



General Grant Information

Country	Sao Tome and Principe				
Grant Number	STP-011-G05-H	Component	HIV/AIDS	Round	10
Grant Title	Strengthening the HIV/AIDS epidemic response among vulnerable groups and Most-at-risk population in Sao Tome and Principe				
Principal Recipient	United Nations Development Programme				
Grant Status	Active - Phase I				
Grant Start Date	01 Jan 2012	Grant End Date	31 Dec 2016		
Current* Phase Start Date	01 Jan 2014	Current* Phase End Date	31 Dec 2016	Latest Rating	B1
Current* Phase Signed Amount	\$ 1,046,644	Current* Phase Committed Amount	\$ 426,709	Current* Phase Disbursed Amount	\$ 426,709
Cumulative Signed Amount	\$ 1,895,959	Cumulative Committed Amount	\$ 1,276,024	Cumulative Disbursed Amount	\$ 1,276,024
				% Disbursed	100%
Time Elapsed (at the end of the latest reporting period)	24 months	Proposal Lifetime	Not Available	% of Grant Duration	40%

* Latest Phase if grant is closed

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1. Program Description and Contextual Information

1.1. Grant Summary - Web

Sao Tome and Principe is Africa's smallest economy. The first AIDS case in Sao Tome and Principe was reported in 1990 and since then, 390 cases have been identified. The majority of cases are associated with sexual transmission, mostly heterosexual. The HIV epidemic in Sao Tome and Principe shows characteristics of both generalized and concentrated epidemics. This program will address the following HIV/AIDS issues in Sao Tome and Principe, among others: voluntary testing and counselling; prevention activities for targeted populations, including sex workers and their clients and men who have sex with men (MSM); integration of prevention of mother-to-child transmission (PMTCT) services at the health district level; and treatment for opportunistic infections. The goal of the Program is to decrease the morbidity and mortality of HIV infection among people living with HIV/AIDS.

1.2. Country Latest Statistics

Background and Health Spending	Estimate	Year	Source
Population, total	188,098	2012	The World Bank Group (Data latest 2013 (update: 2012)
Birth rate, crude (per 1,000 people)	31	2011	The World Bank Group (Data latest 2013 (update: 2011)
Death rate, crude (per 1,000 people)	8	2011	The World Bank Group (Data latest 2013 (update: 2011)
External resources for health (% of total expenditure on health)	25	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure per capita (current US\$)	117	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, private (% of GDP)	5	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of GDP)	3	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of government expenditure)	6	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, public (% of total health expenditure)	33	2011	The World Bank Group (Data latest 2013 (update: 2011)
Health expenditure, total (% of GDP)	8	2011	The World Bank Group (Data latest 2013 (update: 2011)
Hospital beds (per 1,000 people)	3	2011	The World Bank Group (Data latest 2013 (update: 2011)
Life expectancy at birth, total (years)	65	2011	The World Bank Group (Data latest 2013 (update: 2011)
HIV/AIDS	Estimate	Year	Source
AIDS Orphans Number estimate	500	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Annual number of AIDS deaths Number estimate	100	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Estimated HIV prevalence, adult (15-49 years old)(%)	1	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Estimated number of people needing antiretroviral therapy based on 2010 WHO guidelines	500	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
People living with HIV Number estimate	1,000	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
Reported number of people receiving antiretroviral therapy	252	2012	UNAIDS Report on the Global AIDS 2012 Epidemic
People currently on ART	32	2014	Mid-2014 Global Fund Results

