



UN Development Programme
El Salvador - San Salvador

Award ID: 00056902

Award Title: Democratic Governance in times of Crisis and Change in E

Start Year: 2009

2009

End Year: 2010

2010

Implementing Partner (Executing Agency): UNDP

UNDP

Responsible Party (Implementing Agent): UNDP

UNDP

Revision Type: Substantial Revision 1

Substantial Revision 1

Brief Description:

Se ha revisado el documento de proyecto para realizar cambios en los productos, tal como se detalla en el documento de revisión adjunto. Asimismo se ha revisado el presupuesto para se ajustado a los gastos estimados al cierre del año.

Agreed by: Richard Barathe, RRA

[Signature]
Richard Barathe, RRA

Agreed by:

4/12/09

Agreed by:

Agreed by:

Budget (US\$) as of Last Revision on 03-December-2009	
Donor	UNDP
Fund	20004 DGTTF-NATIONAL, REGIONAL LG
Amount	170,000.00
Total Budget (2009 and Beyond)	170,000.00
Total Expenditure (2008 and Prior)	0.00
Award Total	170,000.00
Unprogrammed/Unfunded	0.00



Annual Work Plan

El Salvador - San Salvador

Award Id: 00056902

Report Date: 3/12/2009

Award Title: Democratic Governance in times of Crisis and Change in E

Year: 2009

Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget				
			Start	End		Fund	Donor	Budget Descr	Amount US\$	
00070027	Democratic Governance in times	CAPACITY BUILDING	1/9/09	31/12/10	UNDP	20004	UNDP	71200	International Consultants	48,540.00
						20004	UNDP	71300	Local Consultants	10,750.00
						20004	UNDP	71600	Travel	8,450.00
						20004	UNDP	72100	Contractual Services-Companies	0.00
						20004	UNDP	74200	Audio Visual&Print Prod Costs	0.00
						20004	UNDP	74500	Miscellaneous Expenses	3,060.00
						20004	UNDP	75700	Training, Workshops and Confe	0.00
						20004	UNDP	71300	Local Consultants	7,000.00
						20004	UNDP	74500	Miscellaneous Expenses	250.00
						20004	UNDP	75700	Training, Workshops and Confe	0.00
						20004	UNDP	71300	Local Consultants	2,830.00
						20004	UNDP	71400	Contractual Services - Individ	0.00
						20004	UNDP	71600	Travel	2,025.00
						20004	UNDP	73100	Rental & Maintenance-Premises	0.00
20004	UNDP	74200	Audio Visual&Print Prod Costs	0.00						
20004	UNDP	74500	Miscellaneous Expenses	0.00						
20004	UNDP	75700	Training, Workshops and Confe	4,127.00						
20004	UNDP	73100	Rental & Maintenance-Premises	5,055.00						
20004	UNDP	75700	Training, Workshops and Confe	395.00						
TOTAL										
92,482.00										
GRAND TOTAL										
92,482.00										



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Project ID	Expected Outputs	Key Activities	Timeframe		Responsible Party	Planned Budget				
			Start	End		Fund	Donor	Budget Descr	Amount US\$	
00070027	Democratic Governance in times	CAPACITY BUILDING	1/9/09	31/12/10	UNDP	20004	20004	71200	International Consultants	14,440.00
					UNDP	20004	71300	Local Consultants	10,750.00	
					UNDP	20004	71800	Travel	8,450.00	
					UNDP	20004	72100	Contractual Services-Companies	0.00	
					UNDP	20004	74200	Audio Visual&Print Prod Costs	0.00	
					UNDP	20004	74500	Miscellaneous Expenses	3,060.00	
					UNDP	20004	75700	Training, Workshops and Confe	0.00	
					UNDP	20004	71300	Local Consultants	5,000.00	
					UNDP	20004	74500	Miscellaneous Expenses	250.00	
					UNDP	20004	75700	Training, Workshops and Confe	0.00	
					UNDP	20004	71400	Contractual Services - Individ	0.00	
					UNDP	20004	71600	Travel	700.00	
					UNDP	20004	73100	Rental & Maintenance-Premises	0.00	
					UNDP	20004	74200	Audio Visual&Print Prod Costs	0.00	
					UNDP	20004	74500	Miscellaneous Expenses	0.00	
UNDP	20004	75700	Training, Workshops and Confe	1,318.00						
UNDP	20004	71400	Contractual Services - Individ	22,000.00						
UNDP	20004	73100	Rental & Maintenance-Premises	3,945.00						
UNDP	20004	74200	Audio Visual&Print Prod Costs	5,000.00						
UNDP	20004	74500	Miscellaneous Expenses	2,000.00						
UNDP	20004	75700	Training, Workshops and Confe	605.00						
TOTAL										
77,518.00										
GRAND TOTAL										
77,518.00										



