspects of changes in capacities and performance of relevant national institutions and systems were monitored by the project using indicators and reasonably credible data sources including relevant HACT assurance activities. Some adjustment was made to implementation arrangements if needed to reflect changes in partner capacities. (all must be true)
- 1: *Some aspects of changes in capacities and performance of relevant national institutions and systems may have been monitored by the project, however changes to implementation arrangements have not been considered. Also select this option if changes in capacities and performance of relevant national institutions and systems have not been monitored by the project.*
- Not Applicable

Evidence:

According to documents available to this project, capacity assessments were not done. However, in order to strengthen the capacity of the key stakeholders (the three ministries) a technical working group was formed, appropriate equipment such as drones, water quality testing tools and drone training was provided, development of environmental monitoring tools, reporting systems through a workshop in the last quarter of 2018 were initiated by the project for the relevant ministries to continue with the monitoring under the contingency plans.

Management Response:

Based on lessons learned from this project, capacity assessments forms a key step in ensuring partners or stakeholders that will be engaged have the capacity to implement the project. We will ensure for future projects capacity assessment should be conducted for potential partners.

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20. Were the transition and phase-out arrangements were reviewed and adjusted according to progress (including financial commitment and capacity).

- 3: The project's governance mechanism regularly reviewed the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan. The plan was implemented as planned by the end of the project, taking into account any adjustments made during implementation. (both must be true)
- 2: There was a review of the project's sustainability plan, including arrangements for transition and phase-out, to ensure the project remained on track in meeting the requirements set out by the plan.
- 1: *The project may have had a sustainability plan but there was no review of this strategy after it was developed. Also select this option if the project did not have a sustainability strategy.*

Evidence:

There was no sustainability plan for this project. However, a technical working group was formed, appropriate equipment such as drones, water quality testing tools and drone training was provided, development of environmental monitoring tools, reporting systems through a workshop in the last quarter of 2018 were initiated by the project for the relevant ministries to continue with the monitoring under the contingency plans. Also, the management responses to the recommendations from the terminal evaluation have been followed up.

Management Response:

Based on the lessons from this projects need to have a sustainability plan in placed during the implementation and operation stage. Hence, once project lifetime ends this will ensure there is some form of continuity for the project outputs and deliverable.

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QA Summary/Final Project Board Comments

The project has successfully been carried out with some room for improvements.