1.3. Comments on Key Discrepancies between Approved Proposal and Grant

Artificial milk as substitutes for breast milk removed

1.5. Conditions Precedent

CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	<p>The second disbursement of Grant Funds by the Global Fund to the Principal Recipient is subject to the delivery by the Principal Recipient to the Global Fund of the following, each in form and substance satisfactory to the Global Fund:</p> <p>a. The final report on the Monitoring and Evaluation Systems Strengthening Tool (the "MESS Tool") implemented by the Principal Recipient, in consultation with the Program stakeholders, from 7 to 11 June 2011 (the "MESS Tool Report");</p> <p>b. An updated plan for monitoring and evaluating program activities (the "Updated M&E Plan"), which shall, without limitation:</p> <p>i. Incorporate the recommendations made by the Global Fund Secretariat and program stakeholders upon completion of the MESS Tool; and</p> <p>ii. Include a budgeted action plan for the implementation of the monitoring and evaluation system strengthening interventions identified in the MESS Tool Report;</p>	M&E	Disbursement	01.Sep.12	Met	The final MESST report was shared by the PR in January 2012 and the the costed M&E plan was shared in March 2012. The CT approved the M&E plan in April 2012.
	Condition Precedent	c. An updated Performance Framework which incorporates updated baselines and targets for impact and outcome indicators in alignment with the revised HIV/AIDS National Strategy for the years 2012-1016;	M&E	Disbursement	01.Sep.12	Met	<p>As stated in this condition, the updated Performance Framework needs to be in line with the revised HIV strategy. Unfortunately due to delays related to the changes in the government and MoH, the new HIV strategy (2013-17) has not been validated yet (the PR anticipates validation and submission of the document by the end of Sept 2013).</p> <p>The PR has reported that based on this version of the HIV strategy which is pending validation, there will be no need to adjust the existing Performance Framework.</p> <p>Therefore,the CT has agreed that the existing and initial version of Performance Framework continues to be approved and meets the requirement.</p>
	Condition Precedent	<p>d. A revised budget for the period beginning with the Program Starting Date and ending with the Program Ending Date (the "Revised Program Budget") which incorporates:</p> <p>i. The interventions identified in the MESS Tool Report; and</p> <p>ii. Any amendments to the budget approved by the Global Fund as of the effective date of this Agreement necessitated by the Updated M&E Plan and/or the updated Performance Framework; and</p>	M&E	Disbursement	01.Sep.12	Met	Following the approval of the M&E plan, there was no need for the submission of a revised program budget as shifts in M&E investments were not required.
	Condition Precedent	e. The written approval of the Global Fund of each of the Updated M&E Plan, the updated Performance Framework, and the Revised Program Budget.	M&E	Disbursement	01.Sep.12	Met	As stated above, the M&E plan was approved, while there is no need to revise the program budget. In addition, the CT has agreed that the existing and initial version of Performance Framework continues to be approved.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	<p>The use by the Principal Recipient of Grant funds for the financing of training activities under the Program is subject to the satisfaction of each of the following conditions:</p> <p>a. The delivery by the Principal Recipient to the Global Fund of a detailed training plan and budget which is in form and substance satisfactory to the Global Fund and which covers all trainings that the Principal Recipient proposes to conduct (the "Detailed Training Plan and Budget"); and</p> <p>b. The written approval by the Global Fund of the Detailed Training Plan and Budget</p>	Others	Other		Met	The Y2 training plan was approved in November 2013
	Condition Precedent	<p>The use by the Principal Recipient of Grant funds for the financing of activities to be conducted by consultants for the positions/roles under the Program of "Reviewing the M&E Framework"; "Conducting Capacity-Building on HIV Prevention for Sex Workers"; "Capacity-Building and Execution of HIV Prevention for MSM"; "Follow-Up of HIV Positive Patients"; and "Update of ARV and IO Management Guidelines" is subject to the satisfaction of each of the following conditions:</p> <p>a. The delivery by the Principal Recipient to the Global Fund of a detailed consultancy plan and budget which is in form and substance satisfactory to the Global Fund and which includes, without limitation, the terms of reference for each consultancy position (the "Consultancy Plan and Budget") and;</p> <p>c. The written approval by the Global Fund of the Consultancy Plan and Budget.</p>	Others	Other		Met	The consultancy budget was approved by the CT in July 2013 following the submission of required documentation.
	Condition Precedent	<p>C. SPECIAL TERMS AND CONDITIONS FOR THIS AGREEMENT</p> <p>1. The parties acknowledge that as of the date of the signature of this Agreement, the Global Fund has not approved the plan for the procurement, use and supply management of Health Products (the "PSM Plan") consistent with Article 18 of the Standard Terms and Conditions of this Agreement. Consistent with such Standard Terms and Conditions, the disbursement by the Global Fund or use by the Principal Recipient of Grant funds for the procurement of Health Products is conditional upon the approval by the Global Fund of the PSM Plan.</p>	Procurement	Procurement		Met	The CT approved the PSM plan in July 2013.
	Condition Precedent	<p>2. The parties agree that the requirement in Article 14 of the Standard Terms and Conditions of this Agreement that the Principal Recipient provide, not later than 90 days after this Agreement enters into force, a plan for monitoring the Program, is replaced with the condition for second disbursement in Section B.2 above.</p>				Met	PR agreed to this condition when signing the disbursement. As stated above, the M&E plan was approved in April 2012.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
	Condition Precedent	<p>Phase 1 SC:</p> <p>3. The Principal Recipient acknowledges and agrees that the Global Fund has entered into this Agreement with the Principal Recipient in reliance on the representation by the Country Coordinating Mechanism that the funds provided under this Agreement do not constitute more than 65% of the funds for the national HIV/AIDS program in Sao Tome and Principe. If the Principal Recipient becomes aware that the funds provided under this agreement are in fact or are anticipated to be materially higher than this amount, the Principal Recipient shall promptly notify the Global Fund.</p>				In Progress	<p>It should be noted that as per the GF's policy, the counterpart threshold required for this country is actually 20%.</p> <p>The SIID assesment during the Phase 2 review in November 2013 concluded the following: "Government contribution in the Phase I period of the HIV grant met minimum threshold requirements of counterpart financing. Projecting that the historical trend for spending levels will be at a minimum maintained in subsequent years; the counterpart financing share in the next implementation period is 27% and meets the minimum threshold for low middle income countries."</p> <p>Please note, STP with TA from WHO is currently completing a National Health Accounts exercise and this would establish more accurate information in mid-2014.</p>
	Condition Precedent	<p>4. The parties acknowledge and agree that should the Global Fund fail to receive:</p> <p>a. Complete and accurate data on expenditures by the Government of Sao Tome and Principe towards the fight against HIV during the year 2011; and</p> <p>b. Adequate assurances that, beginning in 2012 and continuing throughout the Program Term, funding for the fight against HIV in Sao Tome and Principe by the Government of Sao Tome and Principe will comprise at least thirty-five percent of all such funding from the Country Coordinating Mechanism on or before by 28 February 2012, the Global Fund may take appropriate action pursuant to the terms of this Agreement, including, without limitation, reducing or withholding disbursements.</p>	Finance	Other	28.Feb.12	Met	The PR provide the required documentation (state budget) in February 2012, which was agreed by the CT.
	Condition Precedent	<p>5. The parties acknowledge and agree that as of the date of the signature of this Agreement, the reporting schedule is set on a 6-monthly basis for each Progress Update and Disbursement Request. The parties further agree and acknowledge that should the Global Fund determine, after consulting with the Principal Recipient, that the results of the 2012 On-Site Data Verification report demonstrate major issues in data quality, the Global Fund may re-set the reporting schedule for Progress Updates to a quarterly basis.</p>				Met	The PR's PU reporting schedule remains as 6-monthly. The CT has decided that the grant will shift to an annual disbursement cycle with phased cash transfers.
	Condition Precedent	<p>6. The Principal Recipient acknowledges and agrees that the Principal Recipient's selection of an appropriately qualified individual to serve as the HIV/AIDS and Tuberculosis program Manager shall be based on terms of reference mutually agreed to between the Global Fund and the Principal Recipient.</p>				Met	In March 2014, UNDP HQ informed the CT that the HIV/TB Manager had been recruited and would be operational from April onwards.