SUBSTANTIVE REVIEW
Proyecto 00070027
“Ensuring democratic governance
in times of crisis and change in El Salvador 2009-2010”

I. Background

In March of 2009 the Democratic Governance Trust Fund (DGTTF) approved a grant to the United Nations Development Programme (UNDP) El Salvador (SV) Country Office (CO) for the implementation of the project entitled “*Ensuring democratic governance in times of crisis and change in El Salvador 2009-2010*”, to be held for two years from 2009 to 2010.

The project’s main objective is contribute to achieving a political scenario that is the most favourable to democratic governance in El Salvador in the medium term (2010), characterized by managing the effects of the global economic crisis and the outcome of the 2009 general.

The implementation of this project aims to strengthen the capacities of key political actors and the public, as well as creating spaces for dialogue, negotiation and support the formulation of public policies, for the management of crises and changes, under the democratic regime.

This contribution was original expected to be made by attaining two outcomes in three key areas:

1. Production of information: Produce timely information that helps to identify the potential risks to the democratic system and identify key issues on the political agenda.

2. Capacity building: An opportunity for representatives from different political and social sectors to share information and discuss the current political situation, based on a solid programme of study aimed at building capacity for political analysis and prospective scenarios with regard to democratic governance.

3. Promotion of meeting points: Facilitate and support the improvement or creation of opportunities for key political actors to discuss and share information about key issues on the political agenda.

To this end, four activities are anticipated: (1) Periodic situation analyses; (2) implementation of a training programme on political analysis and scenario-building; (3) attainment of more well-informed citizen participation in political processes; and (4) promotion of seminars for discussing and sharing information about governance and the democratic institutional framework.

Originally, the project was designed as it follows:

- **Outcome 1:** Challenges, possible tensions and national priorities for strengthening democratic governance, addressed
 - **Activity 1:** Periodic situation analyses conducted
- **Outcome 2:** Management capabilities of the Presidency of the Republic to promote democratic governance, strengthened
 - **Activity 2:** A training programme in political analysis and prospective scenarios implemented
 - **Activity 3:** More well-informed citizen participation in political processes
 - **Activity 4:** Seminars for discussing and sharing information on democratic governance and the institutional framework, promoted

Given a specific request of the President of the Republic to the UNDP CO in the sense of seeking support for the implementation of SIGOB in El Salvador, was requested a reorientation of the outcome 2, specifically in activities 2 and 3 of the project, which was approved.

II. Review Justification

In July 2009, was forwarded by the Ministries of Social Inclusion, Technical and Strategic Affairs of the Presidency and the Ministry of Agriculture, to the United Nations Development Programme (UNDP) El Salvador (SV) Country Office (CO), the need to support the capacities strengthening of the central government for democratic governance, from the implementation of a system that would make a results-based governance, particularly relevant in the current context of economic crisis and transition of government.

In response to this concern, SV CO, made contact with the UNDP Regional Project SIGOB, which resulted in a first mission of the management team of the project that identified the specific needs and possibilities for concrete support. To this end were held a series of reunions with the President of the Republic, the First Lady and Secretary for Social Inclusion and the other holders of state ministries and secretariats mentioned above.

In November official notice was received by the Office of the President seeking support for the implementation of the SIGOB system for the purposes referred.

That same month, had a second mission of SIGOB Regional Project in order to realize the identified support and initiate the implementation process, schedule your home for December 2009.