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CP #	CP Type	Condition Precedent	Functional Area	Tied To	Terminal Date	Is currently met?	Comments
		<p>Phase 2 CP:</p> <p>Condition Precedent to Use of Grant Funds by the Principal Recipient for Funding Key Affected Populations (KAP) Activities</p> <p>Prior to the use of Grant funds by the Principal Recipient for the funding of KAP activities:</p> <p>a. The Principal Recipient shall deliver to the Global Fund, in form and substance satisfactory to the Global Fund, information and validated data on the most-at-risk populations, such as MSM, to adequately determine the best intervention strategy with respect to KAP;</p> <p>b. The Principal Recipient shall deliver to the Global Fund, in form and substance satisfactory to the Global Fund, information and validated data on the geographical areas with high transmission to adequately determine the best intervention strategy with respect to KAP;</p> <p>c. The Principal Recipient shall deliver to the Global Fund, in form and substance satisfactory to the Global Fund, an updated work plan and budget of KAP activities and, if appropriate, an updated performance framework, which factors in the abovementioned data and information, and the Global Fund shall have approved such updated work plan, budget and performance framework in writing.</p> <p>For the avoidance of doubt, this Condition Precedent shall not apply to activities undertaken in connection with the KAP survey, including the work of a consultant engaged by the Principal Recipient for this purpose.</p>	Others	Other	30.Sep.14	In Progress	<p>UNDP has contracted a consultant to complete the survey to determine appropriate KAP data and to also complete the mapping. It is anticipated that the survey will be completed in late Q2 2014. Thereafter, this would be used to inform and develop appropriate KAP interventions.</p> <p>As per the CP, the use of funds for KAP activities is conditional on the CT's agreement on the validated KAP data and appropriate interventions. The disbursement includes these funds (USD 5,909 for the period), however in line with the CP, the CT will remind the PR/UNDP in the Management Letter of the non-use of relevant funds until the compliance of the CP.</p>

2. Key Grant Performance Information

2.1. Program Impact and Outcome Indicators

Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
2012	2013	2012	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026

Goal 1 To decrease morbidity and mortality of people living with HIV/AIDS, vulnerable groups and Most-at-risk populations.