Since the project "Ensuring democratic governance in times of crisis and change in El Salvador 2009-2010" was designed to contribute to achieving a political scenario that is the most favorable to democratic governance in El Salvador in the medium term (2010), characterized by managing the effects of the global economic crisis and the outcome of the 2009, was considered appropriate to seek to respond to the request raised earlier, because it aims precisely to provide the central government tools to manage the effects of major economic crisis and political change through the efficiency in their decision-making processes and implementation of initiatives, projects and government programs.

In that sense, the mentioned above change, will allow us to better tackle the current needs and demands, as initially the project was designed in a pre-election context, still paying the same objective.

III. Modifications to the Project Document

The following lists only those sections that have been changed or added in the original text of the project, the rest of the sections and chapters of the Project document remain unchanged according to the original document, which will form part of the substantive and document review referential.

Section 1: Annual Project Work Plan

There have been changes in the outcome 2 and Activities 2 and 3, which have merged to create a single activity, becoming activity 4 No. 3. It made a change in resource allocation for activity 1 of the outcome 1 to the outcome 2, and from this to activity 4, now 3. The substantive changes made are shown in the table that follows:

ORIGINAL DESIGN RESULTS AND ACTIVITIES	REDIRECTED RESULTS AND ACTIVITIES
Outcome 1: Challenges, possible tensions and national priorities for strengthening democratic governance, addressed.	Outcome 1: Challenges, possible tensions and national priorities for strengthening democratic governance, addressed.
Activity 1: Periodic situation analyses conducted	Activity 1: Periodic situation analyses conducted
Outcome 2: Management capabilities of the Presidency of the Republic to promote democratic governance, strengthened.	Outcome 2: Management capabilities of the Presidency of the Republic to promote democratic governance, strengthened
Activity 2: A training programme in political analysis and prospective scenarios implemented	Activity 2: Management Center System implemented (Merged with activity 2 above listed)
Activity 3: More well-informed citizen participation in political processes	

Activity 4: Seminars for discussing and sharing information on democratic governance and the institutional framework, promoted	Project administration, monitoring and evaluation
Activity 3: Seminars for discussing and sharing information on democratic governance and the institutional framework, promoted	Project administration, monitoring and evaluation

For further detail regarding the reorientation and budget reallocations made, is annexed to this the Annual Work Plan for 2009 and 2010 and the Results and Resources Frameworks.

Section 2: Project Administrative Arrangements

As relates to the implementation of the project activity No. 2 framed in outcome 2, the administrative arrangements may vary according to the provisions of the agreement celebrated for the effects between United Nations Development Programme (UNDP) El Salvador (SV) Country Office (CO) and the Regional Bureau for Latin American and the Caribbean (RBLAC) and which has been attached to this.

Section 3: Monitoring and evaluation Framework

No changes are reported.

Section 4: Quality Management of Project Activity Outcomes

According to the reorientation done at the result 2, quality management was revised as follows:

Activity 2 Outcome (Atlas Activity ID)	Management capabilities of the Presidency of the Republic to promote democratic governance, strengthened	Start Date: 01 December 2009 End Date: 30 September 2010
Objective	Strengthening the management capabilities of senior management of the Presidency of the Republic to promote Democratic Governance. In addition, promote ongoing seminars to discuss key issues on the political agenda.	
Description	A working system that combines methods, procedures and software tools available to managers of the agenda and interinstitutional relations of the President of the Republic [PR] and its aimed at strengthening the capacity of executive action in relation to this management and evaluation of applications received by his office, programming and management of your daily agenda, programming and management of agreements and commitments of PR and programming and management control of the instructions he indicates. In addition, promote the creation of seminars where key political actors can discuss and share information on key issues on the political agenda.	
Quality Criteria		
Quality Method	After launching the system, an analysis of lessons learned and mistakes made during the implementation process.	Evaluation Date September 2010
		Management Center System improve the link between the Government Strategic Programming with the programming and daily operational management of the office of Head of Government with respect to what enters the office, the agenda setting of the President, later when executive action of the President and the instructions of the President.

Section 5: Legal Framework

No changes are reported.

Section 6: Risk Assessment

No changes are reported.