Impact indicator	% of young women and men aged 15-24 who are HIV infected													
	Baselines													
	Value							Year						
	1.6%							2009						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: 2%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Impact indicator	% of adults and children with HIV still alive 12 months after initiation of antiretroviral therapy (extend to 2, 3, 5 years as program matures)													
	Baselines													
	Value							Year						
	87.8%							2009						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	> 90%	> 90%	> 90%	N: D: P: 95%	N: D: P: 95%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result				N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Impact indicator	Estimated percentage of child infections from HIV-infected women delivering in the past 18 months - estimated mother-to-child transmission													
	Baselines													
	Value							Year						
	12%							2010						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 10%	N: D: P: 8%	N: D: P: 6%	N: D: P: 6%	N: D: P: 5%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Impact indicator	Percentage of female sex workers who are HIV infected													
	Baselines													
	Value							Year						
	4.2%							2007						

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: 4%	N: D: P: 4%	N: D: P: 4%	N: D: P: 4%	N: D: P: 4%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Impact indicator	Percentage of MSM who are HIV infected											Baselines			
												Value		Year	
												N/A			

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target		Baseline established	TBD	TBD	TBD										
Result															
Data source of Results															

Outcome indicator	Percentage of women and men aged 15-24 who have had more than one sexual partner in the past 12 months reporting the use of a condom during their last sexual intercourse											Baselines			
												Value		Year	
												60.7%		2009	

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: 63%	N: D: P: %	N: D: P: 70%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	Percentage of sex workers reporting the use of a condom with their most recent client											Baselines			
												Value		Year	
												N/A		N/A	

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	Baseline established	TBD	TBD	TBD	TBD										
Result															
Data source of Results															

Outcome indicator	Percentage women aged 15 - 49 years who received an HIV test in the last 12 months and who know their results											Baselines			
												Value		Year	
												31.4%		2009	

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: 35%	N: D: P: 40%	N: D: P: %	N: D: P: 45%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

Outcome indicator	Percentage men aged 15 - 49 years who received an HIV test in the last 12 months and who know their results											Baselines			
												Value		Year	
												22.8%		2009	

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	N: D: P: %	N: D: P: 28%	N: D: P: 35%	N: D: P: %	N: D: P: 40%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Data source of Results															

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Outcome indicator	Percentage of most-at-risk populations who both correctly identify ways of preventing the sexual transmission of HIV and who reject major misconceptions about HIV transmission										Baselines				
											Value	Year			
											N/A	N/A			
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Year 10	Year 11	Year 12	Year 13	Year 14	Year 15
Target	Baseline established	TBD	TBD	TBD	TBD										
Result															
Data source of Results															

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2.2. Programmatic Performance

2.2.1. Reporting Periods

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
N/A	01.Jan.12 31.Mar.12	01.Apr.12 30.Jun.12	01.Jul.12 30.Sep.12	01.Oct.12 31.Dec.12	01.Jan.13 31.Mar.13	01.Apr.13 30.Jun.13	01.Jul.13 30.Sep.13	01.Oct.13 31.Dec.13

2.2.2. Program Objectives, Service Delivery Areas and Indicators

Objective 1 - To significantly reduce the sexual transmission of HIV infection in STP

Prevention: Counseling and testing

Indicator 1.1 - Number of men who received testing and counseling services for HIV and received their test results

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	3316	2010	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	840	1,680	2,520	5,860	1,565	3,130	4,695	9,760
Result	Pending result	264	Pending result	2,088	Pending result	1,530	Pending result	3,991

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	1,565							
Result	Pending result							

Indicator 1.2 - Number of women who received testing and counseling services for HIV and received their test results

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	11047	2010	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	2,770	5,540	8,310	13,080	3,085	6,170	9,255	15,340
Result	Pending result	3,702	Pending result	9,047	Pending result	5,653	Pending result	11,924

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	3,085							
Result	Pending result							

Prevention: Behavioral Change Communication - Community Outreach

Indicator 1.3 - Number of sex workers reached through community outreach with at least one HIV information, education, communication or behavior change communication

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	54	2007-2010	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target		18	36	54	18	36	54	72
Result		0	Pending result	32	Pending result	67	Pending result	67

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	18							
Result	Pending result							

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Objective 2 - Reduced morbidity, mortality and improve the quality of life of HIV infected patients, their partners and families, and support orphans.**Treatment: Antiretroviral treatment (ARV) and monitoring**