Annexes

1. Annual Work Plan for 2009 and 2010 Framework
2. Results and Resources Framework
3. Agreement UNDP SV CO and RBLAC for SIGOB implementation

Annex 1: Annual Work Plan for 2009 and 2010 Framework

Year: 2009

EXPECTED OUTCOMES	ACTIVITIES	CALENDAR				RESPONSIBLE ENTITY	Funding Source	BUDGET PLANNING	
		Q1	Q2	Q3	Q4			Itemized Budget	Amount
<p>1. Challenges, possible tensions and national priorities for strengthening democratic governance, addressed.</p> <p>Baseline: Strategic Reports, Elections 2009 and PAPEP projects.</p> <p>Indicators: Number of situation analyses written. Research programme carried out and study papers written.</p> <p>Targets: At the end of 2009, a monitoring system designed and implemented.</p> <p>Related CP Outcome: 1,2</p>	<p>1.1. Periodic situation analyses conducted:</p> <ul style="list-style-type: none"> - Design of monitoring system. - Compiling of information. - Preparation of situation analyses. 		x	X	x	UNDP-SV	DGTFE	Activity Subtotal	7,250
					x			71300 National consultants	7,000
					x			74500 Miscellaneous	250
<p>2. Management capabilities of the Presidency of the Republic to promote democratic governance, strengthened.</p> <p>Baseline:</p> <p>Indicators: 100 requests handled, 50 commitments managed, 20 Fact Sheets developed activities and 40 staff members trained. No. of participants in seminars and No. of seminars carried out</p> <p>Targets: At the end of 2009, the Management Center System has been structured. Periodic seminars organized and their implementation begun</p> <p>Related CP Outcome: 1,2</p>	<p>2. Management Center System implemented in the President Office:</p> <ul style="list-style-type: none"> - System Structuring. - System Application. - System Implementation - Practice Review 				x	UNDP-SV	DGTFE	Activity Subtotal	71,000
					x			71200 International consultants	48,540
					x			71300 National consultants	10,750
					x			71600 Travel	8,450
					x			74500 Miscellaneous	3,260

EXPECTED OUTCOMES	ACTIVITIES	CALENDAR				RESPONSIBLE ENTITY	Funding Source	BUDGET PLANNING	
		Q1	Q2	Q3	Q4			Itemized Budget	Amount
<p>1. Challenges, possible tensions and national priorities for strengthening democratic governance, addressed.</p> <p>Baseline: Strategic Reports, Elections 2009 and PAPER projects.</p> <p>Indicators: Number of situation analyses written.</p> <p>Targets: At the end of 2010, a critical path has been defined for coping with the environment of crisis and change with regard to democratic governance.</p> <p>Related CP Outcome: 1.2</p>	<p>1. Periodic situation analyses conducted:</p> <p>- Compiling of information. - Preparation of situation analyses.</p>	x	x	x	x	UNDP-SV	DGTFP	Activity Subtotal	5,250
		x	x	x	x			71300 National Consultants	5,000
								74500 Miscellaneous	250
<p>2. Management capabilities of the Presidency of the Republic to promote democratic governance, strengthened.</p> <p>Baseline:</p> <p>Indicators: 100 requests handled, 50 commitments managed, 20 Fact Sheets developed activities and 40 staff members trained. No. of participants in seminars and No. of seminars carried out</p> <p>Targets: At the end of 2010, the Management Center System has been implemented and it is working. Periodic seminars implemented begun</p> <p>Related CP Outcome: 1.2</p>	<p>2. Management Center System implemented in the President Office:</p> <p>- System Structuring. - System Application. - System Implementation - Practice Review</p>	x	x	x	x	UNDP-SV	DGTFP	Activity Subtotal	0
		x	x	x	x			71200 International consultants	0
								71300 National consultants	0
								71600 Travel	0
								74500 Miscellaneous	0

Annex 2: Results and Resources Framework

EXPECTED OUTCOMES	ACTIVITIES	BUDGET		
		2009	2010	TOTAL
1. Challenges, possible tensions and national priorities for strengthening democratic governance, addressed.	1. Periodic situation analyses conducted	7,250	5,250	12,500
	2. Management Center System implemented in the President Office	71,000	0.00	71,000
	3. Seminars for discussing and sharing information on democratic governance and the institutional framework, promoted	8,982	38,518	47,500
2. Informed dialogue among key political actors and citizen participation in political process promoted.	Project administered, monitored and evaluated	5,450	33,550	39,000
	TOTAL	92,682	77,318	170,000