Indicator 2.1 - Number of people with advanced HIV+ infection receiving ARV treatment (second line) according to national guidelines

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)											
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8			
Level 3-People reached	16	2010	Y	N											
Target		42						45				47			49
Result		19						27				30			32
					Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16			
Target	50														
Result	Pending result														

TB/HIV

Indicator 2.2 - Number and percentage of TB patients who had an HIV test result recorded in the TB register among the total number of registered TB patients

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)										
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8		
Level 3-People reached	100% (112)	2010	Y	N										
Target	N: D: P: %	N: 56 D: 56 P: 100%	N: D: P: %	N: 60 D: 60 P: 100%	N: D: P: %	N: 56 D: 56 P: 100%	N: D: P: %	N: 60 D: 60 P: 100%	N: D: P: %	N: 56 D: 56 P: 100%	N: D: P: %	N: 60 D: 60 P: 100%	N: D: P: %	N: 60 D: 60 P: 100%
Result	N: D: P: %	N: 56 D: 56 P: 100%	N: D: P: %	N: 57 D: 57 P: 100%	N: D: P: %	N: 69 D: 69 P: 100%	N: D: P: %	N: 69 D: 69 P: 100%	N: D: P: %	N: 69 D: 69 P: 100%	N: D: P: %	N: 78 D: 78 P: 100%	N: D: P: %	N: 78 D: 78 P: 100%
					Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16		
Target	N: 28 D: 28 P: 100%	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %
Result	Pending result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %

Treatment: Prophylaxis and treatment for opportunistic infections

Indicator 2.3 - Number of HIV+ patients receiving cotrimoxazole prophylaxis for opportunistic infections

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)											
	Value	Year			Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8			
Level 3-People reached	152	2010	N	N											
Target		18						38				18			38
Result		1						53				25			87
					Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16			
Target	9														
Result	Pending result														

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Care and Support: Support for orphans and vulnerable children

Indicator 2.4 - Number of orphans receiving package of services

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	35	2010	Y	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target					35			40
Result					74			81

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Objective 3 - Eliminate mother-to-child HIV transmission

Prevention: PMTCT

Indicator 3.1 - Number and percentage of infants born to HIV-infected women who received an HIV test within 12 months of birth

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	NA	2010	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	N: D: P: %	7	N: D: P: %	N: 13 D: 15 P: 87%	N: D: P: %	N: 7 D: 8 P: 88%	N: D: P: %	N: 14 D: 15 P: 93%
Result	N: D: P: %	13	N: D: P: %	N: 24 D: 29 P: 83%	N: D: P: %	N: 16 D: 20 P: 80%	N: D: P: %	N: 27 D: 38 P: 71%

	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	3							
Result	Pending result	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %	N: D: P: %

Indicator 3.2 - Number and percentage of infants born to HIV-infected women starting on co-trimoxazole prophylaxis within 2 months of birth

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 3-People reached	NA	NA	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target	N: D: P: %	6	N: D: P: %	N: 15 D: 15 P: 100%	N: D: P: %	N: 6 D: 6 P: 100%	N: D: P: %	N: 15 D: 15 P: 100%
Result	N: D: P: %	10	N: D: P: %	N: 21 D: 28 P: 75%	N: D: P: %	N: 16 D: 19 P: 84%	N: D: P: %	N: 20 D: 23 P: 87%

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Objective 4 - To prevent parenteral transmission of HIV infection and other blood borne infection

Prevention: Blood safety and universal precautions

Indicator 4.1 - Number of health care workers trained on universal precautions

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 1-People trained	264	2010	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target				20		60		100
Result				17		58		93

Objective 5 - To increase institutional capacity of the National AIDS Program / Ministry of Health Civil Society

HSS: Information System

Indicator 5.1 - Number of health districts providing complete and timely reports

	Baseline		Is Top 10 indicator? (Y/N)	Is Training indicator? (Y/N)
	Value	Year		
Level 2-Service Points supported	0	2011	N	N

	Period 1	Period 2	Period 3	Period 4	Period 5	Period 6	Period 7	Period 8
Target		4		5		6		7
Result		3		0		2		6
	Period 9	Period 10	Period 11	Period 12	Period 13	Period 14	Period 15	Period 16
Target	7							
Result	Pending result							

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2.2.3. Cumulative Progress To Date

Latest reporting due period : 11 (01.Jul.14 - 30.Sep.14)

Objective 1	To significantly reduce the sexual transmission of HIV infection in STP								
SDA	Prevention: Counseling and testing								
Indicator 1.1 - Number of men who received testing and counseling services for HIV and received their test results									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	9	1,565	8	3,991					120%

Indicator 1.2 - Number of women who received testing and counseling services for HIV and received their test results									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	9	3,085	8	11,924					120%

SDA	Prevention: Behavioral Change Communication - Community Outreach								
Indicator 1.3 - Number of sex workers reached through community outreach with at least one HIV information, education, communication or behavior change communication									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	9	18	8	67					120%

Objective 2	Reduced morbidity, mortality and improve the quality of life of HIV infected patients, their partners and families, and support orphans.								
SDA	Treatment: Antiretroviral treatment (ARV) and monitoring								
Indicator 2.1 - Number of people with advanced HIV+ infection receiving ARV treatment (second line) according to national guidelines									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	9	50	8	32					64%

SDA	TB/HIV								
Indicator 2.2 - Number and percentage of TB patients who had an HIV test result recorded in the TB register among the total number of registered TB patients									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	9	N: 28 D: 28 P: 100 %	8	N: 78 D: 78 P: 100 %					100%

SDA	Treatment: Prophylaxis and treatment for opportunistic infections								
Indicator 2.3 - Number of HIV+ patients receiving cotrimoxazole prophylaxis for opportunistic infections									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	9	9	8	87					120%

SDA	Care and Support: Support for orphans and vulnerable children								
Indicator 2.4 - Number of orphans receiving package of services									
	Target		Result		0%	30%	60%	90%	100%
	Period	Value	Period	Value					
Level 3-People reached	8	40	8	81					120%

Objective 3 Eliminate mother-to-child HIV transmission

SDA Prevention: PMTCT

Indicator 3.1 - Number and percentage of infants born to HIV-infected women who received an HIV test within 12 months of birth

	Target		Result		Progress				
	Period	Value	Period	Value	0%	30%	60%	90%	100%
Level 3-People reached	9	3	8	N: 27 D: 38 P: 71.1 %					Cannot Calculate

Indicator 3.2 - Number and percentage of infants born to HIV-infected women starting on co-trimoxazole prophylaxis within 2 months of birth

	Target		Result		Progress				
	Period	Value	Period	Value	0%	30%	60%	90%	100%
Level 3-People reached	8	N: 15 D: 15 P: 100 %	8	N: 20 D: 23 P: 87 %					87%

Objective 4 To prevent parenteral transmission of HIV infection and other blood borne infection

SDA Prevention: Blood safety and universal precautions

Indicator 4.1 - Number of health care workers trained on universal precautions

	Target		Result		Progress				
	Period	Value	Period	Value	0%	30%	60%	90%	100%
Level 1-People trained	8	100	8	93					93%

Objective 5 To increase institutional capacity of the National AIDS Program / Ministry of Health Civil Society

SDA HSS: Information System

Indicator 5.1 - Number of health districts providing complete and timely reports

	Target		Result		Progress				
	Period	Value	Period	Value	0%	30%	60%	90%	100%
Level 2-Service Points supported	9	7	8	6					86%

2.3. Financial Performance

2.3.1. Grant Financial Key Performance Indicators (KPIs)

Grant Duration (months)	60 months	Grant Amount	1,276,024 \$
% Time Elapsed (as of end date of the latest PU)	40%	% disbursed by TGF (to date)	100%
Time Remaining (as of end date of the latest PU)	36 months	Disbursed by TGF (to date)	1,276,024 \$
Expenditures Rate (as of end date of the latest PU)	79%	Funds Remaining (to date)	

2.3.2. Program Budget

	Budget Period 1	Budget Period 2	Budget Period 3	Budget Period 4	Budget Period 5	Budget Period 6	Budget Period 7	Budget Period 8
Period Covered From:	01.Jan.12	01.Apr.12	01.Jul.12	01.Oct.12	01.Jan.13	01.Apr.13	01.Jul.13	01.Oct.13
Period Covered To:	31.Mar.12	30.Jun.12	30.Sep.12	31.Dec.12	31.Mar.13	30.Jun.13	30.Sep.13	31.Dec.13
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	67,053	105,581	217,876	261,971	386,165	816,257	986,800	645,959
Summary Period Budget:	67,053	38,528	112,295	44,095	124,194	430,092	170,543	94,782

Expenditure Categories

Program Activities

Implementing Entities

	Budget Period 9	Budget Period 10	Budget Period 11	Budget Period 12	Budget Period 13	Budget Period 14	Budget Period 15	Budget Period 16
Period Covered From:	01.Jan.14	01.Apr.14	01.Jul.14	01.Oct.14	01.Jan.15	01.Apr.15	01.Jul.15	01.Oct.15
Period Covered To:	31.Mar.14	30.Jun.14	30.Sep.14	31.Dec.14	31.Mar.15	30.Jun.15	30.Sep.15	31.Dec.15
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	701,168	946,832	1,035,799	1,092,179	1,271,655	1,361,968	1,424,961	1,464,893
Summary Period Budget:	55,209	245,664	88,967	56,380	179,476	90,313	62,993	39,932

Expenditure Categories

Program Activities

Implementing Entities

	Budget Period 17	Budget Period 18	Budget Period 19	Budget Period 20	Budget Period 21	Budget Period 22	Budget Period 23	Budget Period 24
Period Covered From:	01.Jan.16	01.Apr.16	01.Jul.16	01.Oct.16	01.Jan.17	01.Apr.17	01.Jul.17	01.Oct.17
Period Covered To:	31.Mar.16	30.Jun.16	30.Sep.16	31.Dec.16	31.Mar.17	30.Jun.17	30.Sep.17	31.Dec.17
Currency:	USD	USD	USD	USD	USD	USD	USD	USD
Cumulative Budget Through:	1,671,182	1,787,191	1,852,781	1,895,959	1,895,959	1,895,959	1,895,959	1,895,959
Summary Period Budget:	206,289	116,009	65,590	43,178				

Expenditure Categories

Program Activities

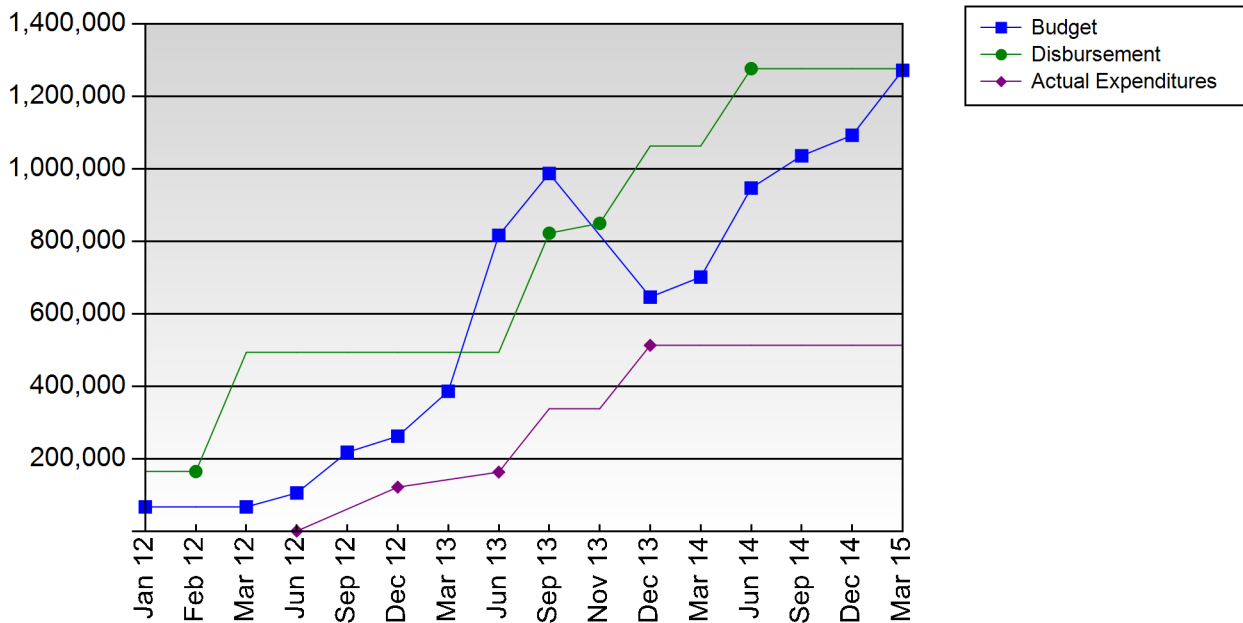
Implementing Entities

- Comments and additional information

2.3.3. Program Expenditures

Period PU4: 01.Jul.13 - 31.Dec.13	Actual Cash Outflow	Cumulative Budget	Cumulative Cash Outflow	Variance	Reason for variance
1. Total cash outflow vs. budget	\$ 349,766	\$ 645,959	\$ 512,904	\$ 133,055	
1a. PR's Total expenditure	\$ 325,162		\$ 380,268		
1b. Disbursements to sub-recipients	\$ 24,604		\$ 132,636		
1c. Expenditure Adjustments					Reason for adjustments
2. Pharmaceuticals & Health Product expenditures vs budget	\$ 47,332		\$ 47,332		
2a. Medicines & pharmaceutical products	\$ 47,332		\$ 47,332		
2b. Health products and health equipment					

2.3.4. Cumulative Program Budget, Expenditures and Disbursement to Date



2.4. Progress Update and Disbursement Information

Rating	Description
A1	Exceeding expectations
A2	Meeting expectations
B1	Adequate
B2	Inadequate but potential demonstrated
C	Unacceptable

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Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
1	01.Jan.12 - 30.Jun.12			N/A	1	01.Jan.12 - 30.Sep.12	450,863	\$ 164,617	28 Feb 2012
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
1st disbursement					<p>This is the first disbursement for this Round 10 HIV grant signed in December 2012. This first disbursement will cover activities for the first 6 months and provide a three month buffer.</p> <p>Considering that the PSM Plan, the Training Plan and the Consultancy Plan have not been submitted by the PR, the Regional Team subtracted the amounts budgeted for these activities. Please note that additional disbursements under this request will be processed once the PSM plan, Training plan and Consultancy plan are approved.</p>				
Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
2	01.Jul.12 - 31.Dec.12			B1	2	01.Jan.13 - 31.Dec.13	725,770		N/A
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
Progress Updates					Disbursement Information				
PU	PU Period			TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date
3	01.Jan.13 - 30.Jun.13			B1	3	01.Jan.13 - 31.Dec.13	866,112	\$ 657,598	10 Sep 2013
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
<p>The average achievement rate for the grant's top-ten indicators is 85% and has improved from 74% compared to the last reporting period. Only one of these top-ten indicators is showing results which are clearly below the average at 49% (Number of men who received testing and counselling services for HIV and received their test results). But even this indicator's results - although still representing a B2 rating - are showing an improvement (i.e. up from 36% in the last reporting period). Considering all indicators, the average achievement rate is 85% as well (up from 75%). This means the performance rating in terms of the program indicators for the reporting period is still B1 (see also grant rating tool). However it has to be pointed out a general positive trend in the program's overall programmatic performance.</p>					<p>The PR requested a disbursement of: USD 866,112 considering the following:</p> <p>USD 225,064 (approved budget for the period 1 July – 31 December 2013, less adjustments/savings identified by PR) + USD 40,393 (commitments as of 30 June 2013, related to surveillance studies, condom distribution etc.) + USD 603, 617 (carry over activities, items and amounts mainly relate to products in the recently approved PSM plan, consultancies, infrastructure, OVC support). -PR cash balance at 30 June 2013: USD 2,962 (PR did not include the SR cash balance</p> <p>The CT completed a line by line review of the forecast document and made additional adjustments. And as a result, the CT recommends a disbursement of USD 684,698.</p> <p>To release this financing, the CT scheduled the following cash transfers: - USD 657,598 to be released to the PR for program implementation and procurement of health products. - USD 27,100 for the Year 2 trainings. Cash transfer release is conditional on submission and approval of the Y2 training plan (see Management Actions)</p>				

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Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
3	01.Jan.13 - 30.Jun.13		B1	4	01.Jan.13 - 31.Dec.13	866,112	\$ 27,100	21 Nov 2013	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				
<p>The average achievement rate for the grant's top-ten indicators is 85% and has improved from 74% compared to the last reporting period. Only one of these top-ten indicators is showing results which are clearly below the average at 49% (Number of men who received testing and counselling services for HIV and received their test results). But even this indicator's results - although still representing a B2 rating - are showing an improvement (i.e. up from 36% in the last reporting period). Considering all indicators, the average achievement rate is 85% as well (up from 75%). This means the performance rating in terms of the program indicators for the reporting period is still B1 (see also grant rating tool). However it has to be pointed out a general positive trend in the program's overall programmatic performance.</p>					<p>cash transfer related to approved training plan</p>				
Progress Updates					Disbursement Information				
PU	PU Period		TGF Rating	DR	DR Period Covered	PR Request	Disbursement Amount	Disbursement Date	
4	01.Jul.13 - 31.Dec.13		B1	5	01.Jan.14 - 31.Mar.15	595,487	\$ 426,709	04 Jun 2014	
Summary of Progress					Reasons for variance between PR Request and Actual Disbursement				

2.5. Contextual Information	
Title	Explanatory Notes

2.6. Phase 2/ Periodic Review Grant Renewal	
Performance Rating	Recommendation Category
Rationale for Phase 2/ Periodic Review Recommendation Category	
Rationale for Phase 2/ Periodic Review Recommendation Amount	

Time-bound Actions	
Issues	Description

